

Department of Homeland Security
Office of the Secretary and Executive Management
Budget Overview



Fiscal Year 2023
Congressional Justification

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Office of the Secretary and Executive Management

Appropriation Organization Structure

	Level	Fund Type (* Includes Defense Funding)
Office of the Secretary and Executive Management	Component	
Operations and Support	Appropriation	
Management and Oversight	PPA	Discretionary - Appropriation
Office of Strategy, Policy, and Plans	PPA	Discretionary - Appropriation
Operations and Engagement	PPA	Discretionary - Appropriation
Federal Assistance	Appropriation	
Targeted Violence and Terrorism Prevention Grants	PPA	Discretionary - Appropriation
Alternatives to Detention Case Management	PPA	Discretionary - Appropriation

Office of the Secretary and Executive Management Budget Comparison and Adjustments

Appropriation and PPA Summary

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 Annualized CR	FY 2022 President's Budget	FY 2023 President's Budget
Operations and Support	\$180,819	\$180,819	\$224,747	\$291,180
Management and Oversight	\$54,932	\$54,932	\$75,650	\$137,262
Office of Strategy, Policy, and Plans	\$50,939	\$50,939	\$68,341	\$74,565
Operations and Engagement	\$74,948	\$74,948	\$80,756	\$79,353
Federal Assistance	\$25,000	\$25,000	\$25,000	\$25,000
Targeted Violence and Terrorism Prevention Grants	\$20,000	\$20,000	\$20,000	\$20,000
Alternatives to Detention Case Management	\$5,000	\$5,000	\$5,000	\$5,000
Total	\$205,819	\$205,819	\$249,747	\$316,180

Office of the Secretary and Executive Management
Comparison of Budget Authority and Request
(Dollars in Thousands)

	FY 2021 Enacted			FY 2022 President's Budget			FY 2023 President's Budget			FY 2022 to FY 2023 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations and Support	762	704	\$180,819	855	763	\$224,747	1,003	909	\$291,180	148	146	\$66,433
Federal Assistance	-	-	\$25,000	-	-	\$25,000	-	-	\$25,000	-	-	-
Total	762	704	\$205,819	855	763	\$249,747	1,003	909	\$316,180	148	146	\$66,433
Subtotal Discretionary - Appropriation	762	704	\$205,819	855	763	\$249,747	1,003	909	\$316,180	148	146	\$66,433

Component Budget Overview

The FY 2023 Budget includes \$316M; 1,003 positions; and 909 full-time equivalents (FTE) for the Office of the Secretary and Executive Management (OSEM). This represents an increase of \$66M over the FY 2022 President's Budget level. OSEM provides central leadership, management, direction, and oversight to all of the Department's Components.

The FY 2023 Budget includes transfers for the Office of Health Security & Resilience (OHSR) from the Office of Countering Weapons of Mass Destruction (CWMD) and the Office of the Chief Human Capital Officer (OCHCO), the Secretary's Briefing Staffing from Analysis & Operations (O&A), and the Terrorist Screening Center Deputy from Analysis & Operations (A&O). These transfers are held within the Management and Oversight and Strategy, Policy and Plans PPAs.

The Office of Strategy, Policy, and Plans (PLCY) PPA serves as the Department's principal source of policy development and decision analysis for DHS senior leadership and Secretarial initiatives and for other critical matters that may arise in a dynamic threat environment.

The FY 2023 Budget continues investments in grants, which will be administered through the Federal Emergency Management Agency's grant program and supported by the Center for Prevention Programs and Partnerships (CP3) Grants Program Management Office and the Office of Civil Rights and Civil Liberties (CRCL).

Management and Oversight (M&O) utilizes contractor support to assist in analytic and programmatic support in validating and prioritizing DHS' operational capability investments, provides legal and FOIA support services, and for interagency agreements for a variety of different governmental support services. Operations and Engagement (O&E) leverages contract support and interagency agreements to procure a variety of different services to include assessing risk within DHS, outreaching stakeholders, resolving issues, and preserving individual liberty, fairness, and equality under the law.

The FY 2023 Budget makes substantial investments in rightsizing staff and ensures resources are available to support current staffing levels across OSEM.

Office of the Secretary and Executive Management

Budget Authority and Obligations

(Dollars in Thousands)

	FY 2021	FY 2022	FY 2023
Enacted/Request	\$205,819	\$249,747	\$316,180
Carryover - Start of Year	\$5,022	\$22	-
Recoveries	-	-	-
Rescissions to Current Year/Budget Year	(\$930)	(\$22)	-
Net Sequestered Resources	-	-	-
Reprogramming/Transfers	(\$24,070)	(\$25,000)	(\$25,000)
Supplementals	-	-	-
Total Budget Authority	\$185,841	\$224,747	\$291,180
Collections - Reimbursable Resources	\$20,877	\$21,518	\$24,180
Collections - Other Sources	-	-	-
Total Budget Resources	\$206,718	\$246,265	\$315,360
Obligations (Actual/Estimates/Projections)	\$206,696	\$246,265	\$315,360
Personnel: Positions and FTE			
Enacted/Request Positions	762	855	1,003
Enacted/Request FTE	704	763	909
Onboard and Actual FTE			
Onboard (Actual/Estimates/Projections)	762	855	1,003
FTE (Actual/Estimates/Projections)	704	763	909

Office of the Secretary and Executive Management

Collections – Reimbursable Resources

(Dollars in Thousands)

	FY 2021 Enacted			FY 2022 President's Budget			FY 2023 President's Budget		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Defense	1	1	\$204	1	1	\$220	-	-	\$220
Department of Homeland Security - Analysis and Operations	10	10	\$2,118	12	12	\$2,351	10	10	\$2,666
Department of Homeland Security - U.S. Citizenship and Immigration Services	2	2	\$425	1	1	\$359	2	2	\$584
Department of Homeland Security - Countering Weapons of Mass Destruction	6	6	\$992	6	6	\$992	9	9	\$995
Department of Homeland Security	1	1	\$3,695	-	-	\$2,218	-	-	\$1,958
Department of Homeland Security - Federal Emergency Management Agency	1	1	\$865	1	1	\$964	1	1	\$964
Department of Homeland Security - Federal Protective Service	15	15	\$3,116	15	15	\$3,116	16	16	\$3,137
Department of Homeland Security - Office of Inspector General	-	-	\$102	-	-	\$102	-	-	\$123
Department of Homeland Security - Office of the Secretary & Executive Management	-	-	\$8	-	-	\$1,198	-	-	\$2,008
Department of Homeland Security - Science and Technology	13	13	\$2,392	13	13	\$2,393	13	13	\$2,393
Department of Homeland Security - Transportation Security Administration	1	1	\$441	1	1	\$441	1	1	\$441
Department of Homeland Security - U.S. Customs and Border Protection	5	5	\$1,150	5	5	\$1,347	2	2	\$1,347
Department of Homeland Security - U.S. Immigration and Customs Enforcement	1	1	\$2,209	1	1	\$1,559	1	1	\$2,809
Department of Homeland Security - United States Coast Guard	1	1	\$140	1	1	\$384	1	1	\$542
Department of Homeland Security - United States Secret Service	1	1	\$78	1	1	\$78	1	1	\$78
Department of State	1	1	\$121	-	-	-	1	1	\$129
Office of the Director of National Intelligence	1	1	\$76	-	-	-	1	1	\$164
Department of Homeland Security - Cybersecurity and Infrastructure Security Agency	7	7	\$2,264	8	8	\$3,547	7	7	\$3,368
Department of Homeland Security - Office of Biometric Identity Mangement (OBIM)	2	2	\$249	2	2	\$249	2	2	\$254
Department of Homeland Security - Intelligence and Analysis	2	2	\$232	-	-	-	-	-	-
Total Collections	71	71	\$20,877	68	68	\$21,518	68	68	\$24,180

Office of the Secretary and Executive Management

Personnel Compensation and Benefits

Pay Summary

(Dollars in Thousands)

	FY 2021 Enacted				FY 2022 President's Budget				FY 2023 President's Budget				FY 2022 to FY 2023 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Operations and Support	762	704	\$117,189	\$164.33	855	763	\$149,729	\$194.21	1,003	909	\$176,402	\$191.88	148	146	\$26,673	(\$2.33)
Total	762	704	\$117,189	\$164.33	855	763	\$149,729	\$194.21	1,003	909	\$176,402	\$191.88	148	146	\$26,673	(\$2.33)
Subtotal Discretionary - Appropriation	762	704	\$117,189	\$164.33	855	763	\$149,729	\$194.21	1,003	909	\$176,402	\$191.88	148	146	\$26,673	(\$2.33)

Pay by Object Class

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
11.1 Full-time Permanent	\$80,594	\$104,423	\$122,904	\$18,481
11.3 Other than Full-time Permanent	\$5,138	\$5,296	\$6,348	\$1,052
11.5 Other Personnel Compensation	\$1,058	\$1,089	\$1,515	\$426
11.8 Special Personal Services Payments	\$1,504	\$1,550	\$1,983	\$433
12.1 Civilian Personnel Benefits	\$28,895	\$37,371	\$43,652	\$6,281
Total - Personnel Compensation and Benefits	\$117,189	\$149,729	\$176,402	\$26,673
Positions and FTE				
Positions - Civilian	762	855	1,003	148
FTE - Civilian	704	763	909	146

Office of the Secretary and Executive Management

Non Pay Budget Exhibits

Non Pay Summary

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
Operations and Support	\$63,630	\$75,018	\$114,778	\$39,760
Federal Assistance	\$25,000	\$25,000	\$25,000	-
Total	\$88,630	\$100,018	\$139,778	\$39,760
Subtotal Discretionary - Appropriation	\$88,630	\$100,018	\$139,778	\$39,760

Non Pay by Object Class

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
21.0 Travel and Transportation of Persons	\$4,926	\$4,613	\$4,591	(\$22)
23.1 Rental Payments to GSA	-	-	\$116	\$116
23.2 Rental Payments to Others	\$2,000	\$2,000	\$2,000	-
23.3 Communications, Utilities, & Miscellaneous	-	-	\$55	\$55
24.0 Printing and Reproduction	\$13	\$13	\$22	\$9
25.1 Advisory & Assistance Services	\$27,581	\$27,649	\$32,725	\$5,076
25.2 Other Services from Non-Federal Sources	\$13,212	\$18,386	\$36,164	\$17,778
25.3 Other Purchases of goods and services	\$14,910	\$21,510	\$33,257	\$11,747
25.6 Medical Care	-	-	\$3	\$3
25.7 Operation & Maintenance of Equipment	\$111	\$111	\$2,614	\$2,503
26.0 Supplies & Materials	\$693	\$569	\$935	\$366
31.0 Equipment	\$184	\$167	\$2,296	\$2,129
41.0 Grants, Subsidies, and Contributions	\$25,000	\$25,000	\$25,000	-
Total - Non Pay Budget Object Class	\$88,630	\$100,018	\$139,778	\$39,760

**Office of the Secretary and Executive Management
Supplemental Budget Justification Exhibits**

FY 2023 Counter Unmanned Aerial Systems (CUAS) Funding

Appropriation and PPA	<i>(Dollars in Thousands)</i>
Office of the Secretary and Executive Management Total	\$3,700
Operations and Support	\$3,700
Office of Strategy, Policy, and Plans	\$3,700

Office of the Secretary and Executive Management
Status of Congressionally Requested Studies, Reports and Evaluations

Fiscal Year	Due Date	Reference/Citation	Requirement	Status
2020	10/30/2020	Senate Report 114-264	Border Security Status Report - Q3	Drafted – Under Review
2020	01/29/2021	Senate Report 114-264	Border Security Status Report - Q4	Drafted – Under Review
2020	03/31/2021	P.L. 116-93	DHS OIDO 2020 Annual Report	Transmitted – 01/26/2021
2020	04/17/2020	House Report 116-180	Translation Services	Transmitted – 08/13/2020
2020	04/30/2020	Senate Report 114-264	Border Security Status Report - Q1	Transmitted – 01/12/2021
2020	07/31/2020	Senate Report 114-264	Border Security Status Report - Q2	Transmitted – 02/26/2021
2020	03/19/2020	House Report 116-180	Departmental Freedom of Information Act (FOIA) Backlog Reduction Plan: 2020 - 2023	Transmitted – 04/17/2020
2021	3/26/2021	House Report 116-180/	Immigration Case Processing System	Transmitted – 3/26/2021
2021	3/26/2021	House Report 116-458	FOIA Backlog	Transmitted – 3/26/2021
2021	4/30/2021	Senate Report 114-264	Border Security Status Report - Q1	Transmitted – 4/30/2021
2021	3/31/2022	Committee Report 117-437	OIDO Activities, Findings, and Recommendations	Pending
2021	At completion of program	Committee Report 117-437	ATD Case Management Services - Recommendations	Pending
2021	7/30/2021	Senate Report 114-264	Border Security Status Report - Q2	Transmitted – 7/30/2021
2021	11/1/2021	Senate Report 114-264	Border Security Status Report - Q3	Transmitted – 11/1/2021
2021	1/31/2022	Senate Report 114-264	Border Security Status Report - Q4	Transmitted – 1/31/2022
2022	5/20/2022	P.L. 116-93	Semi-annual Office of Immigration Detention Ombudsman (OIDO)	Pending
2022	9/2/2022	P.L. 116-93	Semi-annual Office of Immigration Detention Ombudsman (OIDO)	Pending

Office of the Secretary and Executive Management
Authorized/Unauthorized Appropriations

Budget Activity <i>Dollars in Thousands</i>	Last year of Authorization	Authorized Level	Appropriation in Last Year of Authorization	FY 2023 President's Budget
	Fiscal Year	Amount	Amount	Amount
Operations and Support	2002	N/A	N/A	\$291,180
Office of Strategy, Policy, and Plans	2002	N/A	N/A	\$74,565
Management and Oversight	2002	N/A	N/A	\$136,027
Operations and Engagement	2002	N/A	N/A	\$80,588
Total Direct Authorization/Appropriation	2002	N/A	N/A	\$291,180

Office of the Secretary and Executive Management Proposed Legislative Language

Operations and Support

For necessary expenses of the Office of the Secretary and Executive Management for operations and support, [\$224,747,000] \$291,180,000; of which [\$20,000,000] \$3,048,000 shall be for the [Office of the Ombudsman for Immigration Detention, of which \$5,000,000] *Medical Information Exchange* and shall remain available until September 30, [2023]2024: Provided, That not to exceed \$30,000 shall be for official reception and representation expenses.

Language Provision	Explanation
...[\$224,747,000]\$291,180,000	Dollar change only. No substantial change proposed
...[\$20,000,000]\$3,048,000	Dollar change to refer to the Medical Information Exchange
...[Office of the Ombudsman for Immigration Detention, of which \$5,000,000] <i>Medical Information Exchange</i>	Removal of language referring to the Office of the Ombudsman for Immigration Detention and insertion of language to refer to the Medical Information Exchange
...[2023]2024	Updated period of availability.

Federal Assistance

For necessary expenses of the Office of the Secretary and Executive Management for Federal Assistance through grants, contracts, cooperative agreements, and other activities, \$25,000,000, which shall be transferred to “Federal Emergency Management Agency—Federal Assistance”, of which \$20,000,000 shall be for targeted violence and terrorism prevention grants and of which \$5,000,000 shall be for an Alternatives to Detention Case Management pilot program, to remain available until September 30, [2023]2024: Provided, That the amounts made available for the pilot program shall be awarded to nonprofit organizations and local governments and administered by a National Board, which shall be chaired by the Officer for Civil Rights and Civil Liberties, for the purposes of providing case management services, including but not limited to: mental health services; human and sex trafficking screening; legal orientation programs; cultural orientation programs; connections to social services; and for individuals who will be removed, reintegration services: Provided further, That such services shall be provided to each individual enrolled into the U.S. Immigration and Customs Enforcement Alternatives to Detention program in the geographic areas served by the pilot program: Provided further, That any such individual may opt out of receiving such services after providing written informed consent: Provided further, That not to exceed \$350,000 shall be for the administrative costs of the Department of Homeland Security for the pilot program.

Language Provision	Explanation
...[2023]2024	Updated period of availability

Department of Homeland Security

Office of the Secretary and Executive Management

Strategic Context



Fiscal Year 2023
Congressional Justification

Office of the Secretary and Executive Management Strategic Context

Component Overview

The Office of the Secretary and Executive Management (OSEM) provides central leadership, management, direction, and oversight over all of DHS Components. Key capabilities include managing legal affairs, managing external and internal agency communications, conducting agency strategic planning, protecting privacy, protecting civil rights and civil liberties, providing immigration oversight, and engaging with federal, state, local, international, and private sector partners.

The strategic context presents the performance budget by tying together programs with performance measures that gauge the delivery of results to our stakeholders. DHS has integrated a mission and mission support programmatic view into a significant portion of the Level 1 Program, Project, or Activities (PPAs) in the budget. A mission program is a group of activities acting together to accomplish a specific high-level outcome external to DHS, and includes operational processes, skills, technology, human capital, and other resources. Mission support programs are those that are cross-cutting in nature and support multiple mission programs. Mission support programs in OSEM reflect enterprise leadership, management and/or business administration services and describes the capabilities and activities that support the day-to-day management and back office functions enabling the Department to operate efficiently and effectively. Performance measures associated with OSEM's mission support programs are presented in two measure sets, strategic and management measures. Strategic measures communicate results delivered for our agency mission and are considered our Government Performance and Results Act Modernization Act (GPRAMA) measures. Additional supporting measures, known as management measures, are displayed to enhance connections to resource requests. The measure tables indicate new measures and those being retired, along with historical data if available.

Citizenship and Immigration Services Ombudsman: The Citizenship and Immigration Services Ombudsman (CISOMB) assists individuals and employers in resolving problems connected with cases pending before United States Citizenship and Immigration Services (USCIS). In addition, as required by statute, CISOMB identifies common problems experienced by individuals and employers when seeking USCIS services, and proposes changes to mitigate such problems and improve the delivery of immigration services. In accomplishing each of these missions, the CISOMB works impartially, soliciting information and feedback from USCIS, other government agencies, immigration benefits applicants, and the immigration stakeholder community.

Management Measures

Measure: Number of outreach activities conducted by the Ombudsman to obtain feedback on the delivery of citizenship and immigration services							
Description: This measure reports the number of outreach activities conducted by the Citizenship and Immigration Ombudsman (CISOMB) to capture feedback on the delivery of citizenship and immigration services by U.S. Citizenship and Immigration Services (USCIS). CISOMB regularly conducts outreach events to identify areas in which individuals and employers encounter problems dealing with USCIS to propose changes in its administrative practices and mitigate identified problems.							
Fiscal Year:	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Targets:	95	100	105	106	107	108	109
Results:	69	79	90	103	152	TBD	TBD

Measure: Number of policy proposals for administrative actions by the Office of the CIS Ombudsman to improve the delivery of immigration services							
Description: This measure depicts the number of written policy recommendations produced by the Office of the CIS Ombudsman that are presented to USCIS to further stated policy priorities. Each recommendation is provided to USCIS for specific action to further the improvement of the delivery of immigration benefits.							
Fiscal Year:	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Targets:	---	5	7	9	11	13	15
Results:	---	3	7	8	13	TBD	TBD

Measure: Percent of case-related inquiries submitted to the Ombudsman regarding the delivery of citizenship and immigration services where action has been taken to resolve within 45 days of receipt							
Description: This measure reports the percent of requests for case assistance submitted to Citizenship and Immigration Services Ombudsman (CISOMB) regarding the delivery of citizenship and immigration services by U.S. Citizenship and Immigration Services (USCIS) where action has been taken by CISOMB to resolve the issue within 45 days of receipt. CISOMB takes action on requests for case assistance by inquiring with the responsible USCIS office to ensure the customer's concern is addressed. CISOMB's mission is to assist individuals and employers when they encounter difficulty with USCIS.							
Fiscal Year:	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Targets:	75%	76%	77%	78%	79%	80%	81%
Results:	61%	63%	53%	66%	69%	TBD	TBD

Office for Civil Rights and Civil Liberties: The Office for Civil Rights and Civil Liberties (CRCL) supports the Department as it secures the Nation while preserving individual liberty, fairness, and equality under the law. CRCL is involved in all of the Department's missions and performs four key functions to integrate civil rights and civil liberties into departmental activities: 1) advising Department leadership, personnel, and partners about civil rights and civil liberties issues; ensuring respect for civil rights and civil liberties in policy decisions and in the implementation of those decisions; 2) communicating with individuals and communities whose civil rights and civil liberties may be affected by DHS activities; 3) investigating and resolving civil rights and civil liberties complaints filed by the public; and 4) leading the Department's equal employment opportunity programs and promoting personnel diversity and merit system principles.

Management Measures

Measure: Percent of Equal Employment Opportunity complaints timely adjudicated							
Description: This measure indicates the share of all Final Actions issued by the Office for Civil Rights and Civil Liberties (CRCL) to dispose Equal Employment Opportunity complaints, issued by CRCL within timeframes specified in Federal regulations; see 29 CFR §1614.110.							
Fiscal Year:	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Targets:	40%	45%	45%	47%	49%	51%	53%
Results:	26%	37%	51%	49%	52%	TBD	TBD

Measure: Percent of investigations of alleged civil rights and civil liberties violations opened and closed within one year							
Description: This measure assesses the timeliness to resolve investigations of alleged DHS violations of individual civil rights and civil liberties, reporting the share of all complaint investigations closed by CRCL in a given fiscal year, which CRCL had opened no more than one year before the date of closure.							
Fiscal Year:	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Targets:	---	---	60%	61%	62%	63%	64%
Results:	---	---	68%	74%	59%	TBD	TBD

Measure: Percent of planned activities furthering elimination of barriers to equal employment opportunity completed on time							
Description: This measure assesses the Department's progress toward equal employment opportunity (EEO) by tracking activities to eliminate barriers to EEO scheduled for completion in a given fiscal year. Under authorities provided in the Civil Rights Act of 1964 and the Rehabilitation Act of 1973, the U.S. Equal Employment Opportunity Commission (EEOC) introduced Management Directive 715 (MD-715) in 2003, providing guidance to federal agencies regarding their EEO programs. MD-715 requires agencies to report annually on their programs' progress toward eliminating EEO barriers identified through specified analysis. This measure communicates efforts by the Office of Civil Rights and Civil Liberties (CRCL) to continue to remove barriers to equal employment opportunities in DHS. Using information from the annual MD-715 reports, this measure helps to promote a culture of transparency, fairness, and equal employment opportunity.							
Fiscal Year:	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Targets:	---	---	---	---	70%	71%	72%
Results:	---	---	---	---	62%	TBD	TBD

Department of Homeland Security
Office of the Secretary and Executive Management
Operations and Support



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Congressional Justification

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Operations and Support

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

(Dollars in Thousands)

	FY 2021 Enacted			FY 2022 President's Budget			FY 2023 President's Budget			FY 2022 to FY 2023 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Management and Oversight	289	263	\$54,932	330	293	\$75,650	457	403	\$137,262	127	110	\$61,612
Office of Strategy, Policy, and Plans	209	189	\$50,939	224	198	\$68,341	253	229	\$74,565	29	31	\$6,224
Operations and Engagement	264	252	\$74,948	301	272	\$80,756	293	277	\$79,353	(8)	5	(\$1,403)
Total	762	704	\$180,819	855	763	\$224,747	1,003	909	\$291,180	148	146	\$66,433
Subtotal Discretionary - Appropriation	762	704	\$180,819	855	763	\$224,747	1,003	909	\$291,180	148	146	\$66,433

The Operations and Support (O&S) appropriation funds the Departmental Management Operations Office of the Secretary and Executive Management's (OSEM) operating salaries and expenses. This appropriation provides resources that enable OSEM offices to provide central leadership, management, direction, and oversight over all of the Department's Components.

This appropriation is broken out into the following PPAs:

Management and Oversight: This Management and Oversight PPA supports the core functions of the Office of the Secretary, Office of the General Counsel (OGC), Privacy Office (PRIV), Office of Public Affairs (OPA), Office of Legislative Affairs (OLA), the Office of Health Security & Resilience (OHSR), and the Office of State and Local Law Enforcement (OSLLE).

Office of Strategy, Policy, and Plans (PLCY): The PLCY PPA serves as the Department's principal source of policy development and decision analysis for DHS senior leadership and Secretarial initiatives and for other critical matters that may arise in a dynamic threat environment.

Operations and Engagement: The Operations and Engagement PPA provides resources to fund the Office for Civil Rights and Civil Liberties (CRCL), the Office of the Citizenship and Immigration Services Ombudsman (CISOMB), the Office of the Immigration Detention Ombudsman (OIDO), and the Office of Partnership and Engagement (OPE).

Operations and Support Budget Authority and Obligations

(Dollars in Thousands)

	FY 2021	FY 2022	FY 2023
Enacted/Request	\$180,819	\$224,747	\$291,180
Carryover - Start of Year	\$5,022	\$22	-
Recoveries	-	-	-
Rescissions to Current Year/Budget Year	(\$930)	(\$22)	-
Net Sequestered Resources	-	-	-
Reprogramming/Transfers	\$930	-	-
Supplementals	-	-	-
Total Budget Authority	\$185,841	\$224,747	\$291,180
Collections - Reimbursable Resources	\$20,877	\$21,518	\$24,180
Collections - Other Sources	-	-	-
Total Budget Resources	\$206,718	\$246,265	\$315,360
Obligations (Actual/Estimates/Projections)	\$206,696	\$246,265	\$315,360
Personnel: Positions and FTE			
Enacted/Request Positions	762	855	1,003
Enacted/Request FTE	704	763	909
Onboard and Actual FTE			
Onboard (Actual/Estimates/Projections)	762	855	1,003
FTE (Actual/Estimates/Projections)	704	763	909

Operations and Support
Collections – Reimbursable Resources
(Dollars in Thousands)

	FY 2021 Enacted			FY 2022 President's Budget			FY 2023 President's Budget		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Defense	1	1	\$204	1	1	\$220	-	-	\$220
Department of Homeland Security - Analysis and Operations	10	10	\$2,118	12	12	\$2,351	10	10	\$2,666
Department of Homeland Security - U.S. Citizenship and Immigration Services	2	2	\$425	1	1	\$359	2	2	\$584
Department of Homeland Security - Countering Weapons of Mass Destruction	6	6	\$992	6	6	\$992	9	9	\$995
Department of Homeland Security	1	1	\$3,695	-	-	\$2,218	-	-	\$1,958
Department of Homeland Security - Federal Emergency Management Agency	1	1	\$865	1	1	\$964	1	1	\$964
Department of Homeland Security - Federal Protective Service	15	15	\$3,116	15	15	\$3,116	16	16	\$3,137
Department of Homeland Security - Office of Inspector General	-	-	\$102	-	-	\$102	-	-	\$123
Department of Homeland Security - Office of the Secretary & Executive Management	-	-	\$8	-	-	\$1,198	-	-	\$2,008
Department of Homeland Security - Science and Technology	13	13	\$2,392	13	13	\$2,393	13	13	\$2,393
Department of Homeland Security - Transportation Security Administration	1	1	\$441	1	1	\$441	1	1	\$441
Department of Homeland Security - U.S. Customs and Border Protection	5	5	\$1,150	5	5	\$1,347	2	2	\$1,347
Department of Homeland Security - U.S. Immigration and Customs Enforcement	1	1	\$2,209	1	1	\$1,559	1	1	\$2,809
Department of Homeland Security - United States Coast Guard	1	1	\$140	1	1	\$384	1	1	\$542
Department of Homeland Security - United States Secret Service	1	1	\$78	1	1	\$78	1	1	\$78
Department of State	1	1	\$121	-	-	-	1	1	\$129
Office of the Director of National Intelligence	1	1	\$76	-	-	-	1	1	\$164
Department of Homeland Security - Cybersecurity and Infrastructure Security Agency	7	7	\$2,264	8	8	\$3,547	7	7	\$3,368
Department of Homeland Security - Office of Biometric Identity Mangement (OBIM)	2	2	\$249	2	2	\$249	2	2	\$254
Department of Homeland Security - Intelligence and Analysis	2	2	\$232	-	-	-	-	-	-
Total Collections	71	71	\$20,877	68	68	\$21,518	68	68	\$24,180

Operations and Support Summary of Budget Changes

(Dollars in Thousands)

	Positions	FTE	Amount
FY 2021 Enacted	762	704	\$180,819
FY 2022 President's Budget	855	763	\$224,747
FY 2023 Base Budget	855	763	\$224,747
Total Technical Changes	-	-	-
Transfer for Annualization of MIX Personnel from CWMD/OS/MS to OSEM/OS/MO	-	2	\$463
Transfer for Blue Campaign from OSEM/O&S/OE to ICE/O&S/HSI	(7)	(7)	(\$2,500)
Transfer for Office of Health Security & Resilience from CWMD/OS to OSEM/OS/MO	44	35	\$21,261
Transfer for OHSR from MGMT/O&S/OCHCO to OSEM/O&S/M&O	26	26	\$7,398
Transfer for Real ID from OSEM/O&S/SPP to TSA/O&S	(3)	(3)	(\$527)
Transfer for the Secretary's Briefing Staff from AO/O&S to OSEM/O&S/M&O	9	7	\$1,237
Transfer for the Terrorist Screening Center Deputy from A&O/O&S to OSEM/O&S/SPP	1	1	\$177
Total Transfers	70	61	\$27,509
Civilian Pay Raise Total	-	-	\$5,193
Annualization of Prior Year Pay Raise	-	-	\$798
FPS Fee Adjustment	-	-	\$327
Annualization of CRCL Staffing	-	17	\$2,326
Annualization of C-UAS Policy Coordination Office	-	1	\$93
Annualization of Hitchcock Hall and Center Building Studio	-	2	\$410
Annualization of Immigration Data Integration Initiative (IDII)	-	2	\$275
Annualization of Office of Immigration Statistics Staffing	-	3	\$410
Annualization of Office of Privacy Staffing	-	5	\$684
Annualization of OLA Staffing and Correspondence Analyst Task Tracker	-	4	\$684
CISOMB S&B Reduction	(5)	(5)	(\$547)
Contract Support Reduction	-	-	(\$359)
CRCL Administrative Support Services Contracts	-	-	(\$849)
OLA S&B Reduction	-	-	(\$463)

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OPA Newsclipping Contract TechMis	-	-	(\$452)
OPA Training and Supplies	-	-	(\$63)
OPE Training and Conferences	-	-	(\$377)
OPE Travel Reduction	-	-	(\$46)
Organization Cost Efficiencies	-	-	(\$3,715)
PLCY CP3 Reductions to Prior Year Contract Support	-	-	(\$2,000)
Removal of Annualization of Prior Year Program Changes	-	-	(\$1,303)
Travel Reduction	-	-	(\$709)
Total Pricing Changes	(5)	29	\$317
Total Adjustments-to-Base	65	90	\$27,826
FY 2023 Current Services	920	853	\$252,573
CIS Ombudsman Local Ombudsman	5	3	\$592
CIS Ombudsman Sustain Current Services	-	-	\$1,119
CRCL Advancing Equity	5	3	\$957
ESEC Family Reunification Task Force	10	5	\$19,525
Medical Information Exchange (MIX)	-	-	\$3,048
OGC Ethics and Compliance Law Knowledge Management System	-	-	\$600
OGC Staffing	14	7	\$1,193
OHSR Chief Medical Officer	6	5	\$2,141
OLA Office of Legislative Affairs	2	1	\$182
OPA Staff Increase	10	5	\$1,924
PLCY Center for Prevention Programs and Partnerships (CP3)	14	14	\$2,031
PLCY Foreign Investment Risk Management Staffing	5	5	\$879
PLCY Supplemental Staff for the Office of Immigration Statistics (OIS)	12	6	\$716
PLCY Unplanned Departmental C-UAS Response	-	2	\$3,700
Total Program Changes	83	56	\$38,607
FY 2023 Request	1,003	909	\$291,180
FY 2022 TO FY 2023 Change	148	146	\$66,433

Operations and Support Justification of Transfers

(Dollars in Thousands)

	FY 2023 President's Budget		
	Positions	FTE	Amount
Transfer 1 - Transfer for Annualization of MIX Personnel from CWMD/OS/MS to OSEM/OS/MO	-	2	\$463
Management and Oversight	-	2	\$463
Transfer 2 - Transfer for Blue Campaign from OSEM/O&S/OE to ICE/O&S/HSI	(7)	(7)	(\$2,500)
Operations and Engagement	(7)	(7)	(\$2,500)
Transfer 3 - Transfer for Office of Health Security & Resilience from CWMD/OS to OSEM/OS/MO	44	35	\$21,261
Management and Oversight	44	35	\$21,261
Transfer 4 - Transfer for OHSR from MGMT/O&S/OCHCO to OSEM/O&S/M&O	26	26	\$7,398
Management and Oversight	26	26	\$7,398
Transfer 5 - Transfer for Real ID from OSEM/O&S/SPP to TSA/O&S	(3)	(3)	(\$527)
Office of Strategy, Policy, and Plans	(3)	(3)	(\$527)
Transfer 6 - Transfer for the Secretary's Briefing Staff from AO/O&S to OSEM/O&S/M&O	9	7	\$1,237
Management and Oversight	9	7	\$1,237
Transfer 7 - Transfer for the Terrorist Screening Center Deputy from A&O/O&S to OSEM/O&S/SPP	1	1	\$177
Office of Strategy, Policy, and Plans	1	1	\$177
Transfer 8 - Office of State and Local Law Enforcement (OPE) to the Office of the Secretary	-	-	-
Management and Oversight	6	6	\$1,235
Operations and Engagement	(6)	(6)	(\$1,235)
Total Transfer Changes	70	61	\$27,509

Transfer 1 – Annualization of Medical Information Exchange (MIX) Personnel from CWMD to OSEM: This transfer is to the OSEM Management and Oversight PPA from Countering Weapons of Mass Destruction (CWMD) Operations and Support appropriation for personnel that support the Medical Information Exchange program.

Transfer 2 – Transfer of Blue Campaign from OSEM/OS/OE to ICE/OS/HSI: This transfers the Blue Campaign from the OSEM Operations and Engagement PPA to Immigration and Customs Enforcement (ICE) Operations and Support appropriation, to align Blue Campaign. personnel and program support with ICE’s Center for Countering Human Trafficking (CCHT). The launch of the CCHT in 2020 allows the Department to better align and coordinate the many diverse DHS efforts to combat human trafficking and the importation of goods produced with forced labor.

Transfer 3 – Office of Health Security & Resilience (OHSR) from Countering Weapons of Mass Destruction (CWMD): This transfers the Chief Medical Officer and the Medical Operations Directorate personnel and programs from the Countering Weapons of Mass Destruction (CWMD) Operations and Support appropriation for the Office of Health Security & Resilience (OHSR) to OSEM. This office will be held in the Management and Oversight PPA. Pursuant to general provisions included and also requested, in Title V, through the President’s Budget process, and consistent with section 872 of the Homeland Act of 2002, the Budget request consolidates these functions under a new executive management office led by the Chief Medical Officer to better coordinate the Department’s medical and public health policies and operations.

Transfer 4 – Office of Health Security & Resilience (OHSR) from Office of Chief Human Capital Office (OCHCO): This transfers the Workforce Health and Safety Division personnel and programs from Office of Chief Human Capital Office (OCHCO) Operations and Support appropriation for the Office of Health Security & Resilience (OHSR) to OSEM. This office will be held in the Management and Oversight PPA. Pursuant to general provisions included and also requested, in Title V, through the President’s Budget process, and consistent section 872 of the Homeland Act of 2002, the Budget request consolidates these functions under a new executive management office led by the Chief Medical Officer to better coordinate the Department’s medical and public health policies and operations.

Transfer 5 – Transfer of REAL ID from OSEM/OS/SPP to TSA O&S: This transfers the REAL ID program and associated personnel from OSEMs Strategy, Policy, and Plans PPA to the Transportation Security Administration (TSA). This transfer realigns the REAL ID program to TSA for long term operations and management. TSA is the appropriate operational Component to administer the REAL ID Act and manage the REAL ID Program Office because it has oversight of the final and largest phase of REAL ID enforcement: the boarding of domestic commercial aircraft.

Transfer 6 – Transfer for Secretary’s Briefing Staff from A&O/O&S to OSEM/O&S/M&O: This transfer consolidates the resources and eliminates information silos to enhance the quality of briefing materials already provided by the Office of the Executive Secretary (ESEC) within OSEM.

Transfer 7 – Transfer of Terrorist Screening Center Deputy from A&O/O&S to OSEM/O&S/SPP: This transfer moves one position and FTE from the Analysis and Operations to Operations and Support PPA to OSEM. Transferring OPS's single FTE will enhance DHS's ability to align screening policies both in DHS and within the U.S. Government.

Transfer 8 – Transfer the Office of State and Local Law Enforcement (OSLLE) to the Office of the Secretary: This transfers the functions and personnel associated with the Office of State and Local Law Enforcement (OSLLE) from the Operations and Engagement PPA to the Management and Oversight PPA. This request restores a legislative mandate authorized by Congress (pursuant to section 801(b) of the Homeland Security Act of 2002 (6 U.S.C. 361(b)) to be led by an Assistant Secretary for State and Local Law Enforcement and makes the Assistant Secretary a direct report to the Secretary of Homeland Security. OSLLE's mission will be to meet the growing demands of strengthening the Department's law enforcement partnerships and to carry out the expectations of the Secretary related to State, local, tribal, territorial, and campus (SLTTC) law enforcement collaboration and outreach; law enforcement engagements; and to ensure that law enforcement terrorism focused grants administered by the Department are appropriately focused terrorism prevention activities.

Operations and Support Justification of Pricing Changes

(Dollars in Thousands)

	FY 2023 President's Budget		
	Positions	FTE	Amount
Pricing Change 1 - Civilian Pay Raise Total	-	-	\$5,193
Management and Oversight	-	-	\$1,936
Office of Strategy, Policy, and Plans	-	-	\$1,271
Operations and Engagement	-	-	\$1,986
Pricing Change 2 - Annualization of Prior Year Pay Raise	-	-	\$798
Management and Oversight	-	-	\$295
Office of Strategy, Policy, and Plans	-	-	\$202
Operations and Engagement	-	-	\$301
Pricing Change 3 - FPS Fee Adjustment	-	-	\$327
Management and Oversight	-	-	\$122
Office of Strategy, Policy, and Plans	-	-	\$80
Operations and Engagement	-	-	\$125
Pricing Change 4 - Annualization of CRCL Staffing	-	17	\$2,326
Operations and Engagement	-	17	\$2,326
Pricing Change 5 - Annualization of C-UAS Policy Coordination Office	-	1	\$93
Office of Strategy, Policy, and Plans	-	1	\$93
Pricing Change 6 - Annualization of Hitchcock Hall and Center Building Studio	-	2	\$410
Management and Oversight	-	2	\$410
Pricing Change 7 - Annualization of Immigration Data Integration Initiative (IDII)	-	2	\$275
Office of Strategy, Policy, and Plans	-	2	\$275
Pricing Change 8 - Annualization of Office of Immigration Statistics Staffing	-	3	\$410
Office of Strategy, Policy, and Plans	-	3	\$410
Pricing Change 9 - Annualization of Office of Privacy Staffing	-	5	\$684
Management and Oversight	-	5	\$684
Pricing Change 10 - Annualization of OLA Staffing and Correspondence Analyst Task Tracker	-	4	\$684
Management and Oversight	-	4	\$684
Pricing Change 11 - CISOMB S&B Reduction	(5)	(5)	(\$547)
Operations and Engagement	(5)	(5)	(\$547)
Pricing Change 12 - Contract Support Reduction	-	-	(\$359)
Office of Strategy, Policy, and Plans	-	-	(\$156)
Operations and Engagement	-	-	(\$203)

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Pricing Change 13 - CRCL Administrative Support Services Contracts	-	-	(\$849)
Operations and Engagement	-	-	(\$849)
Pricing Change 14 - OLA S&B Reduction	-	-	(\$463)
Management and Oversight	-	-	(\$463)
Pricing Change 15 - OPA Newsclipping Contract TechMis	-	-	(\$452)
Management and Oversight	-	-	(\$452)
Pricing Change 16 - OPA Training and Supplies	-	-	(\$63)
Management and Oversight	-	-	(\$63)
Pricing Change 17 - OPE Training and Conferences	-	-	(\$377)
Operations and Engagement	-	-	(\$377)
Pricing Change 18 - OPE Travel Reduction	-	-	(\$46)
Operations and Engagement	-	-	(\$46)
Pricing Change 19 - Organization Cost Efficiencies	-	-	(\$3,715)
Management and Oversight	-	-	(\$1,193)
Office of Strategy, Policy, and Plans	-	-	(\$150)
Operations and Engagement	-	-	(\$2,372)
Pricing Change 20 - PLCY CP3 Reductions to Prior Year Contract Support	-	-	(\$2,000)
Office of Strategy, Policy, and Plans	-	-	(\$2,000)
Pricing Change 21 - Removal of Annualization of Prior Year Program Changes	-	-	(\$1,303)
Office of Strategy, Policy, and Plans	-	-	(\$777)
Operations and Engagement	-	-	(\$526)
Pricing Change 22 - Travel Reduction	-	-	(\$709)
Management and Oversight	-	-	(\$555)
Operations and Engagement	-	-	(\$154)
Total Pricing Changes	(5)	29	\$317

Pricing Change 1 – Civilian Pay Raise Total: This Pricing Change reflects the increased pay costs due to the first three quarters of the 4.6 percent civilian pay increase for 2023. It reflects these costs for all pay funding included in the base, modified by any transfers of pay funding.

Pricing Change 2 – Annualization of Prior Year Pay Raise: This Pricing Change reflects for the total costs of annualizing the 2022 calendar-year pay increase on the FY 2022 base.

Pricing Change 3 – FPS Fee Adjustment: This Pricing Change reflects anticipated increases for the FPS Basic Security Assessment.

Pricing Change 4 – Annualization of CRCL Staffing: This Pricing Change funds the annualization of 17 FTE to sustain staffing for CRCL.

Pricing Change 5 – Annualization of C-UAS Policy Coordination Office: This Pricing Change funds the annualization of 1 FTE to sustain operation support of the C-UAS Policy Coordination Office.

Pricing Change 6 – Annualization of Hitchcock Hall and Center Building Studio: This Pricing Change funds the annualization of 2 FTE to sustain operational support and managing the daily operations of the Hitchcock Hall and Center Building Studio.

Pricing Change 7 – Annualization of Immigration Data Integration Initiative (IDII): This Pricing Change funds the annualization of 2 FTE to sustain operational support of the Immigration Data Integration Initiative (IDII).

Pricing Change 8 – Annualization of Office of Immigration Statistics Staffing: This Pricing Change funds the annualization of 3 FTE to sustain staffing of Office of Immigration Statics Staffing.

Pricing Change 9 – Annualization of Office of Privacy Staffing: This Pricing Change funds the annualization of 5 FTE to sustain staffing of Office of Immigration Statics Staffing.

Pricing Change 10 – Annualization of OLA Staffing and Correspondence Analyst Task Tracker: This Pricing Change funds the annualization of 4 FTE to sustain operational support for OLA staffing and correspondence analyst task tracker.

Pricing Change 11 – CISOMB Salary & Benefits Reduction: This Pricing Change reflects a decrease in personnel and salary and benefits within the Office of the Citizenship and Immigration Services Ombudsman (CISOMB).

Pricing Change 12 – Contract Support Reduction: This Pricing Change reflects a reduction for contract support.

Pricing Change 13 – CRCL Administrative Support Services Contracts: This Pricing Change reflects a decrease in support services contracts for administration cost within Civil Rights for Civil Liberties.

Pricing Change 14 - OLA Salary &Benefits Reduction: This Pricing Changes reflects a decrease of personnel costs within the Office of Legislative Affairs.

Pricing Change 15 – OPA Newsclipping TechMis Contract: This Pricing Change reflects a decrease in contract support for Newsclippings TechMis within the Office of Public Affairs.

Pricing Change 16 – OPA Training & Supplies: This Pricing Change reflects a decrease in training and supplies within the Office of Public Affairs.

Pricing Change 17 – OPE Training & Conferences: This Pricing Change reflects a decrease in training and conferences within the Office of Partnership and Engagement.

Pricing Change 18 – OPE Travel Reduction: This Pricing Change reflects a decrease in travel within the Office of Partnership and Engagement.

Pricing Change 19 – Organizational Cost Efficiencies: This Pricing Change reflects an increase to organize cost efficiencies.

Pricing Change 20 – PLCY CP3 Reductions to Prior Year Contract Support: This Pricing Changes reflects a non-recur decrease in contract support for Center for Prevention Programs and Partnerships (CP3) within Strategy, Policy, and Plans.

Pricing Change 21 – Removal of Annualization of Prior Year Program Changes: This Pricing Change reflects a removal of the annualization of prior year program changes.

Pricing Change 22 – Travel Reduction: This Pricing Change reflects a decrease for travel in OSEM.

Operations and Support Justification of Program Changes

(Dollars in Thousands)

	FY 2023 President's Budget		
	Positions	FTE	Amount
Program Change 1 - CIS Ombudsman Local Ombudsman	5	3	\$592
Operations and Engagement	5	3	\$592
Program Change 2 - CIS Ombudsman Sustain Current Services	-	-	\$1,119
Operations and Engagement	-	-	\$1,119
Program Change 3 - CRCL Advancing Equity	5	3	\$957
Operations and Engagement	5	3	\$957
Program Change 4 - ESEC Family Reunification Task Force	10	5	\$19,525
Management and Oversight	10	5	\$19,525
Program Change 5 - Medical Information Exchange (MIX)	-	-	\$3,048
Management and Oversight	-	-	\$3,048
Program Change 6 - OGC Ethics and Compliance Law Knowledge Management System	-	-	\$600
Management and Oversight	-	-	\$600
Program Change 7 - OGC Staffing	14	7	\$1,193
Management and Oversight	14	7	\$1,193
Program Change 8 - OHSR Chief Medical Officer	6	5	\$2,141
Management and Oversight	6	5	\$2,141
Program Change 9 - OLA Office of Legislative Affairs	2	1	\$182
Management and Oversight	2	1	\$182
Program Change 10 - OPA Staff Increase	10	5	\$1,924
Management and Oversight	10	5	\$1,924
Program Change 11 - PLCY Center for Prevention Programs and Partnerships (CP3)	14	14	\$2,031
Office of Strategy, Policy, and Plans	14	14	\$2,031
Program Change 12 - PLCY Foreign Investment Risk Management Staffing	5	5	\$879
Office of Strategy, Policy, and Plans	5	5	\$879

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Program Change 13 - PLCY Supplemental Staff for the Office of Immigration Statistics (OIS)	12	6	\$716
Office of Strategy, Policy, and Plans	12	6	\$716
Program Change 14 - PLCY Unplanned Departmental C-UAS Response	-	2	\$3,700
Office of Strategy, Policy, and Plans	-	2	\$3,700
Total Program Changes	83	56	\$38,607

Program Change 1 – CISOMB Local Ombudsman:**Description**

The FY 2023 Budget includes an increase of 5 Positions, 3 FTE, and \$0.6M for the Office of the Citizenship and Immigration Services Ombudsman (CISOMB) Local Ombudsman. There is no base for this program.

Justification

Congress created CISOMB so it could make recommendations on ways to fix problems and improve the quality of immigration benefits and services provided by USCIS. For CISOMB to serve as an effective early indicator of trending issues, the office must engage with the public on a consistent basis. Some customers lack trust in government or fear retaliation, and it takes time to cultivate those relationships. In addition, having Local Ombudsman better situates CISOMB (and also USCIS via CISOMB's recommendations) to support the Administration and the Nation's commitment to providing orderly and effective processing of applications and USCIS operations. The benefits of establishing Local Ombudsman positions include:

- Actualizing Congress' true intent in establishing the Office of the Citizenship and Immigration Services Ombudsman;
- Enabling frequent site visits to USCIS field offices, regional offices, asylum offices, and service centers;
- Building relationships with USCIS leadership and personnel at all levels of the organization to better understand operational challenges;
- Fostering relationships with customers and stakeholders to identify emerging trends and issues, including those specific to that geographic area;
- Resolving matters of concern locally before they become serious and pervasive matters at the national level;
- Escalating unresolved trending issues to CISOMB headquarters to make recommendations to USCIS on how to remedy ongoing challenges; and
- Increasing awareness of CISOMB's services and mission in remote geographic areas.

Performance

To make operationally feasible recommendations that USCIS will implement, CISOMB must gain a deeper understanding of USCIS operations as well as customer concerns. CISOMB is seeking to develop partnerships with USCIS components across the country. CISOMB would like to have a better understanding of the office's mission and action on the recommendations provided by CISOMB. CISOMB would also like to know how their offices consider and implement these recommendations in a timely manner. Local Ombudsman positions will increase awareness of the services provided by CISOMB, which will likely lead to additional requests for case assistance and opportunities to engage with a diverse set of stakeholders, thereby enabling CISOMB to better understand the public's concerns and then make practical recommendations to improve the administration of immigration services and benefits. To meet these requirements, CISOMB will establish Local Ombudsman in five locations based on their proximity to applicants and a variety of other factors, and will collocate these positions with other DHS offices to further minimize taxpayer costs.

Program Change 2 – CISOMB Sustain Current Services:**Description**

The FY 2023 Budget includes an increase of \$1.1M to sustain current services. The base for this program is 42 Positions, 35 FTE, and \$7.9M.

Justification

This funding request of \$1.1 million will be used to sustain current services and to support the authorized level of 42 positions. CISOMB has worked to recruit and retain a professional staff of subject matter experts whose indomitable dedication to the statutory mission supports the improved delivery of immigration services and benefits to individuals and employers. This funding will be used to maintain eight currently onboard subject matter experts for the CISOMB staff.

This current services funding request does not replace any existing program or initiative. Funding will be used to pay for the salary and benefits of the authorized staff. CISOMB needs the 42 positions to meet current demand for its services. This additional funding is necessary to successfully carry out the functions for which Congress created this office. Immigration is a key priority for the Administration and DHS. CISOMB works to ensure a fair and consistent process in the administration of immigration benefits, including related changes in policy and practice.

Performance

If funding is provided, CISOMB will be able to maintain current services. These CISOMB staff members would provide resources to fulfill its statutory mission of: 1) assisting individual and employers with case problems; 2) identifying issues and trends experienced by USCIS stakeholders; and 3) making recommendations to USCIS to improve the delivery of immigration benefits and services.

Every function, and every operation within the purview of CISOMB would be critically impaired by the inability to maintain current services. Without sufficient funding, CISOMB would be unable to retain current FTEs, and would either need to furlough employees or be unable to backfill if there is attrition. CISOMB has experienced exponential growth in the public's demand for case assistance, public engagement, and recommendations to improve the immigration benefits system. The success of CISOMB's statutory mission requires collaborative efforts and insufficient staff in one division impacts all of them. Without a baseline of staff on each team, CISOMB will be unable to fulfill its statutory mission, which will negatively impact the office's credibility. CISOMB's success depends on public trust, and without sufficient resources to address case problems, identify issues, and make recommendations to USCIS, the public will lose faith in the office's ability to provide value.

Program Change 3 – CRCL Advancing Equity:**Description**

The FY 2023 Budget includes an increase of 5 Positions, 3 FTE, and \$1.0M for the Advancing Equity. There is no base for this Program.

Justification

CRCL established a new program in January 2021 that fulfills the Department's requirements with respect to advancing equity in its programs and services pursuant to recent executive actions, including Executive Order (EO) 13985, *Advancing Racial Equity and Support for Underserved Communities Through the Federal Government* and related Executive Orders; EO 13988, *Preventing and Combating Discrimination on the Basis of Gender Identity or Sexual Orientation*; EO 14019, *Promoting Access to Voting*; EO 14020, *Establishment of the White House Gender Policy Council*; EO 14031, *Advancing Equity, Justice, and Opportunity for Asian Americans, Native Hawaiians, and Pacific Islanders*; the Presidential Memorandum on *Condemning and Combating Racism, Xenophobia, and Intolerance Against Asian Americans and Pacific Islanders in the United States*, dated Jan. 26, 2021; the Presidential Memorandum on *Advancing the Human Rights of Lesbian, Gay, Bisexual, Transgender, Queer, and Intersex Persons Around the World*, dated Feb. 4, 2021; and other executive actions.

These executive actions require ongoing work, much of which is distinct from the work already being performed by CRCL. Although existing staff have assumed these responsibilities on a temporary basis, such an arrangement is not practical as a long-term solution because those staff were already fully engaged on other critical priorities. Additionally, these executive actions require occasional work that necessitates the assistance of outside contractors. For example, EO 13985 requires rigorous, data-intensive equity assessments of the Department's programs. CRCL does have one statistician currently on staff, but these assessments require a team with specialized data analysis skills.

To meet the requirements of these executive actions, CRCL needs \$707k for 5 FTEs (one GS-15 supervisor, and four GS-14/15 Policy Advisors) and \$250K in contract funding to fund a team of SMEs with specialized expertise in conducting equity assessments, and other critical skill areas, for occasional support and consultation. Without these additional resources, CRCL's ability to fulfill the requirements of these executive actions, while simultaneously meeting its existing responsibilities, will degrade significantly.

The new program will better position DHS, consistent with these executive actions, to take long-term steps to continuously address barriers to DHS opportunities and benefits for people of color and other underserved groups. Delivering critical DHS information, resources, and benefits on an equitable bases to all also promotes operational goals across DHS mission areas and is aligned with the DHS Strategic Plan, Sub-Objective, 6.3.4, *Preserve civil rights, civil liberties, and privacy in all efforts, activities, and programs aimed at securing the homeland and achieving the Department's goals and objectives*. The new program further aligns with the CRCL Strategic Plan, especially Goal 1, *Proactively promote integration of civil rights and civil liberties protections in all DHS policies, programs, and operations*.

Performance

If funding is provided, the Department will be more effective in advancing equity across its mission areas and fulfilling its responsibilities under recent executive orders. Additionally, CRCL will have the ability to meet existing obligations across several functional areas without having to divert to critical resources.

Program Change 4 – ESEC Family Reunification Task Force:**Description**

The FY 2023 Budget includes an increase of 10 Positions, 5 FTE, and \$19.5M for the Family Reunification Task Force. There is no base for this Program.

Justification

An estimated 1,566 children remain separated from their families following the trauma inflicted when the U.S. Government used family separation as a migration deterrent policy. An estimated 200-250 parents who were forcibly separated from their children have not been located. Approximately 2,400 children and families are reunited but remain in the United States without the necessary support or services to recover from the trauma forced upon them. As of January 2022, 112 children have been reunified by the Family Reunification Task Force. Because no effort was made to track the separations or reunifications by the previous administration, there is also a need to collect and analyze data. Funding is needed to locate families, assist reunification process, and bring these families closer to recovery from what they endured at the hands of the U.S. Government.

The funding will support Federal staff, logistics and transportation costs, family support services, and legal services.

DHS, as chair and the lead on most of the reunification efforts, requires designated Federal staff to oversee the mission and manage the day-to-day work of the Task Force. The overall staffing need identified to execute the EO is assessed at 10 Federal positions. (\$1.5M)

Certain functions of the Task Force will be short-term and incidental, making the need better serviced through contracted services. For FY 2023, the Task Force anticipates needing contract support for strategic executive support, facilitation and coordination of stakeholder engagements, program management and execution support, data analysis for reunification reporting, managing public correspondence and verifying contact information for reunified families. Assistance from the International Organization for Migration (IOM) for family reunifications may also be required as separations are identified and outreach is conducted. Depending on the previous year's success to identify families, continued outreach may be required to find any remaining families still not contacted (both in home country and in the U.S.). Currently, the IOM contract authority and funding is provided by

ICE, while OSEM FRTF oversees the IOM contract activities. In FY 2023, the FRTF requests the funding and the authority to continue the IOM contract. This funding overall will allow for the FRTF to have full control over the contracting of these essential services and centralize oversight of all DHS FRTF activities. These services will total \$17.9M.

Performance

If funding is provided, the Department will be able to implement Executive Order 14011, Establishment of Interagency Task Force on the Reunification of Families (EO) without having to divert critical resources. This funding request would address the urgent need to reunify families through additional staffing of subject matter experts, cover fees to expedite families being reunified, and program management support to allow for prompt and accurate tracking and reporting to the White House, Congress, OMB, other government agencies and non-government organizations. If funding is not provided there will be a delay in unifying families where some have been separated for over 5 years.

Program Change 5 – Medical Information Exchange (MIX):**Description**

The FY 2023 Budget includes \$3.1M for the Medical Information Exchange (MIX). The base for this program is 0 Positions, 2 FTE, and \$0.5M which is reflected as a transfer in the FY2023 Budget.

Pursuant to PL 116-93 and PL 116-260, the MIX will serve as the single health information framework and longstanding information technology backbone of a unified Department-wide electronic healthcare records system. Currently, the Department relies on highly fragmented, inefficient, and often paper-based processes and systems. This prevents the ability to fully leverage the power of data and enable information sharing to drive proactive, clinically indicated, and timely interventions that protect the DHS workforce and those in the Department's care and custody.

Justification

The MIX is meant to address five key drivers: (1) provide seamless quality care to those under our care and custody across multiple healthcare sectors; (2) meet statutory responsibilities; (3) improve performance and efficiencies of DHS-wide medical operations; (4) harness the power of data; and (5) aid medical and public health response during crises, including supporting and coordinating with Federal, State, local, territorial, and tribal (FSLTT) partners. This includes healthcare system administration and reporting for the workforce and those in the care and custody of DHS, disease surveillance, reporting, senior leader decision making, outbreak response, and advancing an efficient and data-driven process to review deaths in custody.

This funding will support sustainability, rapid progress toward full operational capability, and future buildouts of this program. These funds will be utilized to continue current and future development contracts, in addition to begin incorporating next-generation elements that align with other Federal electronic health record systems. The MIX program continues to be exceptionally cost-effective as a program and is projected to cost about one third less versus implementing any commercially available health record system over its entire useful life, while being optimally adapted to DHS-specific missions and more resistant to obsolescence. As an agile development, this iterative system is projected to support DHS for the foreseeable future, as the system matures and grows with new medical and public health modules added to the platform over time. The MIX will be the enduring, agile, and operational electronic health record system needed for DHS, while also serving as the backbone for low-cost spiral additions

related to workforce health and safety activities. The most critical period of development is between FY 2022 and FY 2025, with the Initial Operational Capability (IOC) currently projected as FY 2022 – Q4 and Final Operational Capability (FOC) projected as FY 2024 – Q3. Provided below are the key milestone events for the program:

FY 2020 Planned Key Milestone Events

- MIX Phase 1 – Initial planning, development, and deployment of the Medical and Public Health Information Sharing Environment (MPHISE) capability that served as the technology foundation for MIX, which occurred under CWMD.

FY 2021 Planned Key Milestone Events

- MIX Phase 2 – Sustainment of the MPHISE capability and transition to MIX development, which occurred under CWMD.

FY 2022 Planned Key Milestone Events

- MIX Phase 2 – Initial Operational Capability (IOC) of the MIX, connecting to the Unified Immigration Portal and the CBP electronic health record system, which will occur under CWMD.
- MIX Phase 3 – Continue development towards the Final Operational Capability (FOC), which will occur under CWMD.

FY 2023 Planned Key Milestone Events

- MIX Phase 3 – Continue development towards the Final Operational Capability (FOC), which will occur under OSEM.

Performance

This funding is required to ensure sustainment of current development contracts, execution of planned efforts to enhance modular integration with DHS Component health and medical record systems, and begin incorporating next-generation functionality that will enable the Department to proactively recognize, analyze and mitigate public health and medical crises to protect the communities served, the DHS workforce, and those in our care and custody. The program also anticipates additional public health and border health security related issues each year – such as COVID response and the Migrant Protection Protocols in FY 2022 – that will require rapid utilization of aspects of the MIX architecture. This funding is budgeted within O&S as two-year funding, as the program is anticipated to reach IOC in FY 2022 and continue development towards FOC.

Program Change 6 – OGC Ethics and Compliance Law Knowledge Management System:**Description**

The FY 2023 Budget includes an increase of \$0.6M for Ethics and Compliance Law Knowledge Management System. There is no base for this program.

Justification

The Secretary has designated a Designated Agency Ethics Official (DAEO) within OGC to administer the provisions of Title I of the Ethics in Government Act of 1978, as amended, manage and coordinate the DHS Ethics program, provide liaison to the Office of Government Ethics with regard to all aspects of the DHS Ethics program and perform the functions set forth in 5 C.F.R. §2638.203. Finally, the Secretary is responsible for providing the DAEO with sufficient resources, including staffing, to sustain an effective ethics program. Currently, the Designated Agency Ethics Official (DAEO) does not have a knowledge management solution to effectively oversee the compliance and effectiveness of Component ethics programs. With a proper solution, the DAEO will have the ability to efficiently matrix program data, track the workflow of ethic-specific assignments Department-wide, coordinate the messaging of ethics advice and best practices Department-wide, and standardize data calls, questionnaires, and responses thereby unifying understanding. Moreover, a solution will avoid the need for personnel to manually gather data in response to OGC and OIG audits thereby allowing for more time to meet the increased demand for services, which is the sign of a healthy ethics program. A proper knowledge management solution will streamline work processes that in turn will allow for adequate time to manage increased requests for the following:

- Ethics guidance resulting from the increases of HQ employees (48 percent increase in employees between FY 2018 and FY 2020)
 - HQ employees in 2018 were 3,809
 - HQ employees in 2020 were 4,889
- OGC 278 filings requiring legal review (76 percent increase between FY 2018 and FY 2020)
- OGE 278-T filings requiring legal review (172 percent increase between FY 2018 and FY 2020)
- OGE 450 filings requiring legal review (7.2 percent increase between FY 2018 and FY 2020)
- Outside Activity Approval requests requiring legal review (185 percent increase between FY 2018 and FY 2020)

Funding will support initial infrastructure development, license, security, and professional services costs in the amount of approximately \$600,000. Annual required maintenance costs are estimated at \$70,000.

Performance

At this time OGC is only able to meet obligations mandated by the above authorities because personnel routinely work excessive hours, seven days a week. The current tempo is not sustainable without additional resources. OGC seeks to avoid a situation where the quality and timeliness of its legal counsel becomes compromised and OGC is not able to retain legal talent. This solution, while incurring an up-front cost, is an efficient solution that will save DHS and taxpayers time and funding in the long-run.

Program Change 7 – OGC Staffing:**Description**

The FY 2023 Budget includes an increase of 14 Positions, 7 FTE and \$1.2M for OGC Salaries and Benefits. The base for this program is 136 Positions, 119 FTE and \$26.9M.

Justification

OGC requests funding to support the salaries and benefits of 14 attorney advisors.

The additional personnel will support the Secretary's Strategic Goals by enabling OGC to:

- Respond to increased requests for legal services generated by the realignment of FPS, OBIM, and the Chief Medical Officer to DHS HQ
- Increase the timeliness of responses to congressional inquiries
- Properly support the Department's C-UAS efforts
- Advise in the development of fleet electrification plans
- Support the Office of Health Security & Resilience, formerly OCHCO Workforce Health and Safety, efforts to develop a Department-wide approach to childcare
- Support the implementation of the Cyber Talent Management System to recruit, retain, and manage cybersecurity talent
- Advise on recovery and remediation solutions to the SolarWinds breach
- Meet increased demands for fiscal law advice resulting from the doubling of DHS's budgetary resources between FY 2016 and FY 2020
- Handle a 2,900 percent increase in Touhy matters
- Meet the increased demand for ethics guidance resulting from the 48 percent increase in HQ employees between FY 2018-2020

Performance

At this time, OGC is only able to meet obligations mandated by the Homeland Security Act of 2002 (Pub. L. No. 107-296) and DHS Delegation No. 0400.2 because personnel routinely work excessive hours, seven days a week. The current tempo is not sustainable without additional resources. OGC seeks to avoid a situation where the quality and timeliness of its legal counsel becomes compromised and OGC is not able to retain legal talent.

Program Change 8 – OHSR Chief Medical Officer:**Description**

The FY 2023 Budget includes an increase of 6 Positions, 5 FTE, and \$2.1M for health informatics and regional operational medical support activities directed by the Chief Medical Officer (CMO) and Office of Health Security and Resilience (OHSR). The base for this program is 44 Positions, 35 FTE and \$7.9M.

Justification

The funding increase will support four (4) information technology and program management professionals to guide the Medical Information exchange (MIX) through initial development to Full Operational Capability (FOC), and sustainment of the MIX as the core backbone of a unified DHS-wide electronic health records system.

The MIX is meant to address five key drivers: (1) provide seamless quality care to those under our care and custody across multiple healthcare sectors; (2) meet statutory responsibilities; (3) improve performance and efficiencies of DHS-wide medical operations; (4) harness the power of data; and (5) aid medical and public health response during crises, including supporting and coordinating with FSLTT partners. This includes healthcare system administration and reporting for the workforce and those in the care and custody of DHS, disease surveillance, reporting, senior leader decision making, outbreak response, and advancing an efficient and data-driven process to review deaths in custody. Through agile system development, the MIX will also incorporate scalable and cutting-edge functionality such as artificial intelligence and machine learning. This approach will require qualified Federal personnel with backgrounds in development over the life and sustainment of the system. These four positions are as follows:

- Administrative Officer – as the MIX reaches full operational capability, this Federal position will lead day-to-day management of the program including individual and team development, continuity planning, operations and logistics, and overseeing integration of procurement activities and contract management.
- Senior Business Analyst – this Federal position will coordinate requirements development and identifying solutions using information technology, including eliciting requirements from stakeholders, analyzing the requirements, documenting them in BRDs (business requirements documents), and creating functional specifications. This role is the bridge between business operations and information technology, including collaborating with development and testing and evaluation teams.
- Data Visualization and Communications Analyst – this Federal position supports end-user interpretation and understanding of their own data, plans user and stakeholder communications in coordination, and supports with communications planning and graphics for customer liaison activities. This position also designs and creates data visualizations intended to clearly convey complex medical, scientific, and technical data and information to increase understanding and dissemination.
- Medical Core and Data Entry Specialist - this Federal position directly supports the Metadata Encoding & Ontologies Specialist (a FY 2022 recruitment) and is another of the key "human-in-the-loop" positions that monitor and manage inputs and outputs to and from the AI engine. This position also supports manual annotation and indexing to documents and information streams that form the basis for machine learning models.

In addition, the Regional Medical Operations Group (RMOG) provides a forward-deployed technical assistance capability to federal, State, local, territorial and tribal (FSLTT) partners for countering the impacts of threats to health security. The RMOG is a regionalized network of both Federal full-time equivalent (FTE) and Special Government Employee (SGE) personnel with qualifications primarily in medicine and public health, with further specialization in areas such as emergency and austere medicine, trauma management, medical toxicology, biodefense, and community health. The RMOG ensures the integration – collaboration, communication and coordination – of public safety, law enforcement, medical and public health partners. The two (2) SGE positions (1 FTE equivalent) will provide enhanced flexibility in the recruitment of highly specialized medical practitioners to support specific projects and efforts as dictated by current and emerging medical priorities for the Department. This increase in positions is consistent with the minimal yet planned increase of positions of the RMOG posture as also included in the FY 2022 President's Budget.

Performance

The current ongoing development of the MIX system is heavily reliant upon support from external performers. However, as the system reaches Initial Operational Capability (IOC) and then FOC, it is both cost-effective and efficient to migrate programmatic operations to a core federal team. In alignment with similar electronic health record programs across the government, Federal staff are necessary to make inherently governmental decisions and represent OSEM and DHS equities. The various development phases contain a multitude of critical decisions and approvals that cannot be delegated to contracted personnel. This increase of Federal employees will ensure adequate oversight and timely decision making to eliminate bottlenecks and expedite processes needed to move the system forward as it becomes the standard and definitive platform for the Department.

RMOG personnel are positioned in five designated regions (Northeast, Southeast, Central, Northwest and Southwest) throughout the Nation and fill a unique gap not addressed by other regionalized Federal capabilities. These RMOG regions are designed to integrate with existing regional structures such as FEMA and HHS regions; however, emphasis is also placed on proximity to DHS-recognized fusion centers, FBI field offices, State and local public health and emergency services offices, as well as other Federal public health partners. By embedding law enforcement, medical and public health personnel together, the RMOG will continue to develop a robust prevention and response strategy that will achieve continuous sharing and monitoring of information to maintain real-time, on-demand situation awareness while supporting operational decision-making, training and exercises, regional planning, urgent response operations, and pre-staged surge capacity.

The *CWMD Act of 2018* identifies core responsibilities of the DHS Chief Medical Officer. These responsibilities include coordination with federal, State and local public health and medical partners including the HHS/Centers for Disease Control and Prevention and the HHS/Office of the Assistant Secretary for Preparedness and Response (ASPR). With the transfer to OSEM, the RMOG will be better positioned to synchronize health security-related planning and operations by integrating DHS Components, DHS Joint Task Forces, Federal interagency partners, and State and local partners. This includes the necessary specialized DHS medical expertise needed to conduct real-time, on the ground surveillance and assessments to address potential risks and appropriately implement mitigative actions to protect the DHS workforce, those in the care and custody of the Department, and the Nation.

Program Change 9 – OLA Office of Legislative Affairs:**Description**

The FY 2023 Budget includes an increase of 2 Positions, 1 FTE and \$0.2M for Office of Legislative Affairs. The base for this program is 36 Positions, 18 FTE and \$7.1M.

Justification

Two additional positions are needed to address the additional legislative requests and demands placed on OLAs office in recent years, for topics such as cybersecurity, border security, immigration, and human trafficking. Over past several years, OLA only has had three current correspondence analysts that prepare, process, and transmit correspondence on behalf of the Secretary, Assistant Secretary, and the entire Department of Homeland Security's components. The additional correspondence analysts would allow OLA to respond to and address, in a timely manner, the thousands of annual requests from Congress it receives each year. Having only three correspondence analysts to process thousands of pieces of correspondence annually has caused the current correspondence staff undue hardship and has placed strict demands on their work life/balance. Additionally, the

Secretary recently issued guidance to the components to respond to most congressional inquiries within 14 days. The additional correspondence analysts would directly support the Secretary's requirement to be more responsive to Congress.

Performance

The increased staffing level will allow OLA to directly liaise with congressional staff and advocate high-level policy interests that are important to different members of Congress, (i.e., COVID-19). This collaboration will not only improve efficiencies, but also improve communication and response time between the Department, Congress and the public. The additional staff would significantly improve congressional relationships and the management of substantial executive secretarial requirements that will ensure timely delivery of information to Members of Congress and their congressional staff.

Program Change 10 – OPA Staff Increase:**Description**

The FY 2023 Budget includes an increase of 10 Positions, 5 FTE and \$1.9M for the Office of Public Affairs. The base for this program is 31 Positions, 30FTE and \$7.9M.

Justification

The increased number of positions includes the following:

- GS-9/11 Web Content Specialist
- GS-9/11 Visual Information Specialist
- GS-12 User Experience & Analytics Manager
- GS-12 Web Content Manager (Emerald)
- GS-13 Strategic Engagement Specialist
- GS-13 Speechwriter
- GS-12/13 Telecommunications Specialist (Multimedia Events Specialist)
- GS-12/13 Visual Information Specialist
- GS-12/13 Program Manager
- GS-14 Deputy Speechwriter

All positions will allow the Office of Public Affairs to keep pace with the voluminous number of communications for the Department. Help with speech writing; increase graphic design support; multimedia support; have dedicated expertise for creating websites, platforms, and materials; review, expand, and improve more content on DHS.gov; management of the enterprise-wide digital asset management system for DHS public affairs; and have a dedicated web experience and analytics manager.

Current staffing levels are not adequate to facilitate the multitude of high-level of continuous communication needs for the Department. The additional staff will restore core capability and positions allowing OPA to directly support the Department as a whole.

By increases positions for OPA, this will allow for more transparency and information sharing on behalf of the Department for topics such as cybersecurity, border security, immigration, and human trafficking. It will also improve the Departments relationship with the public and DHS officials.

Performance

With additional funding, OPA will be able to effectively carry out its mission due to the growing need of communication, transparency, and information sharing. This additional funding for personnel is vital to support the Department on active engagement and outreach to the public.

Program Change 11 – PLCY Center for Prevention Programs and Partnerships (CP3):

Description

The FY 2023 Budget includes an increase of 14 Positions, 14 FTE, and \$2.0M for continued Center for Prevention Programs and Partnerships (CP3) growth. The base for this program is \$23.4M and 38 Positions.

Justification

This plan to increase Federal field staff outside of Washington, DC while shifting work from contract support to is (a) to meet the prevention-related requirements of the implementation plan for DHS and Administration requirements, including the *Strategic Framework for Countering Terrorism and Targeted Violence* (CTTV) and the *Implementation Plan for the National Strategy for Countering Domestic Terrorism*, and (b) to meet demand from State and local partners in building comprehensive local prevention frameworks. State and local requests continue to put significant demands on CP3 that often exceed the current footprint of the office—whether measured in technical assistance requests from states with no field presence, applications for funding that financial assistance cannot support, or education requests that are delayed due to staffing and resource shortages. This request will be used for 14 additional field positions to provide Regional Prevention Coordinators across all 10 FEMA regions along with supervisory coverage.

Field staff are an invaluable force multiplier in supporting CP3’s goal to build prevention programs nationwide. Since October 2020, CP3 field staff have logged at least 1,400 engagements across 49 States and 1 territory. Through these engagements, partners have requested assistance and field staff are assisting in building local prevention frameworks. Field staff engagements with new stakeholders reveals an earnest desire to expand prevention programs and increasing support of CP3’s new public health approach. Moving forward, it is critical to increase the Field Operations team because each region and locality is comprised of its own unique composition of partners, resources, challenges, and gaps. In each location, staff are required to engage hundreds of organizations from various sectors (e.g., private sector, public sector, non-profits, health, etc.) to build trust, increase awareness across society, build effective programs that reduce risk factors in society, intervene with individuals who are radicalizing to violence, and prevent recidivism. With just 14 current staff servicing the entire country, RPCs are regularly challenged to assess needs, identify emerging developments that could impact the development of LPFs, and prioritize educational and technical assistance, leaving gaps in society that are not properly served.

Performance

Without increased personnel, CP3 will remain limited in its capabilities to scale prevention efforts across the Nation and will impede the Department's ability to reduce the risk of targeted violence and terrorism. Lack of proper investment allows risk factors in society to continue to exist, local societies to be unaware and unprepared to address radicalization to violence, individuals to radicalize to violence without intervention services, and the criminal justice system unable to properly prevent recidivism of offenders of targeted violence and terrorism.

Program Change 12 – PLCY Foreign Investment Risk Management Staffing:**Description**

The FY2023 Budget includes 5 Positions, 5 FTE and \$0.9M for increased staffing support. The base for this 7 Positions, 7 FTE and \$3.1M.

Justification

Funding will provide support staff for PLCY's Trade and Economic Security (TES), and meeting mandates of the Foreign Investment Risk Review Modernization Act of 2018 (FIRMA), related increases in Committee on Foreign Investment in the United States (CFIUS), Team Telecom (TT) casework, and information and communications technology and services (ICTS) transactions. Resources at the headquarters level are required to ensure the Department is identifying and mitigating national security risks arising from foreign investments in the United States as well as complying with expanded statutory and executive order responsibilities for both CFIUS and Team Telecom, of which DHS is a member of.

In October 2019, the Department created the Assistant-Secretary led TES sub-office to support increased resilience and response for America's supply chains, review climate crisis impacts on supply chains, and participate in the new federal risk review process. TES is also supporting the DHS Arctic Strategy Implementation, DHS's role as Designated Policy Coordination (Integrating Foreign and Domestic Policies to Benefit the Middle Class) and increase activities in interagency processes associated with economic security and supply chain integrity.

Although TES was formally established and given significant responsibility, new positions were not created or funded. The proposed funding addresses this gap and will allow TES to adequately staff these efforts, to meet all requirements, and to address future requirements. To date, PLCY has used limited Schedule A temporary hiring under the CARES Act and must now permanently staff the function at the GS-9 to 13 level.

In addition to addressing the staffing gap, TES is also the coordinating an office for DHS participation in CFIUS and Team Telecom (TT). Growing caseloads will increase complex compliance monitoring responsibilities commensurately; further, the CFIUS and TT function has new and more robust policies and procedures. The majority of TES work is not transactional and requires attention and judgement above and beyond a staff of general analysts. Employees possess specialized knowledge and abilities, able to assess wholistic, nuanced risk posed to the United States and its national security.

CFIUS caseloads has sharply increased because of the Committee's jurisdictional expansion under the Foreign Investment Risk Review Modernization Act (FIRRMA) of 2018. FIRRMA expanded CFIUS jurisdiction in key DHS mission spaces, including non-controlling foreign investments in U.S. businesses involved in critical infrastructure, critical technology, and sensitive personal data, as well as real estate investment in airports and maritime ports. FIRRMA also created a new pathway for filers to submit their transactions to CFIUS assessment via a short-form declaration; this necessitates an additional, accelerated assessment process for the Department, which must be completed within 30 days.

- Federal positions will address
 - 258 cases as of Dec 3, 2021, up from 184 (40 percent) year-on-year and breaking the previous record of 227 by 14 percent.
 - 169 declarations as of Dec 3, 2021, up from the previous record of 126 (34 percent) year-on-year.
 - Legal reviews, investigations, and assessments of transactions thoroughly
 - Manageable case volumes as a result of FIRRMA's expanded CFIUS jurisdiction
 - Meet timeframes for applications based on Executive Order 1393 (signed April 2020)

Further impacting TES resource needs, DHS is required to support the Department of Commerce's identification and mitigation of undue risks arising from adversary national Information and Communication Technology Services (ICTS) under Executive Order 13973. As part of this responsibility, the Department is engaging industry to identify ICTS supply chain risk and working with the Department of Commerce to establish an interagency review process, like CFIUS and Team Telecom, to address ICTS risks pursuant to EO 13973. PLCY/TES is responsible for all DHS engagements across the interagency for this effort, as well as coordination across the department to make risk-based recommendations on ICTS risks to the Secretary. Additional staff will support this new and important effort by managing intra- and interagency coordination, threat identification, and risk analysis.

Performance

PLCY will be able to meet its expanding obligations to manage national security risk arising from foreign investment through CFIUS, Team Telecom, and ICTS. Funding will directly support compliance with statutorily mandated reporting requirements, while providing accurate and timely Congressional certifications based on PLCY recommendations.

Program Change 13 – PLCY Supplemental Staff for the Office of Immigration Statistics (OIS):

Description

The FY2023 Budget includes 12 Positions, 6 FTE and \$0.7M for increase staff support. The base for this program is 25 Positions, 23 FTE and \$4.1M.

Justification:

Increased staff support to Office of Immigration Statistics (OIS) will allow the office to complete the transition from quarterly to monthly and then to weekly data processing, enabling the provisioning of cutting-edge decision-support dashboards based on OIS' comprehensive person-level statistical system of record. OIS is on track to transition key datasets to a monthly refresh rate and will ensure 100% of data are processed monthly by the end of FY 2023, and that key datasets (e.g., CBP encounters, asylum claims, ICE arrests) are updated weekly. Statisticians will continue to provide data services, as needed, to monitor and support the Family Reunification Task Force, Migration Protection Protocols, asylum reform, Biden administration enforcement priorities, and any new requirements emerging through the legislative, regulatory, or administrative process. OIS' person-level dataset also supports rigorous policy research to support evidence-based policymaking, legislative strategy, and public messaging with research products focused on the Enforcement Lifecycle/Cohort Analysis, unique apprehensions and border recidivism analysis, alternatives to detention, granular population estimates, COVID, and enforcement process mapping. Other research topics in FY 2023 will likely include detailed analysis of how family units, unaccompanied children, and single adults are being processed through the adjudication process, mapping of the immigration benefits lifecycle, and additional research on nonimmigrant overstayers. With leadership support and oversight guidance, OIS will continue to improve the Department's public-facing data dashboards and will develop and release a public-use dataset to further strengthen DHS data transparency.

This funding supports the following:

- All data to be processed on monthly or weekly basis. This is a critical advance to allow PLCY and S1 to use OIS person-level system of record data for consistent decision support and to allow OLA and OPA to utilize validated OIS statistical system of record for public messaging and to respond to congressional requests.
- Tactical support for White House and S1 priorities (Border Operations Task Force, Task Force on Family Reunification, MPP, civil immigration enforcement priorities, revised asylum policies, legislative strategy)
- Complete the development of unauthorized population estimate methodologies, including demographic “inflow-outflow” modeling,
- Research and decision support to PLCY and S1:
 - Enforcement process mapping to support the Border Operations Task Force;
 - Research on use of Alternatives to Detention (ATD) and impact of ATD on enforcement outcomes/appearance rates;
 - Development of retrospective recidivism and unique subject encounter metrics;
 - In partnership with PA&E and CBP: survival regression analysis on determinant of alien recidivism;
 - Completion of Enforcement Lifecycle to include ICE arrests;
 - Overstay survival analysis;
 - In partnership with S&T, total migrant flow modeling;
 - In partnership with PA&E and CBP: evaluation of impact of border investments;
 - Completion of Benefits Lifecycle;
 - Analysis of benefits backlogs and processing capability;
 - Granular analysis of eligible-to-naturalize population.

For most of its recent history (2004-2015 period), OIS staff included between 15 and 20 statisticians plus 3-4 support staff, and reporting was limited to annual products. OIS is currently staffed at roughly two-thirds that level. (IDII staffing and contract support do not contribute to core OIS reporting and analysis.) Despite that reduced staffing, OIS has added new reporting deliverables:

- New daily reports: Border Task Force Senior Leadership Report (CBP, ICE, and HHS data);
- New daily report: Border Task Force Data Deck (CBP, ICE, and HHS data);
- New daily Migration Protection Protocols (MPP) summary data report (CBP, USCIS, and CWMD data);
- New bi-weekly MPP Lifecycle (Cohort) report to Congress (CBP, USCIS, DOJ/EOIR, and CWMD data);
- New monthly MPP public-facing report (CBP, USCIS, DOJ/EOIR, and CWMD data);
- New monthly report: Family Unit Action Report (ICE and CBP data);
- New monthly report: Southwest Border Encounter Report (CBP data);
- New quarterly report: Legal Immigration and Adjustment of Status Report (USCIS data);
- New quarterly report: Border Security Status Report (50-page report, ICE and CBP data);
- New quarterly report: Enforcement Lifecycle Standard Tables (CBP, ICE, USCIS, and DOJ/EOIR data);
- New annual report: Border Security Metrics Report (100-page report with substantial analytic component; CBP and USCG data); and
- New annual report: Enforcement Lifecycle Report (substantial analytic component; CBP, ICE, USCIS, and DOJ/EOIR data).

OIS previously processed all production data sets annually; now all data are processed quarterly or monthly and records are merged into OIS's statistical system of record (DHS/ALL-045 Statistical Immigration Data Production and Reporting System of Record). Additional staff are required to complete the transition from quarterly to monthly and (eventually) then weekly updates to the OIS person-level system of record and meet current demands for operational and decision support for White House and S1 priorities while continuing to meet OIS' statutory reporting requirements.

Additionally, OIS has developed 3 major new research products in last 5 years: estimates of illegal border crossers, Enforcement Lifecycle, inflow-outflow unauthorized population estimate. OIS leads enforcement pipeline and process modeling for DHS and the White House, using CBP encounter projections to develop estimates of enterprise-wide requirements, including e.g., numbers of detention beds, asylum interviews, and EOIR hearings under different enforcement scenarios. The OIS DAS is DHS Statistical Official under the Foundations of Evidence-Based Policymaking Act. In this capacity OIS routinely validates enterprise wide statistical products, co-leads (with the Chief Data Officer) all DHS data governance activities, and co-leads (with the DHS Evaluation Officer) development and implementation of the DHS Learning Agenda.

Performance

Funding to support additional staff is required to complete the transition from quarterly to monthly and (eventually) near-real time updates to the OIS person-level system of record; to lead Policy's contribution to the DHS Learning Agenda; and to meet current demand for operational and decision support for White House and Secretarial priorities while continuing to meet OIS' statutory reporting requirements. In the last several years, OIS reporting requirements have continued to increase with requests from Congress and DHS leadership. Current OIS staffing levels and funding are not commensurate with these requests and reporting requirements for quality statistical products, which are increasingly difficult to deliver without additional staff.

Program Change 14 – PLCY Unplanned Departmental C-UAS Response:**Description**

The FY2023 Budget includes 2 FTE and \$3.7M for increase staff support. The base for this program is 6 Positions, 4 FTE and \$3.5M.

Justification

Funding will support PLCY's statutorily-mandated C-UAS activities. To date, PLCY's Program Management Office (PMO) has deployed 42 sensors on behalf of the Department to support all Components operational C-UAS efforts; however, additional funding is required to provide 2 FTE and FFRDC support. The FFRDC will provide:

- Departmental Data Analysis and Tools (\$3.5M): used to understand trends and patterns of life, particularly as it relates to non-compliant drones. This capability will:
 - create standardized analysis tools and reports that can be used to generate regular Department-level updates for leadership and long-term trend analysis for Components and,
 - assist with initial development of a Government-wide incident reporting database contemplated by the NSC Action Plan.
- Departmental C-UAS Strategy (\$0.2M), encompasses 4 key priorities for the Department's overarching strategy. Funding requested will:
 - begin formal strategy development.
 - assist with required coordination for Departmental deployments.
 - provide policy guidance and strategic direction to maximize the Departments efficiency and reduce redundancy across Components
- The PMO processed over 90 operational C-UAS deployments over the last 7 months with only 2 Federal employees on staff. While the PMO continues to meet mission critical requirements, many program management functions and tools are neglected. Policy guidance documents are outdated and need to be refreshed based on lessons learned from over 200 deployments. This request will:
 - establish a new effort to develop and maintain robust tracking tool for Components to request and track all deployments to include collecting, organizing, and linking after-action reports, operator engagements, electronic mitigations, mitigation outcomes, operator interdictions, open cases, prosecutions, and prosecution outcomes to be used as an analysis tool for the overall program decision making, and support congressional briefings, when requested.

Section 701 of the Consolidated Appropriations Act, 2021 established a Countering Unmanned Aircraft Systems Coordinator function in PLCY and prescribed various C-UAS responsibilities for the office. The initial C-UAS authority dates to 2018, when the Preventing Emerging Threats Act was signed into law as part of the FAA Reauthorization Act of 2018. The law provided DHS and DOJ with the authorities needed to protect communities and buildings from the emerging threat posed by unmanned aircraft systems. No funding was available to develop, implement, and coordinate these activities. A rapidly evolving threat coupled with new authorities requires the ability to respond in an organized and coordinated manner while also meeting the numerous statutory requirements contained in The Act.

This funding will additionally assist in closing out an existing OIG recommendation dated June 25, 2020 (OIG-20-43) requiring DHS to conduct an objective workforce analysis to determine the appropriate staffing level for the C-UAS PMO.

Performance

Funding will ensure that dedicated personnel will be available to coordinate the Department's approach to C-UAS implementation and serve as lead for interagency coordination. With funding, C-UAS will meet Congressional, private, and public-sector demands, as well as ensure coordination and cost saving initiatives are pursued Department-wide. A C-UAS policy coordination office will provide the ability to move rapidly as possible to counter the significant and growing C- UAS threats.

More specifically, C-UAS policies would be unified across Department components, leading to a standardized application of C-UAS capabilities and authorities. The Department would be able to speak with one voice with State, local, and military partners, preventing confusion and potentially duplicative efforts, inefficient use of funds, and the provision of inaccurate information.

Operations and Support Personnel Compensation and Benefits

Pay Summary

(Dollars in Thousands)

	FY 2021 Enacted				FY 2022 President's Budget				FY 2023 President's Budget				FY 2022 to FY 2023 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Management and Oversight	289	263	\$43,423	\$159.39	330	293	\$55,835	\$185.27	457	403	\$78,633	\$190.22	127	110	\$22,798	\$4.95
Office of Strategy, Policy, and Plans	209	189	\$29,551	\$156.35	224	198	\$36,625	\$184.97	253	229	\$41,367	\$180.64	29	31	\$4,742	(\$4.33)
Operations and Engagement	264	252	\$44,215	\$175.46	301	272	\$57,269	\$210.55	293	277	\$56,402	\$203.58	(8)	5	(\$867)	(\$6.96)
Total	762	704	\$117,189	\$164.33	855	763	\$149,729	\$194.21	1,003	909	\$176,402	\$191.88	148	146	\$26,673	(\$2.33)
Subtotal Discretionary - Appropriation	762	704	\$117,189	\$164.33	855	763	\$149,729	\$194.21	1,003	909	\$176,402	\$191.88	148	146	\$26,673	(\$2.33)

The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel.

Pay by Object Class

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
11.1 Full-time Permanent	\$80,594	\$104,423	\$122,904	\$18,481
11.3 Other than Full-time Permanent	\$5,138	\$5,296	\$6,348	\$1,052
11.5 Other Personnel Compensation	\$1,058	\$1,089	\$1,515	\$426
11.8 Special Personal Services Payments	\$1,504	\$1,550	\$1,983	\$433
12.1 Civilian Personnel Benefits	\$28,895	\$37,371	\$43,652	\$6,281
Total - Personnel Compensation and Benefits	\$117,189	\$149,729	\$176,402	\$26,673
Positions and FTE				
Positions - Civilian	762	855	1,003	148
FTE - Civilian	704	763	909	146

Operations and Support
Permanent Positions by Grade – Appropriation
(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
Total, SES	71	71	79	8
GS-15	230	246	284	38
GS-14	127	150	202	52
GS-13	146	173	195	22
GS-12	73	88	99	11
GS-11	65	73	73	-
GS-9	33	36	40	4
GS-8	1	1	1	-
GS-7	14	15	15	-
GS-5	2	2	2	-
Other Grade Positions	-	-	13	13
Total Permanent Positions	762	855	1,003	148
Total Perm. Employment (Filled Positions) EOY	693	763	909	146
Unfilled Positions EOY	69	92	94	2
Position Locations				
Headquarters Civilian	688	695	838	143
U.S. Field Civilian	69	155	160	5
Foreign Field Civilian	5	5	5	-
Averages				
Average Personnel Costs, ES Positions	\$172,107	\$207,332	\$207,332	-
Average Personnel Costs, GS Positions	\$113,409	\$123,679	\$123,679	-
Average Grade, GS Positions	13	13	13	-

Operations and Support Non Pay Budget Exhibits

Non Pay Summary

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
Management and Oversight	\$11,509	\$19,815	\$58,629	\$38,814
Office of Strategy, Policy, and Plans	\$21,388	\$31,716	\$33,198	\$1,482
Operations and Engagement	\$30,733	\$23,487	\$22,951	(\$536)
Total	\$63,630	\$75,018	\$114,778	\$39,760
Subtotal Discretionary - Appropriation	\$63,630	\$75,018	\$114,778	\$39,760

Non Pay by Object Class

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
21.0 Travel and Transportation of Persons	\$4,926	\$4,613	\$4,591	(\$22)
23.1 Rental Payments to GSA	-	-	\$116	\$116
23.2 Rental Payments to Others	\$2,000	\$2,000	\$2,000	-
23.3 Communications, Utilities, & Miscellaneous	-	-	\$55	\$55
24.0 Printing and Reproduction	\$13	\$13	\$22	\$9
25.1 Advisory & Assistance Services	\$27,581	\$27,649	\$32,725	\$5,076
25.2 Other Services from Non-Federal Sources	\$13,212	\$18,386	\$36,164	\$17,778
25.3 Other Purchases of goods and services	\$14,910	\$21,510	\$33,257	\$11,747
25.6 Medical Care	-	-	\$3	\$3
25.7 Operation & Maintenance of Equipment	\$111	\$111	\$2,614	\$2,503
26.0 Supplies & Materials	\$693	\$569	\$935	\$366
31.0 Equipment	\$184	\$167	\$2,296	\$2,129
Total - Non Pay Budget Object Class	\$63,630	\$75,018	\$114,778	\$39,760

*Office of Strategy, Policy, and Plans – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request***(Dollars in Thousands)*

	FY 2021 Enacted			FY 2022 President's Budget			FY 2023 President's Budget			FY 2022 to FY 2023 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Office of Strategy, Policy, and Plans	209	189	\$50,939	224	198	\$68,341	253	229	\$74,565	29	31	\$6,224
Total	209	189	\$50,939	224	198	\$68,341	253	229	\$74,565	29	31	\$6,224
Subtotal Discretionary - Appropriation	209	189	\$50,939	224	198	\$68,341	253	229	\$74,565	29	31	\$6,224

PPA Level I Description

The Office of Strategy, Policy, and Plans (PLCY) PPA serves as the Department's principal source of policy development and decision analysis for DHS senior leadership and Secretarial initiatives and for other critical matters that may arise in a dynamic threat environment. The Immediate Office of the Under Secretary manages the PLCY sub-offices to include Counterterrorism and Threat Prevention Policy (CTTP), Trade and Economic Security Policy (TES), Border Security and Immigration Policy (BSI), International Affairs (OIA), and Cyber, Infrastructure, Risk, and Resilience Policy (CIRR), and one sub-office led by a Deputy Assistant Secretary, Strategic Integration and Policy Planning (SIPP).

The Office of Strategy, Policy, and Plans serves as a central resource to the Secretary and other Department leaders for policy development and review, strategic planning and analysis, and facilitation of decision-making on the full breadth of issues that may arise across the dynamic homeland security enterprise. PLCY is responsible for strengthening the Nation's homeland security by developing DHS-wide policies, programs, and planning to promote and ensure the highest level of performance, quality, consistency, and integration in the execution of all homeland security missions. PLCY represents and coordinates the consolidated DHS position at White House interagency committee meetings. The Office develops and articulates the long-term strategic view of the Department and translates the Secretary's strategic priorities into planning products that drive increased operational effectiveness through integration, prioritization, and resource allocation.

Immediate Office of the Under Secretary

The Immediate Office includes the Under Secretary and Deputy Under Secretary and manages the following: The Executive Secretariat; training and education programs; operations and resource management; Freedom of Information Act requests and inquiries; Privacy and Audit Liaison functions; strategy development and planning; and the Department's coordination process for Interagency Policy Committees (IPCs). Moreover, The Immediate Office provides a centralized coordination point for developing and communicating policies across multiple sub-offices within PLCY, throughout the Department, and across the homeland security enterprise. The Under Secretary serves as the coordinator of Department-wide policies and planning to advance and ensure consistency and integration among DHS missions.

Counterterrorism, Threat Prevention, and Law Enforcement (CTTPLE)

Counterterrorism, Threat Prevention, and Law Enforcement (CTTPLE) supports the Department's mission by countering terrorism and preventing a wide array of threats to the Homeland to include hostile nation states and emerging threats. CTTPLE leads the Department in addressing global transportation security, screening and vetting, watchlisting, information sharing, identity management and credentialing, and biometrics through the development and coordination of department-wide strategy and the administration of programs such as, Countering Unmanned Aircraft Systems (C-UAS), and Travel WISER. CTTPLE is both a producer and a consumer of information used by the national security, intelligence, and military communities, and CTTPLE ensures proper sharing and coordination of information essential to DHS's ability to fulfill its mission. Additionally, CTTPLE's Center for Prevention Programs and Partnerships (CP3) is the primary entity responsible for building, maturing, and driving the prevention mission in DHS and works to equip and empower local efforts to prevent individuals from mobilizing to violence.

Law Enforcement Policy (LEP) develops strategic, enterprise-wide solutions to improve, coordinate, and deconflict policies throughout DHS—the largest law enforcement (LE) Department in the Federal Government. The LEP team provides policy support to the Department's leaders and law enforcement officers as they address critical criminal and national security challenges. The portfolio includes DHS's Use of Force policy, deconfliction systems, officer conduct requirements, training programs, and evolving tools and technologies to combat crime. To advance this mission, LEP coordinates closely with DHS's LE Components and HQ offices, as well as with key external stakeholders, including Federal, State, Local, Tribal, Territorial, and international partners. LEP also promotes transparency and oversight of DHS's LE activities through reporting, accountability, and governance mechanisms. In 2021 LEP, was asked by senior leaders and the NSC to lead two major projects: (1) supporting the development of a Critical Incident Response Plan for the US Capitol Police and (2) leading Federal partners in an evaluation of NCR security, including conducting a simulation experiment (SIMEX) to pressure test NCR security solutions. In addition, LEP is handling approximately 10 GAO/OIG investigations concerning DHS LEOs.

Finally, CTTPL leads the Department's Transnational Organized Crime (TOC) portfolio. TOC promotes the Department's initiatives to safeguard the Northern, Southern, and Maritime Borders against national security and transnational criminal threats, strengthen cross-border community resilience, and facilitate legitimate trade and travel while preventing unlawful entry of people and goods into the country. TOC Policy unifies those DHS Components with a role to play in combating transnational organized crime into one DHS team working with synergy. TOC Policy addresses the broad spectrum of illicit activities including narcotics trafficking, weapons smuggling, and commercial fraud in addition to victim- and exploitation-based crimes such as sex trafficking, forced labor, child sexual exploitation, and human rights abuses. Correspondingly, this portfolio is responsible for ensuring DHS follows a victim-centered, trauma-informed approach—while advancing investigations or pursuing law enforcement outcomes.

Trade and Economic Security Policy

Trade and Economic Security (TES) manages policies that maintain the lawful flow of goods and services, capital, and technology across borders. This includes protecting the U.S. economy from illicit activity in the trade, foreign investment, and technology realm, while bolstering the administration's broader economic priorities. In managing this portfolio, TES engages in policy discussions to both secure and protect U.S. supply chains and entities engaged in global commerce—countering threats to economic and national security.

TES is devised of four functional offices relating to foreign investment, technology and innovation, economic competition, and trade. Specifically, TES manages both the Committee on Foreign Investment in the United States (CFIUS) and Team Telecom processes which identify and mitigate national security risks arising from foreign investment in the United States and foreign participation in the U.S. telecommunications services sector, respectively. TES strives to protect U.S. technology and sensitive data from illicit transfer and builds DHS domain awareness on key emerging technologies in areas like biotech, artificial intelligence, cryptocurrencies, and clean energy. TES's economic competition focus prioritizes regional engagements in the Arctic, Africa and China, while addressing issues related to supply chain resilience in the U.S. and economic growth opportunities overseas. In the trade realm, TES coordinates Department-wide policy initiatives on all matters of customs compliance, including forced labor, intellectual property rights enforcement, cargo security, and trade agreements negotiation and compliance.

Border Security and Immigration Policy

Border Security and Immigration Policy (BSI) develops strategy and policy aimed at ensuring the integrity immigration systems as well as the safe and efficient facilitation of people across the borders. BSI advises Department leadership on immigration policies and regulations and engages interagency counterparts on immigration policies and strategies to counter transnational crime and human trafficking. BSI's policymaking responsibilities ensure consistency on all border security and immigration matters across DHS's various Components. Additionally, BSI's Office of Immigration Statistics (OIS) leads the collection and dissemination to the public of statistical information and analysis useful in evaluating social, economic, demographic, and other impacts of immigration laws, migration flows, and immigration enforcement.

The Office of International Affairs (OIA) supports all of DHS's missions by managing and coordinating the Department's extensive international activities and cooperation with international and interagency partners. OIA develops, coordinates, and implements the Department's international priorities, security sector assistance, and attaché deployment. Additionally, OIA contains regional divisions that coordinate with interagency counterparts and facilitate relations with foreign governments and international organizations. OIA's initiatives leverage international partnerships to support and enhance the domestic homeland security mission. OIA serves a supporting function for all DHS Secretarial level international engagements.

Cyber, Infrastructure, Risk, and Resilience Policy

Cyber, Infrastructure, Risk and Resilience (CIRR) is responsible for the development, integration, and alignment of policy and strategy across the Department in the areas of cyber, technology, risk, and resilience. CIRR advises the Secretary; coordinates across DHS Components; and engages with the National Security Council (NSC) staff and with other federal, international, State and local, academia, and private sector stakeholders to ensure a unified DHS-wide approach to mitigate risks to the Homeland and increase the resilience of the Nation to all hazards. Particular cyber-related priorities include implementation of the DHS Cybersecurity Strategy and support to the Secretary's 60-day cybersecurity sprints. Those sprints focus on ransomware, industrial control systems, transportation sector threats, and election security. CIRR is leading efforts to coordinate associated internal and external efforts, as well as international engagements with key partners.

In the area of technology policy, CIRR works with key stakeholders to understand the impact of emerging technologies and evolving risks on homeland security efforts. Key focus areas including artificial intelligence, quantum computing, 5G, and other advanced technologies. CIRR led development of the DHS Artificial Strategy that was released in late 2020. Finally, CIRR leads DHS efforts to build resilience with a particular focus on incorporating climate change risks into DHS programs and ensuring the Department is positioned to lead national efforts to respond to a wide variety of incidents including hybrid and nontraditional incidents that do not fall within the scope of current Stafford Act authorities.

Strategic Integration and Policy Planning

Strategic Integration and Policy Planning (SIPP) provides the Department with an integrated and DHS-wide capability for strategy development, strategic planning, long-term assessment, and decision analysis, including statistical and economic analysis and risk assessment and modeling. SIPP serves as the Department's primary point of contact for strategy and policy planning offices in DHS Components, other Federal departments and agencies, and the White House. SIPP also represents DHS in OMB's Strategic Planning Working Group and major interagency strategy reviews. SIPP serves a leading role within the Department to link strategy and policy with capabilities and joint requirements analysis, programming and budgeting, operational planning, and major investment oversight. SIPP is also leading many initiatives intended to build and mature the Department into one that is greater than the sum of its parts, enhancing traceability between strategic objectives, budgeting, acquisition decisions, operational planning and mission execution, in order to improve both Departmental cohesiveness and operational effectiveness.

Office of Strategy, Policy, and Plans – PPA Budget Authority and Obligations

(Dollars in Thousands)

	FY 2021	FY 2022	FY 2023
Enacted/Request	\$50,939	\$68,341	\$74,565
Carryover - Start of Year	-	-	-
Recoveries	-	-	-
Rescissions to Current Year/Budget Year	(\$262)	-	-
Net Sequestered Resources	-	-	-
Reprogramming/Transfers	\$262	-	-
Supplementals	-	-	-
Total Budget Authority	\$50,939	\$68,341	\$74,565
Collections - Reimbursable Resources	\$284	\$224	\$293
Collections - Other Sources	-	-	-
Total Budget Resources	\$51,223	\$68,565	\$74,858
Obligations (Actual/Estimates/Projections)	\$51,223	\$68,565	\$74,858
Personnel: Positions and FTE			
Enacted/Request Positions	209	224	253
Enacted/Request FTE	189	198	229
Onboard and Actual FTE			
Onboard (Actual/Estimates/Projections)	209	224	253
FTE (Actual/Estimates/Projections)	189	198	229

Office of Strategy, Policy, and Plans – PPA Collections – Reimbursable Resources

(Dollars in Thousands)

	FY 2021 Enacted			FY 2022 President's Budget			FY 2023 President's Budget		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Homeland Security	1	1	\$87	-	-	-	-	-	-
Department of State	1	1	\$121	-	-	-	1	1	\$129
Office of the Director of National Intelligence	1	1	\$76	-	-	-	1	1	\$164
Department of Homeland Security - Cybersecurity and Infrastructure Security Agency	-	-	-	1	1	\$224	-	-	-
Total Collections	3	3	\$284	1	1	\$224	2	2	\$293

Office of Strategy, Policy, and Plans – PPA

Summary of Budget Changes

(Dollars in Thousands)

	Positions	FTE	Amount
FY 2021 Enacted	209	189	\$50,939
FY 2022 President's Budget	224	198	\$68,341
FY 2023 Base Budget	224	198	\$68,341
Total Technical Changes	-	-	-
Transfer for Real ID from OSEM/O&S/SPP to TSA/O&S	(3)	(3)	(\$527)
Transfer for the Terrorist Screening Center Deputy from A&O/O&S to OSEM/O&S/SPP	1	1	\$177
Total Transfers	(2)	(2)	(\$350)
Civilian Pay Raise Total	-	-	\$1,271
Annualization of Prior Year Pay Raise	-	-	\$202
FPS Fee Adjustment	-	-	\$80
Annualization of C-UAS Policy Coordination Office	-	1	\$93
Annualization of Immigration Data Integration Initiative (IDII)	-	2	\$275
Annualization of Office of Immigration Statistics Staffing	-	3	\$410
Contract Support Reduction	-	-	(\$156)
Organization Cost Efficiencies	-	-	(\$150)
PLCY CP3 Reductions to Prior Year Contract Support	-	-	(\$2,000)
Removal of Annualization of Prior Year Program Changes	-	-	(\$777)
Total Pricing Changes	-	6	(\$752)
Total Adjustments-to-Base	(2)	4	(\$1,102)
FY 2023 Current Services	222	202	\$67,239
PLCY Center for Prevention Programs and Partnerships (CP3)	14	14	\$2,031
PLCY Foreign Investment Risk Management Staffing	5	5	\$879
PLCY Supplemental Staff for the Office of Immigration Statistics (OIS)	12	6	\$716
PLCY Unplanned Departmental C-UAS Response	-	2	\$3,700
Total Program Changes	31	27	\$7,326
FY 2023 Request	253	229	\$74,565

Operations and Support

Office of Strategy, Policy, and Plans – PPA

FY 2022 TO FY 2023 Change	29	31	\$6,224
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Office of Strategy, Policy, and Plans – PPA FY 2023 Expenditure Plan

Office of Strategy, Policy, and Plans Planned Obligations: (Dollars in Thousands)						
Program Areas	Positions	Salaries and Benefits	Travel	Contracts/ IAAs	Other Expenses	Totals by Office
Immediate Office of the Under Secretary	24	\$3,924	\$86	\$999	\$27	\$5,036
Counterterrorism and Threat Prevention	72	\$11,772	\$139	\$15,500	\$67	\$27,478
Trade and Economic Security	28	\$4,578	\$77	\$4,149	\$26	\$8,830
Border Security and Immigration	56	\$9,156	\$155	\$10,204	\$49	\$19,564
International Affairs	49	\$8,012	\$177	\$1,395	\$55	\$9,639
Cyber, Infrastructure, Risk, and Resilience	24	\$3,925	\$66	\$-	\$27	\$4,018
Total	253	\$41,367	\$700	\$32,247	\$251	\$74,565

Contracts & Interagency Agreements

FY 2023 Contracts/ Interagency Agreements (Dollars in Thousands)			
Office	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
Trade and Economic Security	CFIUS case management support	\$4,089	Q3
Trade and Economic Security	License fee for maintaining statistical analysis software	\$60	Q2
Border Security and Immigration	Technical document layout and 508 remediation for OIS publications	\$100	Q4
Border Security and Immigration	Program Support for the Immigration Data Integration Initiative	\$9,984	Q1
Immediate Office of the Under Secretary	PLCY-wide administrative support services	\$750	Q4
Counterterrorism and Threat Prevention	Federally-Funded R&D Center (FFRDC) support for CUAS	\$3,500	Q1
Counterterrorism and Threat Prevention	Center for Prevention Programs and Partnerships	\$12,000	Q2

FY 2023 Contracts/ Interagency Agreements (Dollars in Thousands)			
Office	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
Total Planned Contracts		\$30,483	
International Affairs	Attaché Allowances	\$600	Q1/Q2/Q3/Q4
International Affairs	(1) International Cooperative Administrative Support Services and (2) Capital Security Cost Sharing & Maintenance Cost Sharing	\$700	Q4
International Affairs	Support provided by DOS posts abroad for TDY visits	\$35	Q1/Q2/Q3/Q4
International Affairs	Interpretation and translation service provided by DOS	\$60	Q1
Border Security and Immigration	Data storage, analysis, and predictive modeling capabilities	\$120	Q2
Immediate Office of the Under Secretary	Financial and Accounting Shared Services	\$152	Q3
Immediate Office of the Under Secretary	Flexible Spending Plan	\$1	Q4
Immediate Office of the Under Secretary	Background Investigations	\$96	Q1
Total Planned IAAs		\$1,764	
TOTAL		\$32,247	

Obligation Schedule <i>(Dollars in Thousands)</i>	Q1 FY 2023 Planned	Q2 FY 2023 Planned	Q3 FY 2023 Planned	Q4 FY 2023 Planned
Obligations	\$24,378	\$47,296	\$62,275	\$74,565
Obligations by Percent	33%	63%	84%	100%

Appropriated Funds Comparison <i>(Dollars in Thousands)</i>	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 OMB Submission
Personnel Compensation & Benefits	\$29,551	\$36,625	\$41,367
Travel	\$197	\$710	\$700
Contracts & IAAs	\$20,926	\$30,756	\$32,247
Other	\$265	\$250	\$251
Total	\$50,939	\$68,341	\$74,565

Office of Strategy, Policy, and Plans – PPA Personnel Compensation and Benefits

Pay Summary

(Dollars in Thousands)

	FY 2021 Enacted				FY 2022 President's Budget				FY 2023 President's Budget				FY 2022 to FY 2023 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Office of Strategy, Policy, and Plans	209	189	\$29,551	\$156.35	224	198	\$36,625	\$184.97	253	229	\$41,367	\$180.64	29	31	\$4,742	(\$4.33)
Total	209	189	\$29,551	\$156.35	224	198	\$36,625	\$184.97	253	229	\$41,367	\$180.64	29	31	\$4,742	(\$4.33)
Subtotal Discretionary - Appropriation	209	189	\$29,551	\$156.35	224	198	\$36,625	\$184.97	253	229	\$41,367	\$180.64	29	31	\$4,742	(\$4.33)

The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel

Pay by Object Class

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
11.1 Full-time Permanent	\$21,689	\$26,730	\$30,322	\$3,592
11.3 Other than Full-time Permanent	\$1,107	\$1,141	\$1,189	\$48
11.5 Other Personnel Compensation	\$445	\$458	\$667	\$209
12.1 Civilian Personnel Benefits	\$6,310	\$8,296	\$9,189	\$893
Total - Personnel Compensation and Benefits	\$29,551	\$36,625	\$41,367	\$4,742
Positions and FTE				
Positions - Civilian	209	224	253	29
FTE - Civilian	189	198	229	31

Pay Cost Drivers*(Dollars in Thousands)*

		FY 2021 Enacted			FY 2022 President's Budget			FY 2023 President's Budget		FY 2022 to FY 2023 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Office of Strategy, Policy and Plans	189	\$29,551	\$156.35	198	\$36,625	\$184.97	229	\$41,367	\$180.64	31	\$4,742	(\$4.33)
Total - Pay Cost Drivers	189	\$29,551	\$156.35	198	\$36,625	\$184.97	229	\$41,367	\$180.64	31	\$4,742	(\$4.33)

Explanation of Pay Cost Driver

Office of Strategy, Policy, and Plans: This cost driver support the salaries and benefits of the PLCY office. It reflects an increase of 31 Positions and 27 FTE associated with the program changes for the following: Center for Prevention Programs and Partnerships (CP3), Foreign Investment Risk Management Staffing, Supplemental Staff for the Office of Immigration Statistics (OIS), and Unplanned Departmental Countering Unmanned Aerial Systems Response. These costs also reflect increases for the FY 2023 Civilian Pay Raise, grade level increases, and prior year annualizations.

Office of Strategy, Policy, and Plans – PPA

Non Pay Budget Exhibits

Non Pay Summary

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
Office of Strategy, Policy, and Plans	\$21,388	\$31,716	\$33,198	\$1,482
Total	\$21,388	\$31,716	\$33,198	\$1,482
Subtotal Discretionary - Appropriation	\$21,388	\$31,716	\$33,198	\$1,482

Non Pay by Object Class

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
21.0 Travel and Transportation of Persons	\$197	\$668	\$700	\$32
24.0 Printing and Reproduction	\$10	\$10	\$10	-
25.1 Advisory & Assistance Services	\$11,691	\$21,416	\$19,293	(\$2,123)
25.2 Other Services from Non-Federal Sources	\$4,884	\$8,997	\$9,155	\$158
25.3 Other Purchases of goods and services	\$4,351	\$385	\$1,565	\$1,180
25.7 Operation & Maintenance of Equipment	-	-	\$300	\$300
26.0 Supplies & Materials	\$115	\$115	\$115	-
31.0 Equipment	\$140	\$125	\$2,060	\$1,935
Total - Non Pay Budget Object Class	\$21,388	\$31,716	\$33,198	\$1,482

Non Pay Cost Drivers*(Dollars in Thousands)*

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Total Changes
IAAs and Contracts	\$20,926	\$30,798	\$32,247	\$1,449
Travel	\$197	\$668	\$700	\$32
Other Costs	\$265	\$250	\$251	\$1
Total - Non-Pay Cost Drivers	\$21,388	\$31,716	\$33,198	\$1,482

Explanation of Non-Pay Cost Drivers

Inter/Intra Agency Agreements and Contractual Services: Several sub-offices within the Office of Strategy, Policy, and Plans leverage contract support and interagency agreements to procure a variety of different services. These costs are projected to increase slightly in FY 2023 primarily due to \$3.5M in Federally Funded Research and Development Center support for Unplanned Departmental Countering Unmanned Aerial Systems Response.

Travel: Travel expenses consist of airfare, lodging, meals, and miscellaneous and incidentals expenses. PLCY personnel travel in direct support of the Department's priorities related to raising international aviation security standards, improving global cargo and supply chain security, enhancing domestic and foreign security operations, including CP3, and expanding international collaboration in travel, border security, and cybersecurity. In addition, travel plays a key role in managing the Department's international affairs, including Secretarial engagements abroad, and in enforcing congressionally mandated initiatives, including Visa Waiver Program negotiations, compliance, and enforcement as well as CFIUS monitoring, compliance, and enforcement. Travel costs are expected to rebound from the low projected travel costs in FY 2022.

Other Costs: Includes costs associated with training, books, office supplies, and equipment. The cost driver for other costs includes costs associated with the maintenance of equipment.

Operations and Engagement – PPA

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

(Dollars in Thousands)

	FY 2021 Enacted			FY 2022 President's Budget			FY 2023 President's Budget			FY 2022 to FY 2023 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Operations and Engagement	264	252	\$74,948	301	272	\$80,756	293	277	\$79,353	(8)	5	(\$1,403)
Total	264	252	\$74,948	301	272	\$80,756	293	277	\$79,353	(8)	5	(\$1,403)
Subtotal Discretionary - Appropriation	264	252	\$74,948	301	272	\$80,756	293	277	\$79,353	(8)	5	(\$1,403)

No funding requested for the Office of Immigration Detention Ombudsman in FY 2021 and FY 2022

PPA Level I Description

The Operations and Engagement program provides resources to fund the Office for Civil Rights and Civil Liberties (CRCL), the Office of the Citizenship and Immigration Services Ombudsman (CISOMB), the Office of Partnership and Engagement (OPE) and Office of Immigration Detention Ombudsman (OIDO).

Office for Civil Rights and Civil Liberties (CRCL): Supports the Department as it secures the Nation while preserving individual liberty, fairness, and equality under the law. CRCL is composed of the Front Office, Business Operations Section, HQ Equal Employment Opportunity Office, Equal Employment Opportunity Branch and the Diversity Management Branch, Complaints Management and Adjudication Section, Diversity Management Section, Alternative Dispute Resolution Program and Anti-Harassment Unit, Programs Branch, and the Compliance Branch.

Office of the Citizenship and Immigration Services Ombudsman (CISOMB): Assists individuals and employers in resolving problems related to the administration of immigration benefits by the United States Citizenship and Immigration Services (USCIS). The staff is organized into six functional Divisions, which include Executive, Operations, Casework, Policy, Public Engagement, and Strategy.

Office of Partnership and Engagement (OPE): The headquarter-level organization that provides the Secretary with current unfettered information for policy discussions and the strategic decision-making process. As the Secretary's primary advisor on the impact of the Department's policies, regulations, processes, and actions on State, local, tribal, and territorial (SLTT) governments, elected officials, law enforcement, the private sector and communities, OPE is delegated to facilitate and sustain active engagement within DHS, across the United States, and globally.

Office of Immigration Detention Ombudsman (OIDO): Actively contributes to DHS’ mission by addressing individual and systemic concerns related to immigration detention. OIDO will provide an independent approach to addressing noncompliance with immigration detention standards related to Customs and Border Protection (CBP) and Immigration and Customs Enforcement (ICE). OIDO’s duties include: (1) stemming medical, familial, and other problems at individual detention centers; (2) working to ensure conditions are humane for detainees; (3) managing complaint intake regarding detainee conditions and resolving adverse conditions through engagement with relevant DHS offices; (4) evaluating and documenting agency compliance with detention standards; and (5) providing recommendations to the Secretary and other leadership for their improvement.

Operations and Engagement – PPA Budget Authority and Obligations

(Dollars in Thousands)

	FY 2021	FY 2022	FY 2023
Enacted/Request	\$74,948	\$80,756	\$79,353
Carryover - Start of Year	\$5,000	-	-
Recoveries	-	-	-
Rescissions to Current Year/Budget Year	(\$385)	-	-
Net Sequestered Resources	-	-	-
Reprogramming/Transfers	\$385	-	-
Supplementals	-	-	-
Total Budget Authority	\$79,948	\$80,756	\$79,353
Collections - Reimbursable Resources	\$5,650	\$5,150	\$6,214
Collections - Other Sources	-	-	-
Total Budget Resources	\$85,598	\$85,906	\$85,567
Obligations (Actual/Estimates/Projections)	\$85,598	\$85,906	\$86,802
Personnel: Positions and FTE			
Enacted/Request Positions	264	301	293
Enacted/Request FTE	252	272	277
Onboard and Actual FTE			
Onboard (Actual/Estimates/Projections)	264	301	299
FTE (Actual/Estimates/Projections)	252	272	283

Operations and Engagement – PPA
Collections - Reimbursable Resources
(Dollars in Thousands)

	FY 2021 Enacted			FY 2022 President's Budget			FY 2023 President's Budget		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Homeland Security - Analysis and Operations	-	-	\$200	-	-	\$200	-	-	\$500
Department of Homeland Security - U.S. Citizenship and Immigration Services	-	-	-	-	-	-	1	1	\$214
Department of Homeland Security	-	-	\$3,608	-	-	\$1,918	-	-	\$1,658
Department of Homeland Security - Federal Emergency Management Agency	-	-	\$642	-	-	\$642	-	-	\$642
Department of Homeland Security - Office of the Secretary & Executive Management	-	-	-	-	-	\$1,190	-	-	\$2,000
Department of Homeland Security - Transportation Security Administration	-	-	\$200	-	-	\$200	-	-	\$200
Department of Homeland Security - U.S. Customs and Border Protection	-	-	\$500	-	-	\$500	-	-	\$500
Department of Homeland Security - U.S. Immigration and Customs Enforcement	-	-	\$500	-	-	\$500	-	-	\$500
Total Collections	-	-	\$5,650	-	-	\$5,150	1	1	\$6,214

Operations and Engagement – PPA Summary of Budget Changes

(Dollars in Thousands)

	Positions	FTE	Amount
FY 2021 Enacted	264	252	\$74,948
FY 2022 President's Budget	301	272	\$80,756
FY 2023 Base Budget	301	272	\$80,756
Total Technical Changes	-	-	-
Transfer for Blue Campaign from OSEM/O&S/OE to ICE/O&S/HSI	(7)	(7)	(\$2,500)
Office of State and Local Law Enforcement (OPE) to the Office of the Secretary	(6)	(6)	(\$1,235)
Total Transfers	(13)	(13)	(\$3,735)
Civilian Pay Raise Total	-	-	\$1,986
Annualization of Prior Year Pay Raise	-	-	\$301
FPS Fee Adjustment	-	-	\$125
Annualization of CRCL Staffing	-	17	\$2,326
CISOMB S&B Reduction	(5)	(5)	(\$547)
Contract Support Reduction	-	-	(\$203)
CRCL Administrative Support Services Contracts	-	-	(\$849)
OPE Training and Conferences	-	-	(\$377)
OPE Travel Reduction	-	-	(\$46)
Organization Cost Efficiencies	-	-	(\$2,372)
Removal of Annualization of Prior Year Program Changes	-	-	(\$526)
Travel Reduction	-	-	(\$154)
Total Pricing Changes	(5)	12	(\$336)
Total Adjustments-to-Base	(18)	(1)	(\$4,071)
FY 2023 Current Services	283	271	\$76,685
CIS Ombudsman Local Ombudsman	5	3	\$592
CIS Ombudsman Sustain Current Services	-	-	\$1,119
CRCL Advancing Equity	5	3	\$957
Total Program Changes	10	6	\$2,668

Operations and Support

FY 2023 Request	293	277	\$79,353
FY 2022 TO FY 2023 Change	(8)	5	(\$1,403)

Operations and Engagement – PPA

Operations and Engagement – PPA FY 2023 Expenditure Plan

Office for Civil Rights and Civil Liberties (CRCL)

CRCL supports the Department as it secures the Nation while preserving individual liberty, fairness, and equality under the law. CRCL performs four key functions to integrate civil rights and civil liberties into Departmental activities:

- Promotes respect for civil rights and civil liberties in policy creation and implementation by advising and training DHS leadership and personnel, and State and local partners, and in conducting oversight of DHS programs as appropriate
- Communicates with individuals and communities whose civil rights and civil liberties may be affected by DHS activities, informs them about policies and avenues of redress, and promotes appropriate attention within the Department to their experiences and concerns
- Investigates and issues recommendations regarding civil rights and civil liberties complaints filed by the public regarding DHS policies or activities, or actions taken by DHS personnel
- Leads the Department's Equal Employment Opportunity (EEO) programs and promotes workforce diversity and merit system principles

CRCL's staff is organized into nine functional units that focus on civil rights and liberties issues that are affected by the Department's activities.

CRCL Front Office

The CRCL's Front Office provides advice to DHS leadership on civil rights and civil liberties issues impacting the DHS programs and/or activities. This includes providing civil rights expertise and strategic advice regarding immediate issues as well as ongoing aspects of the DHS mission and activities that implicate civil rights or liberties concerns, particularly in the areas of domestic terrorism, immigration and law enforcement policies, and activities.

The Front Office is also leading the Department's efforts to implement the requirements of several equity-based executive actions:

- Executive Order (EO) 13985, *Advancing Racial Equity and Support for Underserved Communities Through the Federal Government*
- EO 13988, *Preventing and Combating Discrimination on the Basis of Gender Identity or Sexual Orientation*
- EO 14019, *Promoting Access to Voting*
- EO 14020, *Establishment of the White House Gender Policy Council*
- EO 14031, *Advancing Equity, Justice, and Opportunity for Asian Americans, Native Hawaiians, and Pacific Islanders*
- Presidential Memorandum on Condemning and Combating Racism, Xenophobia, and Intolerance Against Asian Americans and Pacific Islanders in the United States, dated Jan. 26, 2021
- Presidential Memorandum on Advancing the Human Rights of Lesbian, Gay, Bisexual, Transgender, Queer, and Intersex Persons Around the World, dated Feb. 4, 2021

The Front Office has assembled an Equity Task Force, composed of representatives from across Components and Headquarters Offices and Directorates, to complete the equity assessments, reporting and any remedial work required by these executive actions. The Officer serves as the Department's representative to the Domestic Policy Council on these and other issues. The Officer also serves as the Department's human rights representative and provides information and testimony about the Department's activities to relevant international fora.

Programs Branch

The Programs Branch strives to integrate civil rights and civil liberties protections and promote equity into all DHS agency activities through a variety of mechanisms. This includes providing policy advice and support for ensuring the protection of civil rights and civil liberties in the Department's asylum and immigration-related activities and policies (Immigration Section), as well as the Department's screening and vetting programs, information sharing and safeguarding activities, and intelligence-related programs and products (Security, Intelligence, and Information Policy Section). The Programs Branch (Immigration Section) also reviews request for humanitarian parole, as well as proposed immigration related rules and regulations in support of the CRCL Officer. Further, the Programs Branch supports continuing Department efforts to understand and counter the domestic terrorism threat to, especially against those communities targeted by racial, ethnic, and religious bias (Security, Intelligence, and Information Policy Section). The Programs Branch also develops and delivers targeted civil rights and civil liberties training for the network of fusion centers around the country (Security, Intelligence, and Information Policy Section). The Program Branch also coordinates outreach and engagement activities in communities where civil rights and civil liberties are particularly affected by DHS programs (Community Engagement Section). Additionally, the Programs Branch works to ensure that the Department's programs and activities do not discriminate against individuals or deny them access to the Department's programs on the basis of race, color, national origin, limited English proficiency, age, sex, or disability, and that recipients of DHS financial assistance comply with their nondiscrimination obligations and protect religious liberties (Antidiscrimination Group). Finally, the Program Branch supports the training work of the Components and FLETC through comprehensive review of selected training to ensure civil rights and civil liberties issues are well addressed in the training of Departmental and law enforcement partner personnel (all Sections).

Compliance Branch

The Compliance Branch reviews and investigates civil rights and civil liberties complaints filed by the public regarding Department programs, activities, or personnel. The allegations include discrimination based on race, ethnicity, national origin, religion, sex, sexual orientation, gender identity, or disability; violation of rights while in immigration detention or as a subject of immigration enforcement; discrimination or inappropriate questioning related to entry into the United States; violation of due process rights; physical abuse or any other type of abuse; and denial of meaningful access to DHS or DHS-supported programs, activities, or services due to limited English proficiency, among other things. CRCL also investigates disability accommodation claims under the Rehabilitation Act of 1973 and orders individual relief or redress where appropriate. The office makes recommendations to DHS Component leadership based on its investigations to address concerns or enhance civil rights or civil liberties protections by creating or modify policies, enhancing implementation or training, or increasing oversight. In addition, Compliance issues informal advice to components to notify them of non-systemic issues, or a concern of narrow scope, outside the formal recommendation process. CRCL is expanding its capacity for monitoring implementation of recommendations by DHS components and offices, and is committed to increasing the transparency of its work by making final work product available to the public for greater visibility into the work of our office.

Business Operations Section

The Business Operations Section provides day-to-day operational support in the following areas: space and facility management, emergency preparedness and continuity of operations programs, budget and procurement, and records management, internal and external communications, and human resources.

Equal Employment Opportunity and Diversity Division

The Equal Employment Opportunity and Diversity Division leads the Department's efforts to ensure that all employees and applicants are provided equal opportunity by maintaining effective EEO programs and diversity management under various Federal laws (as amended), regulations,

Executive Orders and Directives, including:

- Title VII of the Civil Rights Act of 1964, 42 U.S.C. § 2000e et seq.
- Section 501 of the Rehabilitation Act of 1973, 29 U.S.C. § 791 et seq.
- The Age Discrimination in Employment Act of 1967, 29 U.S.C. § 621 et seq.
- The Equal Pay Act of 1963, 29 U.S.C. § 206(d)(1)
- Title II of the Genetic Information Nondiscrimination Act of 2008, 42 U.S.C. § 2000ff et seq.
- The No FEAR Act, 5 U.S.C. § 2301 note
- Executive Order 11478, (as amended by Executive Order 13152) prohibiting discrimination based on status as a parent
- Title 29 C.F.R. § 1614;
- EEOC Management Directive 110; and
- EEOC Management Directive 715

The Division is responsible for adjudicating EEO complaints for all DHS Components; providing oversight of the EEO complaint programs across the Department; developing and monitoring EEO and diversity program policies, plans, and guidance; managing the Department's Alternative Dispute Resolution program; leading the Headquarters Anti-Harassment Unit; and delivering training, conducting oversight, and administering EEO and diversity programs for DHS Headquarters and its nearly 6,000 employees. In addition, the Division generates a variety of annual progress reports relating to the Department's diversity and EEO activities. The Deputy Officer for EEO and Diversity also chairs the DHS EEO Directors Council, composed of Component EEO Directors and a human capital representative.

HQ Equal Employment Opportunity Office

The Headquarters EEO Office currently supports over 8,000 DHS Headquarters and Cybersecurity and Infrastructure Security Agency (CISA) employees by promoting and facilitating compliance with EEO laws, regulations, and mandates; providing guidance to Headquarters and CISA management officials and employees on all aspects of EEO, diversity, and inclusion; preventing and addressing unlawful employment discrimination through training and awareness; and ensuring that all Headquarters and CISA employees have a work environment that is free from unlawful discrimination, harassment, and reprisal, which supports them in the fulfillment of their mission to protect the homeland.

Complaints Management and Adjudication Section

The Complaints Management and Adjudication Section (CMAS) leads the processing of EEO complaints throughout the Department. CMAS prepares final actions on formal EEO complaints filed by Department employees, former employees, and applicants for employment who allege discrimination in violation of Title VII of the Civil Rights Act of 1964, as amended; the Age Discrimination in Employment Act of 1967, as amended; the Equal Pay Act of 1963; the Rehabilitation Act of 1973, as amended; the Genetic Information Nondiscrimination Act of 2008; and Executive Orders prohibiting discrimination on the bases of parental status. Federal-sector EEO complaint processing guidelines are set forth in Equal Employment Opportunity Commission (EEOC) regulations at Title 29, Code of Federal Regulations, Part 1614. In addition, CMAS also oversees the Department wide complaint tracking system which provides the data CMAS uses to prepare the following Departmental reports:

- Annual Notification and Federal Employee Antidiscrimination and Retaliation Act of 2002 (“No FEAR Act”) Report.
- Quarterly No FEAR Act data postings.
- Annual Federal Equal Employment Opportunity Statistical Report of Discrimination Complaints (“462 Report”).

Diversity Management Section

The Diversity Management Section (DMS) provides leadership, guidance, and technical assistance to DHS Components on the Department’s EEO and Diversity initiatives. DMS identifies, analyzes, and recommends actions to remove any barriers to equal employment opportunities, and leads the Department's special emphasis programs. DMS also generates the Department’s Annual EEO Program Status Report, pursuant to EEOC Management Directive 715, in addition to other required and ad hoc reports. Additionally, DMS is responsible for leading the Department’s efforts under the Women, Peace, and Security Act of 2017.

Alternative Dispute Resolution Program

The Alternative Dispute Resolution (ADR) Program provides DHS-Headquarters employees with a dispute resolution process in lieu of the traditional EEO complaint process. In addition, the ADR Program manages the Department-wide Shared Neutrals Program and provides leadership, guidance, and technical assistance to DHS Components regarding their respective ADR programs.

Anti-Harassment Unit

The Anti-Harassment Unit (AHU) enforces the DHS Anti-Harassment Policy pursuant to DHS Directive 256-01. The AHU conducts fact-findings into allegations of harassment brought by DHS-Headquarters employees and oversees Component-level anti-harassment programs.

Office for Civil Rights & Civil Liberties Planned Obligations: (Dollars in Thousands)						
Program Areas	Positions	Salaries and Benefits	Travel	Contracts/ IAAs	Other Expenses	Totals by Office
CRCL Front Office	6	\$777	\$12	\$564	\$7	\$1,360
CRCL Business Operations Section	12	\$2,209	\$20	\$1,600	\$8	\$3,837
EEO Front Office	3	\$712	\$3	\$661	\$1	\$1,377
HQ Equal Employment Opportunity	7	\$1,304	\$15	\$560	\$6	\$1,885
EEO Complaints Management and Adjudication	19	\$5,567	\$49	\$880	\$20	\$6,516
EEO Diversity Management	10	\$1,829	\$13	\$1,000	\$13	\$2,855
EEO Alternative Dispute Resolution / Anti-Harassment Unit	5	\$1,013	\$7	\$306	\$5	\$1,331
Programs & Compliance Front Office	3	\$594	\$3	\$-	\$3	\$600
Compliance Branch Front Office	4	\$990	\$4	\$-	\$2	\$996
Compliance Branch	25	\$3,715	\$59	\$3,580	\$24	\$7,378
Programs Branch	7	\$453	\$11	\$1,160	\$4	\$1,628
Programs Branch Front Office	3	\$667	\$3	\$-	\$1	\$671
Programs Community Engagement	8	\$1,759	\$25	\$-	\$10	\$1,794
Programs Immigration	9	\$1,860	\$22	\$-	\$19	\$1,901
Programs Security, Intelligence, Information Policy	11	\$2,576	\$26	\$-	\$10	\$2,612
Programs Anti-Discrimination Group	7	\$1,830	\$24	\$-	\$10	\$1,864
Attorney Advisors	4	\$868	\$4	\$-	\$2	\$874
Total	143	\$28,723	\$300	\$10,311	\$145	\$39,479

*Some totals are affected by rounding. All totals may be off by \$1k.

Operations and Support
Contracts & Interagency Agreements

Operations and Engagement – PPA

FY 2023 CRCL Contracts/ Interagency Agreements <i>(Dollars in Thousands)</i>			
Office	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
Compliance Branch	Investigator Services	\$880	Q4
Compliance Branch	Medical Doctor SMEs	\$350	Q4
Compliance Branch	Medical Nurse SME	\$250	Q3
Programs Branch	Mental Health SME	\$250	Q3
Programs Branch	Conditions of Detention SMEs	\$500	Q4
Programs Branch	Environmental Health and Safety SME	\$150	Q4
HQ EEO	Compliance Database O&M	\$300	Q4
EEO Complaints Management and Adjudication	Programs Database Build and O&M	\$300	Q4
EEO Alternative Dispute Resolution / Anti-Harassment Unit	Community Engagement Support	\$150	Q4
EEO Diversity Management	Programs Support	\$310	Q4
EEO Alternative Dispute Resolution / Anti-Harassment Unit	Investigative and Counseling Services	\$560	Q1
EEO Alternative Dispute Resolution / Anti-Harassment Unit	Final Agency Decision Support	\$880	Q4
EEO Diversity Management	Fact Finder Services	\$204	Q3
CRCL Business Operations Section	DHS Roadmap Training Module	\$51	Q4
CRCL Business Operations Section	Anti-Harassment Training Module	\$51	Q4
CRCL Business Operations Section	DHS No Fear Act Training Module	\$51	Q4
CRCL Business Operations Section	Women, Peace, and Security Support	\$450	Q4
Compliance Branch	Language Services (Translation & Interpreter)	\$200	Q4
Compliance Branch	Administrative Support	\$1,000	Q4
CRCL Business Operations Section	Sign Language Services	\$150	Q4

Operations and Support
Operations and Engagement – PPA

FY 2023 CRCL Contracts/ Interagency Agreements <i>(Dollars in Thousands)</i>			
Office	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
CRCL Business Operations Section	Analyst Support Services	\$250	Q4
Compliance Branch	Law Enforcement and Policing SMEs	\$100	Q4
Compliance Branch	SME to Review Civil Rights Issues	\$300	Q4
EEO Complaints Management and Adjudication	EOD Complaints Management Database	\$500	Q3
Programs Branch	Title VI SME	\$400	Q3
Compliance Branch	Racial Equity SME	\$500	Q3
CRCL Front Office	Domestic Violence Extremism (DVE)	\$500	Q3
Total Contracts		\$9,587	
CRCL Front Office	Legal Non-S&B (FOIA Litigation)	\$52	Q2
CRCL Front Office	USCG FOIA Appeals Fees	\$3	Q2
CRCL Front Office	Privacy - FOIAXpress License	\$8	Q2
CRCL Front Office	Credit Monitoring Services	\$-	Q2
EEO Front Office	Database Hosting Fees	\$661	Q2
Total Planned IAAs		\$724*	
TOTAL		\$10,311*	

*Some totals are affected by rounding. All totals may be off by \$1k.

CRCL Obligation Schedule <i>(Dollars in Thousands)</i>	Q1 FY 2023 Planned	Q2 FY 2023 Planned	Q3 FY 2023 Planned	Q4 FY 2023 Planned
Obligations	\$7,896	\$16,187	\$25,662	\$39,479
Obligations by Percent	20%	41%	65%	100%

Operations and Support**Operations and Engagement – PPA**

CRCL - Appropriated Funds Comparison <i>(Dollars in Thousands)</i>	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 OMB Submission
Personnel Compensation & Benefits	\$20,800	\$29,331	\$28,723
Travel	\$260	\$257	\$300
Contracts & IAAs	\$12,889	\$8,770	\$10,311
Other	\$145	\$302	\$145
Total	\$34,094	\$38,660	\$39,479

Office of the Citizenship and Immigration Services Ombudsman

The Office of the Citizenship and Immigration Services Ombudsman (CISOMB) assists individuals and employers in resolving problems with U.S. Citizenship and Immigration Services (USCIS). CISOMB performs this mission by providing case assistance; soliciting feedback from the stakeholder community to identify common problems experienced by individuals and employers when seeking benefits and services from USCIS and recommending changes to improve the administration of the immigration benefits system.

CISOMB adheres to the Ombudsman principles of confidentiality, impartiality and independence.

CISOMB's staff currently is organized into six functional units:

Executive Division

The Executive Division interacts directly with DHS and USCIS leaders. The Division approves policies and procedures, establishes program level priorities and deadlines, oversees planning, and provides guidance to the other divisions of the office. It also coordinates and directs dialogue with external stakeholders and facilitates interagency collaboration.

Policy Division

The Policy Division conducts research and makes recommendations to USCIS to improve the delivery of immigration benefits and services based on information gleaned from requests for case assistance and stakeholder agreements and drafts an annual report to Congress.

Casework Division

The Casework Division directly assists individuals and employers in resolving case-specific immigration benefits problems; research USCIS systems for case history; identifies the issue(s); inquires with USCIS, as appropriate, to resolve issues; and communicates with the individual or employer (or their counsel or representative) that requested assistance. The Division also serves as an early indicator by spotting problematic trends in the administration of immigration benefits and provides this feedback to the Policy and Executive Divisions for further analysis or action.

Operations Division

The Operations Division supports CISOMB in the areas of human capital, budget, property, information technology, security, and facilities. The Operations Division also oversees and monitors administrative policy and compliance.

Public Engagement Division

The Public Engagement Division conducts national public webinars and hosts local public engagements throughout the country, disseminating information and gathering feedback related to the administration of immigration benefits, which is provided to the Policy and Execution Divisions for further analysis and action.

Strategy Division

The Strategy Division cultivates strategic capabilities within the organization using data and analytics to inform planning and operational decisions. It also develops and implements cross-cutting capabilities to support mission implementation. In addition to developing and monitoring strategic plans and action plans, this division is also responsible for managing professional development and employee engagement initiatives.

Office of Citizenship & Immigration Services Ombudsman Planned Obligations: <i>(Dollars in Thousands)</i>						
Program Areas	Positions	Salaries and Benefits	Travel	Contracts/ IAAs	Other Expenses	Totals by Office
Executive Division	3	\$791	\$4	\$123	\$6	\$924
Policy Division	8	\$1,601	\$12	\$77	\$15	\$1,705
Casework Division	18	\$2,922	\$27	\$757	\$35	\$3,741
Operations Division	4	\$658	\$6	\$226	\$8	\$898
Public Engagement Division	10	\$1,454	\$15	\$107	\$19	\$1,595
Strategic Division	4	\$790	\$6	\$72	\$7	\$875
Total	47	\$8,216	\$70	\$1,362	\$90	\$9,738

Contracts & Interagency Agreements

Operations and Support
Operations and Engagement – PPA

FY 2023 CISOMB Contracts/ Interagency Agreements <i>(Dollars in Thousands)</i>			
Program Area	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
Policy Division, Casework Division, Public Engagement Division	Annual Report	\$31	Q1
Executive Division, Casework Division, Policy Division, Operations Division, Public Engagement, Strategy Division	Mission Support	\$998	Q4
Total Contracts		\$1,028	
Casework Division, Executive Division, Policy Division, Public Engagement Division, Operations Division, Strategy Division	CAADI O&M	\$150	Q2
Executive Division, Operations Division	Budget Tracker O&M	\$25	Q2
Casework Division, Executive Division, Policy Division, Public Engagement Division, Operations Division	PALMS	\$3	Q1
Casework Division, Executive Division, Policy Division, Public Engagement Division, Operations Division	SharePoint Services	\$13	Q1
Casework Division, Executive Division, Policy Division, Public Engagement Division, Operations Division	Transit Subsidy	\$88	Q1
Casework Division, Executive Division, Policy Division, Public Engagement Division, Operations Division	Flexible Spend Plan	-*	Q1
Casework Division, Executive Division, Policy Division, Public Engagement Division, Operations Division	Finance Accounting and Shared Services	\$26	Q1
Casework Division, Executive Division, Policy Division, Public Engagement Division, Operations Division	LAN Rm HVAC cost	\$15	Q1

Operations and Support
Operations and Engagement – PPA

FY 2023 CISOMB Contracts/ Interagency Agreements <i>(Dollars in Thousands)</i>			
Program Area	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
Public Engagement Division	Translation (CBP)	\$10	Q3
Casework Division, Executive Division, Policy Division, Public Engagement Division, Operations Division	PTSAT System	\$3	Q1
Casework Division, Executive Division, Policy Division, Public Engagement Division, Operations Division	Credit Monitoring	\$1	Q1
Total IAAs		\$334	
TOTAL		\$1,362	

*\$0 amounts in tables represent dollar amounts less than \$500, however due to rounding reflect as \$0

CISOMB Obligation Schedule <i>(Dollars in Thousands)</i>	Q1 FY 2023 Planned	Q2 FY 2023 Planned	Q3 FY 2023 Planned	Q4 FY 2023 Planned
Obligations	\$2,273	\$4,542	\$6,646	\$9,738
Obligations by Percent	23%	47%	68%	100%

CISOMB – Appropriated Funds Comparison <i>(Dollars in Thousands)</i>	FY 2021 Enacted	FY 2022 President’s Budget	FY 2023 OMB Submission
Personnel Compensation & Benefits	\$6,911	\$7,028	\$8,216
Travel	\$70	\$70	\$70
Contracts & IAAs	\$995	\$1,231	\$1,362
Other	\$70	\$90	\$90
Total	\$8,046	\$8,419	\$9,738

Office of Partnership and Engagement

The Office of Partnership and Engagement (OPE) coordinates the Department of Homeland Security's outreach efforts with critical stakeholders nationwide, including State, local, tribal, territorial (SLTT) governments, SLTT elected officials, SLTT law enforcement, the private sector, and colleges and universities, ensuring a unified approach to external engagement. OPE advocates and represents interests of these stakeholders through the Department's policy making process and as a conduit for the Secretary to engage with stakeholders or share information.

OPE is comprised of the Office of Intergovernmental Affairs (IGA), the Office for State and Local Law Enforcement (OSLLE), the Private Sector Office (PSO), the Homeland Security Advisory Council (HSAC), the Committee Management Office (CMO), the Office of Academic Engagement, the "If You See Something, Say Something®" public awareness campaign, and Public Complaint and Feedback (PCF).

Front Office (FO)

The Office of Partnership and Engagement Front Office (OPE FO), which includes the OPE Management and Administration functions, is responsible for leading OPE, managing operations, and providing oversight for resource allocations. The OPE FO is led by the Assistant Secretary for Partnership and Engagement.

Public Complaint and Feedback (PCF)

Public Complaint and Feedback (PCF) brings DHS Components and Offices with public complaint and feedback mechanisms and equities together to assess the systems and processes used by DHS to receive, review, and respond to complaints and feedback submitted by the public, per House Report 114-6681.

Office of Intergovernmental Affairs: The Office of Intergovernmental Affairs (IGA) is the designated lead for tribal relations and consultation at the Department. IGA promotes an integrated national approach to homeland security by coordinating and advancing DHS's interaction with State, local, tribal, and territorial (SLTT) governments. IGA is responsible for opening the homeland security dialogue with executive-level partners, including elected officials, such as governors, mayors, county commissioners and supervisors, and tribal leaders along with the national associations that represent them.

The SLTT plays an important role in the creation and implementation of national policy, IGA serves as the Department's liaison to provide a readily accessible method of direct communication for SLTT elected and appointed officials.

Office for State and Local Law Enforcement

The Office for State and Local Law Enforcement (OSLLE) is the primary liaison between the Department and State and local law enforcement and provides engagement coordination and advocacy for State, local, tribal, territorial, and campus law enforcement agencies. The office strategically fosters relationships with the State and local law enforcement community, including national associations, which plays a vital role in homeland security, to promote constructive dialogue to address national security issues. By enhancing its understanding of State, local, tribal, and campus law enforcement's issues, concerns, and recommendations, OSLLE and the Department are better positioned to inform policy decisions, develop programs, and support a critical partner – ultimately making communities safer.

OSLLE also leads the coordination of Department-wide activities on critical issues and plays a leadership role in shaping public safety priorities relating to the role of State and local law enforcement in preventing, preparing for, protecting against, and responding to natural disasters, acts of terrorism, and other incidents within the United States.

Private Sector Office

The Private Sector Office (PSO) fosters strategic communications with businesses, trade associations and other organizations, both government and non-government, to create stronger relationships with DHS; advises the Secretary on prospective policies and regulations; help inform the Secretary on the economic impact to the private sector from DHS policies; and promotes public-private partnerships and best practices to improve the Nation's homeland security. The Private Sector Office administers the Department's Loaned Executive Program and Exemplar, a training with industry program.

Homeland Security Advisory Council

The HSAC is a Department of Homeland Security Federal advisory committee that provides the Secretary with independent, informed recommendations and advice on a variety of homeland security issues. The HSAC is comprised of national policy makers, representatives from State, local, and tribal governments, emergency and first responder communities, academia, and the private sector.

Office of Academic Engagement

The Office of Academic Engagement (OAE) provides advice and recommendations to the Secretary and senior leadership on matters related to homeland security and the academic community. Additionally, OAE works with academia and the Department on issues related to campus resiliency at the Federal Emergency Management Agency (FEMA), academic research at the Science and Technology Directorate (S&T), the DHS student intern program, as well as working with Immigration and Customs Enforcement (ICE) on the Student and Exchange Visitor Program.

Committee Management Office

The Committee Management Office (CMO) oversees the implementation and administration of the Federal Advisory Committee Act (FACA) across the Department. The CMO Director, appointed by the DHS Secretary, ensures department-wide compliance with FACA. A FACA committee is any advisory group established or utilized by a Federal agency with at least one member who is not a Federal employee. CMO oversees presidential, statutory and agency led FACA committees, including the HSAC. CMO also oversees the establishment of Homeland Security Act FACA-exempt committees and non-FACA groups where DHS officials are members.

Campaigns Office

The Campaigns Office provides a streamlined approach for managing high priority Department campaigns and initiatives, including "If You See Something, Say Something®". By leveraging partnerships with other Federal entities, the private sector, SLTT government and law enforcement entities, and academia, the Campaigns Office maximizes national public outreach on key security issues. The office provides marketing expertise, evaluates the impact and effectiveness of awareness products and practices, and delivers consistent, timely, and accurate information to the general public.

“If You See Something, Say Something®” Public Awareness Campaign

"If You See Something, Say Something®" is a national campaign that raises public awareness of the indicators of terrorism and terrorism-related crime, as well as the importance of reporting suspicious activity to State and local law enforcement.

Office of Partnership & Engagement Planned Obligations: <i>(Dollars in Thousands)</i>						
Program Areas	Positions	Salaries and Benefits	Travel	Contracts/ IAAs	Other Expenses	Totals by Office
Public Complaint and Feedback	2	\$321	\$16	\$250	\$5	\$592
Intergovernmental Affairs	9	\$1,553	\$78	\$-	\$23	\$1,654
Front Office	9	\$1,253	\$47	\$874	\$14	\$2,188
State and Local Law Enforcement	6	\$1,174	\$47	\$-	\$14	\$1,235
Private Sector	6	\$1,149	\$47	\$-	\$14	\$1,210
Homeland Security Advisory Council	2	\$545	\$23	\$-	\$7	\$575
“If You See Something, Say Something™” Campaign	1	\$201	\$16	\$2,232	\$5	\$2,454
Office of Academic Engagement	3	\$620	\$23	\$-	\$7	\$650
Committee Management Office	3	\$525	\$23	\$-	\$6	\$554
Totals	41	7,341	320	3,356	95	\$11,112

Contracts & Interagency Agreements

FY 2023 OPE Contracts/ Interagency Agreements <i>(Dollars in Thousands)</i>			
Office	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
“If You See Something, Say Something™” Campaign	See Something, Say Something	\$2,232	Q4
Front Office	PALMS (CFO)	\$4	Q4
Front Office	SES Development (CHCO)	\$4	Q4

Operations and Support
Operations and Engagement – PPA

FY 2023 OPE Contracts/ Interagency Agreements <i>(Dollars in Thousands)</i>			
Office	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
Front Office	Credit Monitoring (CFO)	\$4	Q3
Front Office	SalesForce License	\$90	Q4
Front Office	Administrative Support Services	\$319	Q4
Front Office	Printing Services	\$8	Q1
Public Complaint and Feedback	Public Complaint and Feedback System	\$250	Q1
Front Office	OPE FO Performance Management Contract Support	\$250	Q1
TOTAL Contracts		\$3,159	
Front Office	CRM Tool (ISSO & ATO Services-BPA w/OCIO)	\$106	Q2
Front Office	Financial and Accounting Shared Services	\$56	Q4
Front Office	Flexible Spending Plan	\$0	Q4
Front Office	CLAN Operations	\$0	Q4
Front Office	Transit Subsidy	\$16	Q4
Front Office	Zoom	\$8	Q4
Front Office	Student Loan Payment Commitment	\$10	Q2
TOTAL IAAs		\$196	
TOTAL		\$3,356	

OPE Obligation Schedule <i>(Dollars in Thousands)</i>	Q1 FY 2023 Planned	Q2 FY 2023 Planned	Q3 FY 2023 Planned	Q4 FY 2023 Planned
Obligations	\$2,447	\$4,502	\$6,444	\$11,112
Obligations by Percent	22%	41%	58%	100%

Operations and Support**Operations and Engagement – PPA**

OPE - Appropriated Funds Comparison <i>(Dollars in Thousands)</i>	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget
Personnel Compensation & Benefits	\$6,504	\$7,874	\$7,341
Travel	\$563	\$466	\$320
Contracts & IAAs	\$5,682	\$5,268	\$3,356
Other	\$59	\$175	\$95
Total	\$12,808	\$13,783	\$11,112

*\$0 amounts in tables represent dollar amounts less than \$500, however due to rounding reflect as \$0

Office of Immigration Detention Ombudsman**Front Office**

The OIDO Front Office includes the Ombudsman, Deputy Ombudsman, Chief of Staff and an Executive Assistant. The Front Office oversees the functions of OIDO's internal divisions and provides strategic management and direction. The office leads the integration of OIDO activities with other DHS components.

The Front Office is OIDO's primary point of contact with the Secretary of Homeland Security and senior DHS leaders, providing a neutral and independent perspective to enable decision making and accomplish the Department's mission and priorities.

External Relations (ERD)

OIDO's External Relations Division (ERD) will engage with stakeholders within and outside of government, at the local, State, and national levels to ensure that the public is aware of this new office within DHS and how it can be of help to immigration detainees and their advocates. Stakeholder groups include detainees and their family members and representatives, non-governmental organizations (NGO), faith-based groups, law enforcement communities, State and local governments, and companies that own, operate, and staff Federal detention facilities. OIDO will also engage with members of Congress and other offices working in the immigration detention arena. OIDO will share information and solicit feedback through briefings, listening sessions, community meetings, conferences, and trainings, as well as through OIDO's website and other means. Additionally, ERD will build trust with stakeholders and provide neutral feedback to the Department. ERD will support the efforts of OIDO Case Managers as they communicate with NGOs and legal service providers.

Detention Oversight (DO)

The Detention Oversight (DO) division is responsible for identifying risks within DHS confinement facilities, conducting inspections to assess those risks, and working with other OIDO divisions to make recommendations to address concerns and violations of contract terms identified in reviews, audits, investigations, and detainee interviews. Inspections may be conducted as a result of patterns identified through analysis of individual cases, topics in the news, or efforts to follow up on the findings and recommendations of other oversight offices. DO executes its mission in a manner complimentary to other detention oversight efforts through a mix of Federal and contract staff who are experts in immigration law and policy, civil

detention care and custody, medical standards, environmental health and safety, and civil rights. DO's efforts are meant to address and improve systemic issues within the immigration detention system.

Case Management Division (CMD)

OIDO's Case Management Division (CMD) is responsible for taking in, reviewing, and resolving concerns regarding the conditions of immigration detention submitted by detainees, their family members, their representatives, and concerned members of the public. CMD team members maintain a consistent physical presence at immigration detention facilities throughout the country and work directly with detainees, facility staff, and DHS personnel to resolve issues at the lowest possible level. CMD is also partnered with the Joint Intake Center (JIC) run by Customs and Border Protection (CBP) for a pilot program to deconflict review of complaints for which there may be overlapping responsibility. With real time access to complaints received by the JIC, OIDO will help coordinate the review and assignment of these complaints, monitor trends, and resolve complaints in a timely manner. CMD team members are trained in immigration law, policy, and detention standards, and include subject-matter experts to review and resolve cases involving medical concerns or other complex issues efficiently and effectively. OIDO's Case Management Division (CMD) is responsible for taking in, reviewing, and resolving concerns regarding the conditions of immigration detention submitted by detainees, their family members, their representatives, and concerned members of the public. CMD team members maintain a consistent physical presence at immigration detention facilities throughout the country and work directly with detainees, facility staff, and DHS personnel to resolve issues at the lowest possible level. CMD is also partnered with the Joint Intake Center (JIC) run by Customs and Border Protection (CBP) for a pilot program to deconflict review of complaints for which there may be overlapping responsibility. With real time access to complaints received by the JIC, OIDO will help coordinate the review and assignment of these complaints, monitor trends, and resolve complaints in a timely manner. CMD team members are trained in immigration law, policy, and detention standards, and include subject-matter experts to review and resolve cases involving medical concerns or other complex issues efficiently and effectively.

Policy and Standards (POSTA)

The Policy and Standards (POSTA) division is responsible for developing customized solutions for DHS immigration detention and holding facilities seeking to realize high levels of program performance, integrity, and accountability for complex problems. POSTA accomplishes this mission by: 1) Developing policy recommendations in response to issues, standards violations and misconduct discovered through OIDO inspections, audits and case management; 2) Customizing training and technical assistance solutions for detention and holding facilities in order to mitigate future issues, standards violations, and misconduct; 3) Providing DHS facilities with risk mitigation consultations conducted by experienced experts and practitioners by request and at no cost; 4) Centralizing detention standards and Prison Rape Elimination Act trainings for DHS employees and contractors to ensure continuity and consistency in the interpretation and application of authorities; and 5) Conducting surveys within DHS immigration detention and holding facilities to identify potential barriers to high performance and integrity levels and to measure return on investment.

Operations and Resource Management Division (ORMD)

The OIDO Operations and Resource Management Division (ORMD) will administratively oversee the Office’s finances, contracts, facilities, assets and logistics, technology, security, safety, leadership correspondence and taskings, and Continuity of Operations services. ORMD will manage OIDO’s hiring process, provide supervisors with recommendations on work methods and create efficiency throughout the office with organizational features to eliminate unnecessary duplication of efforts. In addition, it will develop and review correspondence, talking points, briefing materials, and other documents for the Ombudsman, and Deputy Ombudsman’s approval.

Office of the Immigration Detention Ombudsman Planned Obligations: <i>(Dollars in Thousands)</i>						
Program Areas	Positions	Salaries and Benefits	Travel	Contracts/ IAAs	Other Expenses	Totals by Office
Operations and Resource Management Division	9	\$1,826	\$43	\$16	\$63	\$1,948
Policy and Standards	6	\$1,001	\$104	\$0	\$42	\$1,147
Case Management Division	26	\$4,114	\$435	\$4,102	\$181	\$8,832
Detention Oversight	20	\$3,606	\$313	\$1,305	\$139	\$5,363
External Relations	6	\$880	\$70	\$0	\$42	\$992
Front Office	9	\$1,870	\$35	\$10	\$63	\$1,978
Total	73	\$13,297	\$1,000	\$5,433	\$530	\$20,260

Contracts & Interagency Agreements

FY 2023 OIDO Contracts/ Interagency Agreements <i>(Dollars in Thousands)</i>			
Office	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
Case Management Division	Foreign Language Contract / Language Interpretation Service	\$422	Q1
Detention Oversight Division	PHSO Contract / Medical Support Team	\$1,305	Q2
Case Management Division	Information Systems Network Contract	\$996	Q4
Operations and Resource Management Division	CRSO Transit Benefit Costs	\$16	Q1
Front Office Division	Financial Accounting and Sharing Services (FASS)	\$10	Q2
Case Management Division	IDCMS Contract / Database Acquisition and Development	\$2,576	Q1

Operations and Support
Operations and Engagement – PPA

FY 2023 OIDO Contracts/ Interagency Agreements <i>(Dollars in Thousands)</i>			
Office	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
Case Management Division	GSA Vehicle Contract/ Government Leased Cars	\$108	Q2
Total Planned IAAs		\$5,433	
TOTAL		\$5,433	

OIDO Obligation Schedule <i>(Dollars in Thousands)</i>	Q1 FY 2023 Planned	Q2 FY 2023 Planned	Q3 FY 2023 Planned	Q4 FY 2023 Planned
Obligations	\$6,720	\$11,850	\$15,557	\$20,260
Obligations By Percent	33%	58%	77%	100%

OIDO - Appropriated Funds Comparison <i>(Dollars in Thousands)</i>	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget
Personnel Compensation & Benefits	\$6,458	\$13,036	\$13,297
Travel	\$511	\$1,000	\$1,000
Contracts & IAAs	\$17,482	\$5,309	\$5,433
Other	\$549	\$549	\$530
Total	\$25,000	\$19,894	\$20,260

Operations and Engagement – PPA Personnel Compensation and Benefits

Pay Summary

(Dollars in Thousands)

	FY 2021 Enacted				FY 2022 President's Budget				FY 2023 President's Budget				FY 2022 to FY 2023 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Operations and Engagement	264	252	\$44,215	\$175.46	301	272	\$57,269	\$210.55	293	277	\$56,402	\$203.58	(8)	5	(\$867)	(\$6.96)
Total	264	252	\$44,215	\$175.46	301	272	\$57,269	\$210.55	293	277	\$56,402	\$203.58	(8)	5	(\$867)	(\$6.96)
Subtotal Discretionary - Appropriation	264	252	\$44,215	\$175.46	301	272	\$57,269	\$210.55	293	277	\$56,402	\$203.58	(8)	5	(\$867)	(\$6.96)

The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel

Pay by Object Class

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
11.1 Full-time Permanent	\$32,434	\$42,211	\$41,494	(\$717)
11.3 Other than Full-time Permanent	\$472	\$487	\$385	(\$102)
11.5 Other Personnel Compensation	\$199	\$205	\$252	\$47
11.8 Special Personal Services Payments	-	-	\$9	\$9
12.1 Civilian Personnel Benefits	\$11,110	\$14,366	\$14,262	(\$104)
Total - Personnel Compensation and Benefits	\$44,215	\$57,269	\$56,402	(\$867)
Positions and FTE				
Positions - Civilian	264	301	293	(8)
FTE - Civilian	252	272	277	5

Pay Cost Drivers

(Dollars in Thousands)

	FY 2021 Enacted				FY 2022 President's Budget				FY 2023 President's Budget				FY 2022 to FY 2023 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Office for Civil Rights and Civil Liberties (CRCL)	98	\$20,800	\$212.24	115	\$29,331	\$255.05	135	\$28,723	\$212.76	20	(\$608)	(\$42.29)			
Office of the Immigration Detention Ombudsman (OIDO)	73	\$10,000	\$136.99	73	\$13,036	\$178.58	73	\$13,297	\$182.15	-	\$261	\$3.58			
Office of Partnership and Engagement (OPE)	42	\$6,504	\$154.86	45	\$7,874	\$174.98	38	\$7,341	\$193.18	(7)	(\$533)	\$18.21			
Office of the Citizenship and Immigration Services Ombudsman (CISOMB)	39	\$6,911	\$177.21	39	\$7,028	\$180.21	37	\$8,206	\$221.78	(2)	\$1,178	\$41.58			
Other PC&B Costs	-	-	-	-	-	-	-	\$9	-	-	\$9	-			
Total - Pay Cost Drivers	252	\$44,215	\$175.46	272	\$57,269	\$210.55	283	\$57,576	\$203.42	11	\$307	(\$7.13)			

Explanation of Pay Cost Driver

Operations and Engagement: This cost driver supports Operations and Engagement with OSEM. It reflects a decrease of 2 positions in the Office of Citizenship and Integration Services Ombudsman (CISOMB) and an increase of 11 FTE associated with the program areas for the Office for Civil Rights and Civil Liberties (CRCL), and the Office of Partnership and Engagement (OPE). The pay in salaries and benefits and reflects agency costs for a FY 2023 Civilian Pay Raise, funding to staffing increases, pricing changes, and program changes for most offices within Operations and Engagement. In addition, OIDO has continued to hire at their full capacity due to increased funding to fully operate as a new office that started in FY 2020.

Operations and Engagement – PPA Non Pay Budget Exhibits

Non Pay Summary

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
Operations and Engagement	\$30,733	\$23,487	\$22,951	(\$536)
Total	\$30,733	\$23,487	\$22,951	(\$536)
Subtotal Discretionary - Appropriation	\$30,733	\$23,487	\$22,951	(\$536)

Non Pay by Object Class

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
21.0 Travel and Transportation of Persons	\$1,893	\$1,793	\$1,643	(\$150)
23.2 Rental Payments to Others	\$2,000	\$2,000	\$2,000	-
24.0 Printing and Reproduction	\$1	\$1	\$10	\$9
25.1 Advisory & Assistance Services	\$10,860	\$4,783	\$3,144	(\$1,639)
25.2 Other Services from Non-Federal Sources	\$5,683	\$6,577	\$7,910	\$1,333
25.3 Other Purchases of goods and services	\$9,912	\$7,971	\$7,540	(\$431)
25.7 Operation & Maintenance of Equipment	\$111	\$111	\$111	-
26.0 Supplies & Materials	\$253	\$231	\$553	\$322
31.0 Equipment	\$20	\$20	\$40	\$20
Total - Non Pay Budget Object Class	\$30,733	\$23,487	\$22,951	(\$536)

Non Pay Cost Drivers*(Dollars in Thousands)*

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Total Changes
IAAs and Contracts	\$29,566	\$20,578	\$20,462	(\$116)
Travel	\$893	\$1,793	\$1,690	(\$103)
Other Costs	\$274	\$1,116	\$860	(\$256)
Total - Non-Pay Cost Drivers	\$30,733	\$23,487	\$23,012	(\$475)

Explanation of Non-Pay Cost Drivers

Inter/Intra Agency Agreements (IAAs) and Contractual Services: Operations and Engagement leverages contract support and interagency agreements to procure a variety of different services. Contracts and IAA costs have decreased due to the transfer of Blue Campaign from the Office of Partnership and Engagement. Further, Operations and Engagements realigned some pay costs to non-pay to ensure the execution of some contracts. See the charts labeled “Contracts & Interagency Agreements” for more details.

Travel: Travel expenses consist of airfare, lodging, meals, and miscellaneous and incidentals expenses and are expected to decrease slightly due to the transfer of Blue Campaign and pricing decrease for travel. Operations and Engagement personnel travel in direct support of the Department’s priorities including but not limited to: facilitate communication and serve as Departmental liaisons between DHS, SLTT governments, law enforcement, academia, the private sector, local community members, and the organizations that represent them; Community Engagement and countering violent extremism outreach efforts; conduct roundtable meetings; meet with a variety of stakeholders across the country, including USCIS leadership at their facilities; and conduct site visits.

Other Costs: Operations and Engagement incurs expenses for items such as paper, toner, educational tools, printing, and other office supplies and equipment. Other Costs are expected to increase in FY 2023 due to higher projected supplies and materials needed due to less travel costs.

*Management and Oversight – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request***(Dollars in Thousands)*

	FY 2021 Enacted			FY 2022 President's Budget			FY 2023 President's Budget			FY 2022 to FY 2023 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Management and Oversight	289	263	\$54,932	330	293	\$75,650	457	403	\$137,262	127	110	\$61,612
Total	289	263	\$54,932	330	293	\$75,650	457	403	\$137,262	127	110	\$61,612
Subtotal Discretionary - Appropriation	289	263	\$54,932	330	293	\$75,650	457	403	\$137,262	127	110	\$61,612

PPA Level I Description

The Management and Oversight PPA supports the core functions of the Office of the Secretary, Office of the General Counsel (OGC), Privacy Office (PRIV), Office of Public Affairs (OPA), Office of Legislative Affairs (OLA), and the Office of Health Security & Resilience (OHSR).

Office of the Secretary: The Office of the Secretary's role is to provide executive leadership, management, direction, and oversight for the Department's Components. The Secretary represents DHS to the President, Congress, State, local, tribal and territorial partners, and the general public. Supporting the Office of the Secretary are the Deputy Secretary, Chief of Staff, Executive Secretary, the Joint Requirements Council (JRC), and the Family Reunification Task Force (FRTF).

Office of the General Counsel (OGC): The Office of the General Counsel provides legal advice and counsel to the Secretary and other Department leadership. The OGC is responsible for ensuring that Department programs and activities fully comply with all applicable legal requirements and the General Counsel is authorized to decide all legal matters within DHS, serves as the DHS Regulatory Policy Officer, and is the chief legal officer to the approximately 3,000 dedicated attorneys that comprise OGC within the Department. At Headquarters, OGC is comprised of the following divisions: Regulatory Affairs, Operations and Enforcement, Intelligence, Technology Programs, Immigration, Legal Counsel, National Protection and Programs, Ethics and Compliance, and Management.

Privacy Office (PRIV): Protects personally Identifiable Information (PII) and Departmental information through the identification, documentation, and mitigation of privacy risks and the implementation of best practices. The Privacy Office accomplishes its mission through five teams, which includes the FOIA Team, Policy and Oversight Team, Security, Safeguarding and Information Sharing Team, Compliance Team, and Privacy Administrative Coordination Team.

Office of Public Affairs (OPA): Provides oversight and management of all external and internal communications. OPA responds to media inquiries, maintains and updates the Department’s website, writes speeches for principals and reviews and coordinates speaking events for Department officials.

Office of Legislative Affairs (OLA): Executes the Secretary’s legislative and congressional relations priorities and serves as the Department’s principal coordinator to Members of Congress and their congressional staff, the White House, and other departments and agencies.

Office of Health Security & Resilience (OHSR): The DHS Chief Medical Officer (CMO) and OHSR leads DHS efforts in protecting the health of our workforce and the health security of the homeland, particularly through the lens of an ever-expanding complex health security mission. The OHSR programs operationalize strategic public health and medical direction, provide the necessary advisory and technical expertise to enable effective health security-related decision making by DHS senior leadership, and coordinate with public health and medical partners at all levels of government towards enhancing resilience to the health impacts of terrorism, manmade or natural disasters and other crises.

Management and Oversight –PPA Budget Authority and Obligations

(Dollars in Thousands)

	FY 2021	FY 2022	FY 2023
Enacted/Request	\$54,932	\$75,650	\$137,262
Carryover - Start of Year	-	-	-
Recoveries	-	-	-
Rescissions to Current Year/Budget Year	(\$283)	-	-
Net Sequestered Resources	-	-	-
Reprogramming/Transfers	\$283	-	-
Supplementals	-	-	-
Total Budget Authority	\$54,932	\$75,650	\$137,262
Collections - Reimbursable Resources	\$14,943	\$16,144	\$17,673
Collections - Other Sources	-	-	-
Total Budget Resources	\$69,875	\$91,794	\$154,935
Obligations (Actual/Estimates/Projections)	\$69,875	\$91,794	\$153,700
Personnel: Positions and FTE			
Enacted/Request Positions	289	330	457
Enacted/Request FTE	263	293	403
Onboard and Actual FTE			
Onboard (Actual/Estimates/Projections)	289	330	451
FTE (Actual/Estimates/Projections)	263	293	397

Management and Oversight
Collections - Reimbursable Resources
(Dollars in Thousands)

	FY 2021 Enacted			FY 2022 President's Budget			FY 2023 President's Budget		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Department of Defense	1	1	\$204	1	1	\$220	-	-	\$220
Department of Homeland Security - Analysis and Operations	10	10	\$1,918	12	12	\$2,151	10	10	\$2,166
Department of Homeland Security - U.S. Citizenship and Immigration Services	2	2	\$425	1	1	\$359	1	1	\$370
Department of Homeland Security - Countering Weapons of Mass Destruction	6	6	\$992	6	6	\$992	9	9	\$995
Department of Homeland Security	-	-	-	-	-	\$300	-	-	\$300
Department of Homeland Security - Federal Emergency Management Agency	1	1	\$223	1	1	\$322	1	1	\$322
Department of Homeland Security - Federal Protective Service	15	15	\$3,116	15	15	\$3,116	16	16	\$3,137
Department of Homeland Security - Office of Inspector General	-	-	\$102	-	-	\$102	-	-	\$123
Department of Homeland Security - Office of the Secretary & Executive Management	-	-	\$8	-	-	\$8	-	-	\$8
Department of Homeland Security - Science and Technology	13	13	\$2,392	13	13	\$2,393	13	13	\$2,393
Department of Homeland Security - Transportation Security Administration	1	1	\$241	1	1	\$241	1	1	\$241
Department of Homeland Security - U.S. Customs and Border Protection	5	5	\$650	5	5	\$847	2	2	\$847
Department of Homeland Security - U.S. Immigration and Customs Enforcement	1	1	\$1,709	1	1	\$1,059	1	1	\$2,309
Department of Homeland Security - United States Coast Guard	1	1	\$140	1	1	\$384	1	1	\$542
Department of Homeland Security - United States Secret Service	1	1	\$78	1	1	\$78	1	1	\$78
Department of Homeland Security - Cybersecurity and Infrastructure Security Agency	7	7	\$2,264	7	7	\$3,323	7	7	\$3,368
Department of Homeland Security - Office of Biometric Identity Mangement (OBIM)	2	2	\$249	2	2	\$249	2	2	\$254
Department of Homeland Security - Intelligence and Analysis	2	2	\$232	-	-	-	-	-	-
Total Collections	68	68	\$14,943	67	67	\$16,144	65	65	\$17,673

Management and Oversight – PPA
Summary of Budget Changes
(Dollars in Thousands)

	Positions	FTE	Amount
FY 2021 Enacted	289	263	\$54,932
FY 2022 President's Budget	330	293	\$75,650
FY 2023 Base Budget	330	293	\$75,650
Total Technical Changes	-	-	-
Transfer for Annualization of MIX Personnel from CWMD/OS/MS to OSEM/OS/MO	-	2	\$463
Transfer for Office of Health Security & Resilience from CWMD/OS to OSEM/OS/MO	44	35	\$21,261
Transfer for OHSR from MGMT/O&S/OCHCO to OSEM/O&S/M&O	26	26	\$7,398
Transfer for the Secretary's Briefing Staff from AO/O&S to OSEM/O&S/M&O	9	7	\$1,237
Office of State and Local Law Enforcement (OPE) to the Office of the Secretary	6	6	\$1,235
Total Transfers	85	76	\$31,594
Civilian Pay Raise Total	-	-	\$1,936
Annualization of Prior Year Pay Raise	-	-	\$295
FPS Fee Adjustment	-	-	\$122
Annualization of Hitchcock Hall and Center Building Studio	-	2	\$410
Annualization of Office of Privacy Staffing	-	5	\$684
Annualization of OLA Staffing and Correspondence Analyst Task Tracker	-	4	\$684
OLA S&B Reduction	-	-	(\$463)
OPA Newsclipping Contract TechMis	-	-	(\$452)
OPA Training and Supplies	-	-	(\$63)
Organization Cost Efficiencies	-	-	(\$1,193)
Travel Reduction	-	-	(\$555)
Total Pricing Changes	-	11	\$1,405
Total Adjustments-to-Base	85	87	\$32,999
FY 2023 Current Services	415	380	\$108,649
ESEC Family Reunification Task Force	10	5	\$19,525

Operations and Support**Management and Oversight – PPA**

Medical Information Exchange (MIX)	-	-	\$3,048
OGC Ethics and Compliance Law Knowledge Management System	-	-	\$600
OGC Staffing	14	7	\$1,193
OHSR Chief Medical Officer	6	5	\$2,141
OLA Office of Legislative Affairs	2	1	\$182
OPA Staff Increase	10	5	\$1,924
Total Program Changes	42	23	\$28,613
FY 2023 Request	457	403	\$137,262
FY 2022 TO FY 2023 Change	127	110	\$61,612

Management and Oversight PPA FY 2023 Expenditure Plan

Following this section are detailed expenditure plans for each of these offices.

Office of the Secretary

The Secretary is appointed by the President and confirmed by the Senate to lead DHS and act as the principal adviser to the President on Homeland Security matters. The Secretary ensures a coordinated national effort for the accomplishment of all DHS mission requirements, which include but are not limited to the prevention of terrorist attacks in the United States, the reduction of vulnerability to and minimization of impacts from catastrophic events, and the recovery from damage that may occur. The Secretary is a member of the Homeland Security Council and serves as the principal spokesperson on homeland security issues for the Administration when testifying before the House Homeland Security Committee, the Senate Homeland Security and Government Affairs Committee, and the House and Senate Appropriations Committees.

Office of the Deputy Secretary

The Immediate Office of the Deputy Secretary supports the Secretary by providing leadership with a focus on the internal management and direction of the Department to ensure its efficient and effective operation. The Deputy Secretary's role is to provide internal oversight of all Departmental operations, which allows the Secretary to focus on external matters concerning homeland security and DHS.

Chief of Staff

The Chief of Staff (COS) is responsible for coordinating policy initiatives and other actions of the Department's components, directorates, and offices. The COS oversees DHS activities, assists the Deputy in managing DHS operations, and supports the Secretary.

Executive Secretary

The Executive Secretary (ESEC) establishes effective and efficient protocols for processing all internal communications, decision management briefings, Congressional questions for the record and reports, and Department-wide testimony. ESEC is the principal liaison between the Department and its Components and coordinates all external correspondence for the Immediate Office of the Secretary and all directorates.

Joint Requirements Council

The Joint Requirements Council (JRC) is a component-led, component-driven organization that governs the Department's requirements process in generating, validating, and prioritizing capability gaps, mission needs, concepts of operations, and operational requirements. The requirements process ensures Components' capabilities meet the needs of DHS operators to execute mission and are traceable to strategic objectives, feasible, and cost informed. The Joint Requirements Council (JRC) is a component-led, component-driven organization that governs the Department's requirements process in generating, validating, and prioritizing capability gaps, mission needs, concepts of operations, and operational requirements. The requirements process ensures Components' capabilities meet the needs of DHS operators to execute mission and are traceable to strategic objectives, feasible, and cost informed.

Family Reunification Task Force

On February 2, 2021, President Biden by executive order established the Family Reunification Task Force (Task Force), an interagency task force to address the human tragedy that occurred when our immigration laws were used to intentionally separate children from their parents or legal guardians (families), including through the use of Zero-Tolerance Policy. The Task Force's three main objectives are: (1) to identify and reunite families who were separated because of the Trump Administration's Zero-Tolerance Policy, and any related policies and practices; (2) to develop recommendations that prevent the U.S. Government from intentionally separating families ever again, unless specifically required by law for the well-being of the child; and (3) to explore options to provide legal status, and funding for medical and trauma-related services for families that were separated. The Task Force is chaired by the Secretary of Homeland Security, and the Secretaries of State and Health and Human Services serve as vice chairs. The Attorney General and other employees of the designated departments also participate.

Office of the Secretary Planned Obligations: <i>(Dollars in Thousands)</i>						
Program Areas	Positions	Salaries and Benefits	Travel	Contracts/ IAAs	Other Expenses	Totals by Office
Secretary	4	\$960	\$1,298	\$209	\$8	\$2,475
Deputy Secretary	5	\$1,116	\$69	\$24	\$10	\$1,219
Chief of Staff	13	\$2,473	\$101	\$18	\$25	\$2,617
Executive Secretary	45	\$6,199	\$6	\$101	\$70	\$6,376
Joint Requirements Council	11	\$2,158	\$13	\$2,595	\$22	\$4,788
Family Reunification Task Force	10	\$1,583	\$-	\$17,942	\$-	\$19,525
Total	88	\$14,489	\$1,487	\$20,889	\$135	\$37,000

Contracts & Interagency Agreements

FY 2023 Office of the Secretary Contracts/ Interagency Agreements <i>(Dollars in Thousands)</i>			
Office	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
Executive Secretary	OPM Credit Monitoring	\$1	Q2
Executive Secretary	ETMS Palms	\$4	Q2

Operations and Support
Management and Oversight – PPA

FY 2023 Office of the Secretary Contracts/ Interagency Agreements <i>(Dollars in Thousands)</i>			
Office	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
Joint Requirements Council	FFRDC Support	\$1,800	Q2
Joint Requirements Council	KMDS IT Support	\$135	Q3
Joint Requirements Council	PMO Support	\$261	Q2
Joint Requirements Council	JPI Admin Support	\$194	Q3
Secretary	OPM Credit Monitoring	\$1	Q2
Secretary	ETMS Palms	\$4	Q2
Family Reunification Task Force	Task Force Support Services	\$3,700	Q2
Family Reunification Task Force	Home Country Support Services (IOM)	\$11,000	Q2
Family Reunification Task Force	Data & Communication Facilitation Services	\$600	Q2
Family Reunification Task Force	Class Member – Additional Services & Assistance	\$2,642	Q2
Total Planned Contracts		\$20,342	
Joint Requirements Council	USCG Detailees	\$205	Q2
Secretary, Deputy Secretary	International Fund Cites - Dept. of State	\$70	Q1, Q2, Q3, Q4
Secretary, Deputy Secretary, Chief of Staff, and Executive Secretary	Financial and Accounting Shared Services	\$81	Q2
Secretary, Deputy Secretary, Chief of Staff, and Executive Secretary	Flexible Spending Plan	\$1	Q2
Chief of Staff	CLAN Operations	\$103	Q2
Secretary	DoS Interpreter Services	\$50	Q2
Chief of Staff and Executive Secretary	Transit Subsidy	\$38	Q2
Total Planned IAAs		\$547	
TOTAL		\$20,889	

*\$0 amounts in tables represent dollar amounts less than \$500, however due to rounding reflect as \$0

Operations and Support**Management and Oversight – PPA**

Office of the Secretary Obligation Schedule <i>(Dollars in Thousands)</i>	Q1 FY 2023 Planned	Q2 FY 2023 Planned	Q3 FY 2023 Planned	Q4 FY 2023 Planned
Obligations	\$4,045	\$28,580	\$32,954	\$37,000
Obligations by Percent	11%	77%	89%	100%

Office of the Secretary Appropriated Funds Comparison <i>(Dollars in Thousands)</i>	FY 2021 Enacted	FY 2022 President’s Budget	FY 2023 OMB Submission
Personnel Compensation & Benefits	\$9,856	\$10,850	\$14,489
Travel	\$2,441	\$1,829	\$1,487
Contracts & IAAs	\$2,868	\$3,031	\$20,889
Other	\$221	\$135	\$135
Total	\$15,386	\$15,845	\$37,000

Office of the General Counsel

Presidentially appointed and Senate-confirmed, the General Counsel is the chief legal officer to the approximately 3,000 dedicated attorneys comprising the Office of the General Counsel (OGC). Entrusted with the authority to decide all legal matters within DHS, the General Counsel provides legal advice to the Secretary, Deputy Secretary, Undersecretaries, Assistant Secretaries, and serves as the DHS Regulatory Policy Officer. DHS Headquarters (HQ) and Component¹ legal offices fall under the General Counsel’s supervision.

OGC Front Office

The OGC Front Office is comprised of the General Counsel, the Principal Deputy General Counsel (who also serves as the Department's Designated Agency Ethics Official (DAEO)), three Deputy General Counsels, a Counselor to the General Counsel, the Chief of Staff, and three Executive Assistants. Each Deputy General Counsel manages a portfolio of high-profile matters and coordinates the legal positions across Components to ensure the Department presents a unified legal posture consistent with its mission.

¹ Cybersecurity and Infrastructure Security Agency (CISA), Federal Emergency Management Agency (FEMA); U.S. Coast Guard (USCG); U.S. Immigration and Customs Enforcement (ICE), U.S. Customs and Border Protection (CBP), U.S. Citizenship and Immigration Services (USCIS), the Transportation Security Administration (TSA), U.S. Secret Service (USSS), and the Federal Law Enforcement Training Centers.

The General Law Division

The General Law Division (GLD) advises the Secretary and the senior Department leadership on legal issues arising within the DHS Management Directorate. Legal practice areas include administrative law; fiscal law appropriations; the Vacancy Reform Act; grants; labor and employment law; torts; acquisition and procurement law; delegations and authorities; and environmental and property law. Additionally, GLD operates and oversees the U.S. Coast Guard Board for Correction of Military Records and adjudicates FOIA appeals arising from requests for Headquarters-level records.

Regulatory Affairs Law Division

The Regulatory Affairs Law Division (RLD), comprised of attorneys and economists, manages and oversees the regulatory process for the Department and provides leadership on regulatory matters across DHS. RLD advises the Secretary and senior Department leadership on the legal and economic matters associated with DHS regulatory actions. RLD leads the programmatic function of managing the Department's entire regulatory program to include developing the DHS regulatory agenda, ensuring the operation of the public-facing docket, that publication in the Federal Register occurs, and that the Unified Agenda is published.

The Operations and Enforcement Law Division

The Operations and Enforcement Law Division (OELD) provides legal advice and guidance to the Secretary and Department leaders on legal issues associated with enforcement and operational activities designed to protect the United States from, respond to, and recover from both natural and man-made threats. OELD is involved in legal issues that concern Secretarial authorities, two or more DHS components, or the role of the Department in interagency operations. OELD's exceptionally diverse legal portfolio includes matters related to air, land, and maritime domain security; border, cargo, and port security; counterterrorism; domestic-incident management; exercises; unmanned systems; international law; law enforcement; national security; transportation security; and trade and foreign investment.

Intelligence Law

The Intelligence Law Division (ILD) supports the Office of Intelligence and Analysis (I&A) as it equips the Homeland Security Enterprise with timely intelligence and information while driving information sharing and the delivery of predictive intelligence and analysis to operators and decision-makers at all levels. ILD represents DHS in engagements with other agencies throughout Federal, State and local governments to address intelligence law matters in a coordinated manner.

Technology Programs Law

The Technology Programs Law Division (TPLD) implements the legal program for the Science and Technology Directorate (S&T), as well as the OGC Intellectual Property (IP) Law Program. TPLD addresses legal issues related to research and development, compliance, grants, acquisition, technology transfer, international cooperative agreements, technology transfers, and medical and health security matters, including biodefense and bio-surveillance. Additionally, TPLD is home to the Department's Intellectual Property Group, which provides Department-wide legal support for intellectual property law matters, including but not limited to patent, trademark, copyright, data rights, and litigation issues.

Immigration Law

The Immigration Law Division (IMM) advises the Secretary and Department leaders on immigration and national security matters and provides advice during international ministerial negotiations. IMM works on legal issues related to removal, arrest and detention, national security, asylum, refugees, unaccompanied minors and victims of human trafficking, visa adjudication, and international human rights treaty obligations. The Immigration Law Division also assists with immigration-related administrative and Federal court litigation and legislative, regulatory and policy initiatives. The Immigration Law Division coordinates agency views on immigration law matters, especially when different views throughout the operational components may conflict and thus require Department level resolution.

Legal Counsel

The Legal Counsel Division (LCD) represents the Department in the areas of significant litigation, legislative affairs, and strategic oversight. The LCD Litigation Section, in coordination with Component counsel and the U.S. Department of Justice, handles the most significant litigation matters before U.S. district courts, courts of appeal, and the Supreme Court to ensure a unified litigation strategy. Cases involve the most novel issues of constitutional law or statutory construction with the potential to result in major adverse or significant impacts on DHS organizational operations, including aggressive programmatic challenges to Administration policies and initiatives.

The Strategic Oversight Section provides Compliance and Equal Employment Opportunity advice to the Office for Civil Rights and Civil Liberties, advises the Privacy Office on privacy and disclosure policy matters, the Privacy Act of 1974, privacy impact statements as mandated by the E-Government Act of 2002, Section 222 of the Homeland Security Act, Freedom of Information Act litigation, and provides legal support and guidance to the Departmental GAO-OIG Liaison Office.

The Legislative Affairs Section advises the Office of Legislative Affairs (OLA) on the development, coordination, and clearance of DHS legislative language and proposals, OLA reassignments, coordinates the Department's legislative agenda, advises the Office of the Secretary and the Office of the Executive Secretary on adjudication of report delegations, and participates in the development, coordination, and clearance of DHS views on all Executive Branch matters pertaining to legislation, e.g., OMB requests for DHS views on testimony, reports, and responses to questions for the record and OMB and DHS data calls.

Ethics

The Ethics and Compliance Law Division (ELD) assists the DAEO to administer and oversee the statutorily mandated DHS ethics compliance program oversees ethics program compliance in all Components and set DHS ethics implementation policies and procedures. With Component counsel, ELD coordinates ethics advice to ensure consistent responses across the Department. ELD supports Presidential Transition efforts and the clearance of Presidential Appointees confirmed by the Senate, as well as pre-vetting of all political appointees.

ELD advises the Secretary, Department leaders, and HQ employees on conflict of interest statutes and regulations, Government ethics regulations, and Department ethics policies. ELD also provides advice regarding gift acceptance, endorsement and preferential treatment mitigation, impartiality, misuse of position, post-government employment, financial disclosure, outside activities, supports DHS Federal Advisory Committee activities, and provides counsel related to partisan political activity rules. Finally, ELD runs HQ's ethics program by providing employee training and managing the financial disclosure program for over 3,000 DHS employees to prevent potential conflicts of interest of both current and prospective employees.

Management Division

Overseen by the Chief of Staff, the Management Division provides management, operational, and mission support at OGC Headquarters in the areas of budget formulation and execution, human resources, training and continuing legal education, Executive Secretariat, knowledge management, logistics, and strategic planning.

Secretary's Honors Program Attorneys

The Secretary's Honors Program Attorneys is the cornerstone program for entry-level attorney hiring within DHS. The program has been an integral part of the recruitment and training process since 2007 and offers entry-level attorneys from across the Nation a two-year term comprised of four six-month rotations at OGC Headquarters and in Component legal offices. Upon completion, most Honors Attorneys move into attorney positions at OGC HQ or Component legal offices.

Office of General Counsel Engagement Planned Obligations: <i>(Dollars in Thousands)</i>						
Program Areas	Positions	Salaries and Benefits	Travel	Contracts/ IAAs	Other Expenses	Totals by Office
Front Office Division	12	\$2,148	\$8	\$1,353	\$27	\$3,536
General Law Division	37	\$6,463	\$35	\$24	\$101	\$6,623
Regulatory Affairs Division	19	\$3,565	\$13	\$294	\$37	\$3,909
Operations and Enforcement	17	\$2982	\$13	-	\$87	\$3,082
Intelligence Law Division	1	\$302	\$1	-	\$100	\$403
Technology Programs Division	7	\$772	\$3	-	\$38	\$813
Immigration Law Division	9	\$1,612	\$6	\$18	\$55	\$1,691
Legal Counsel Division	21	\$3,549	\$15	\$35	\$104	\$3,703
Ethics and Compliance Law Division	15	\$1,945	\$9	\$628	\$62	\$2,644
Management	10	\$1806	\$10	\$367	\$65	\$2,248
Honors Attorney	2	\$270	\$2	-	\$5	\$277
Total	150	\$25,414	\$115	\$2,719	\$681	\$28,929

Operations and Support
Contracts & Interagency Agreements

Management and Oversight – PPA

FY 2023 OGC Contracts/ Interagency Agreements <i>(Dollars in Thousands)</i>			
Office	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
Front Office Division	West Legal Ed	\$44	Q3
Front Office Division	West Publishing	\$16	Q3
Front Office Division	Relativity E-Discovery	\$140	Q2
Front Office Division	Periodicals	\$3	Q1
General Law Division	CyberFeds	\$17	Q2
Immigration Law Division	Law 360 Subscription	\$18	Q1
Front Office Division	Pacer Subscription	\$10	Q1
General Law Division	PubKLaw Subscription	\$7	Q3
Total Planned Contracts		\$255	
Regulatory Affairs Law Division	E-Docket: eRulemaking Docket Services	\$141	Q1
Regulatory Affairs Law Division	Regulatory Affairs Management System (RAMS)	\$153	Q2
Ethics Law Division	Financial Management Disclosure System (FDMS)	\$35	Q3
Legal Counsel Division	OMB MAX: Legislative Workflow Management System	\$35	Q4
Front Office Division	E-Discovery O&M	\$870	Q2
Front Office Division	CATT Workflow Management System	\$210	Q2
Front Office Division	Suspension & Debarment Case Management System	\$60	Q2
Management Division	FLETC Attorney	\$25	Q2
Management Division	Miscellaneous Contracts (Credit Monitoring/PALMS/Adobe/Time and Attendance Licenses)	160	Q3
Management Division	Finance & Accounting Shared Service	\$86	Q1
Management Division	Flexible Spending	3	Q1

Operations and Support**Management and Oversight – PPA**

Management Division	Transit Subsidy	\$86	Q1
Ethics Law Division	Ethics Knowledge Management Solution	\$600	Q1
Total Planned IAAs		\$2,464	
Total		\$2,719	

OGC – Obligation Schedule <i>(Dollars in Thousands)</i>	Q1 FY 2023 Planned	Q2 FY 2023 Planned	Q3 FY 2023 Planned	Q4 FY 2023 Planned
Obligations	\$14,464	\$19,285	\$24,106	\$28,929
Obligations by Percent	50%	67%	83%	100%

OGC Appropriated Funds Comparison <i>(Dollars in Thousands)</i>	FY 2021 Enacted	FY 2022 President’s Budget	FY 2023 President’s Budget
Personnel Compensation & Benefits	\$17,144	\$24,413	\$25,414
Travel	\$150	\$115	\$115
Contracts & IAAs	\$1,480	\$2,151	\$2,719
Other Expenses	\$37	\$168	\$681
Total	\$18,811	\$26,847	\$28,929

Privacy Office

As required by Section 222 of the Homeland Security Act, as amended, the Privacy Office (PRIV) evaluates the Department’s programs, systems, and initiatives for potential privacy impacts and providing mitigation strategies to reduce that impact. All DHS systems, technology, and programs that either collect personally identifiable information (PII) or have a privacy impact are subject to the oversight of the Chief Privacy Officer (CPO) and the requirements of U.S. data privacy and security laws. In addition, PRIV also has the responsibility for promoting openness and transparency through the Department’s Freedom of Information Act (FOIA) program and policies.

PRIV supports the guiding principles and core values outlined in the DHS Strategic Plan for Fiscal Years 2020-2024 and helps strengthen the homeland security mission by integrating information sharing and preserving privacy, oversight, and transparency in the execution of all departmental activities. In addition, PRIV implements the policies of the Department to defend and protect individual rights, liberties, and information interests of the public.

Additionally, through training, outreach, and participation in program development and key departmental agreements, PRIV advances and supports important cross-cutting privacy and disclosure issues faced by the Department.

Business Operations Team

The Business Operations Team oversees and manages the business operations, office workflow, human capital, technology, procurement, financial actions, communications, training, and resilience to ensure the office is fully supported to carry out its mission. It is the focal point for all administrative and financial matters and works diligently to ensure efficiency of operations.

FOIA Team

The Chief Privacy Officer is also delegated as the department's Chief FOIA Officer and executes programmatic oversight of Department-wide FOIA operations and policy. The Privacy Office coordinates and oversees the components' FOIA operations, providing FOIA-related training, and preparing the required annual reports on the Department's FOIA performance. In addition, PRIV has responsibility for processing FOIA requests submitted to the Offices of the Secretary and Executive Management. PRIV also reviews and analyzes appeals from denials of access to records requested under FOIA, recommends final agency decisions on the release/non-release of records and assist the Office of General Counsel in the litigation process.

Policy and Oversight Team

The Policy and Oversight (P&O) Team bears primary responsibility for the development of DHS privacy policy, as well as providing subject matter expertise and support for policy development throughout the Department in areas that affect individual privacy. P&O leads the development of privacy policies that govern the use of emerging technologies, such as cybersecurity or mobile technologies. It conducts oversight and ensures accountability and continuous improvement of DHS privacy processes and programs by conducting Privacy Compliance Reviews and investigations. P&O manages privacy incidents, including the Department's Breach Response Team, which conducts risk assessments in response to privacy incidents and identifies appropriate mitigation strategies, such as notification. P&O also ensures response and redress for privacy complaints and develops privacy training and outreach for the Department and its components.

Compliance Team

The Compliance Team enhances the Department's ability to protect PII and ensures transparency and accountability by identifying and documenting privacy risks through the required privacy documentation and reporting, such as Privacy Impact Analyses and Systems of Records Notices. The Privacy Compliance Team oversees privacy compliance activities, including supporting DHS Component privacy officers, Privacy Points of Contact in other DHS offices, and DHS programs. Examples of compliance activities include the review of Privacy Threshold Analyses, Privacy Impact Assessments, System of Records Notices, rulemakings to support exemptions under the Privacy Act, and other compliance documents. The team also reviews Exhibit 300 budget submissions to the Office of Management and Budget, as well as acquisition materials to ensure that privacy protective clauses are incorporated into the contracting process. In addition, the team also partners with the Office of the Chief Information Officer in helping to ensure that systems comply with proper system controls designed to protect privacy before systems are authorized to operate.

Operations and Support
Management and Oversight – PPA

Privacy Office Planned Obligations: <i>(Dollars in Thousands)</i>						
Program Areas	Positions	Salaries and Benefits	Travel	Contracts/ IAAs	Other Expenses	Totals by Office
Executive Office	9	\$2,448	\$121	-	\$54	\$2,623
Business Operations	8	\$1,288	-	\$75	\$48	\$1,411
FOIA/Disclosure	21	\$3,619	-	\$7,909	\$127	\$11,655
Policy and Oversight	13	\$2,153	-	-	\$79	\$2,232
Compliance	7	\$1,004	-	-	\$42	\$1,046
Totals	97	\$10,512	\$121	\$7,984	\$350	\$18,967

Contracts & Interagency Agreements

FY 2023 PRIV Contracts/ Interagency Agreements <i>(Dollars in Thousands)</i>			
Office	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
FOIA/Disclosure	FOIA Support Services	\$1,500	Q3
FOIA/Disclosure	FOIA Processing/Privacy Compliance Support Services	\$1,425	Q3
FOIA/Disclosure	FOIA Processing System - Maintenance	\$1,464	Q2
FOIA/Disclosure	Centralized FOIA Solution	\$3,500	Q1
Total Planned Contracts		\$7,889	
FOIA/Disclosure	FOIA Appeals	\$20	Q1
Business Operations	OBIM A-File Documents w/USCIS	\$75	Q1
Total Planned IAAs		\$95	
Total		\$7,984	

Operations and Support**Management and Oversight – PPA**

Obligation Schedule <i>(Dollars in Thousands)</i>	Q1 FY 2023 Planned	Q2 FY 2023 Planned	Q3 FY 2023 Planned	Q4 FY 2023 Planned
Obligations	\$6,341	\$10,551	\$16,221	\$18,967
Obligations by Percent	33%	56%	86%	100%

Appropriated Funds Comparison <i>(Dollars in Thousands)</i>	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget
Personnel Compensation & Benefits	\$8,233	\$10,572	\$10,512
Travel	\$55	\$55	\$121
Contracts & IAAs	\$3,189	\$7,132	\$7,984
Other	\$45	\$170	\$350
Total	\$11,522	\$17,929	\$18,967

Office of Public Affairs (OPA)

OPA is responsible for managing the Department's external and internal communications. The office responds to media inquiries, maintains and updates the Department's website, writes speeches for senior Department officials, and coordinates speaking events. The office manages the Department's organizational identity program, which includes usage of the DHS seal and related guidelines. Also, the office oversees the Department's employee communication activities, which include coordinating communications for Department-wide initiatives, town hall meetings between management and employees, and the operation and management of an intranet site. Per the Homeland Security Presidential Directive (HSPD) 5, OPA's incident communications program guides overall Federal incident communication activity and coordinates with State, local, and international partners to ensure accurate and timely information is provided to the public during a crisis.

The program areas under the OPA are:

Incident Communications

This division brings unity of effort to crisis communications between the Federal interagency community and its many partners at the State, local, tribal, nongovernmental, and private-sector levels.

Strategic Communications

This division provides overall management for implementation of communication plans relating to DHS programs and policies, rules/regulations, and complex domestic and international issues requiring extensive outreach and public education.

Press Secretary

This division coordinates media relations and serve as the spokespersons for the Secretary, senior leadership, and the Department.

Communications Operations

This division provide information directly to the public through the Department and components websites. Coordinating, integrating and synchronizing employee communications efforts of the Components and DHS headquarters

Missions Support

This division provides day to day oversight and support to the office of public affairs.

Office of Public Affairs Planned Obligations: <i>(Dollars in Thousands)</i>						
Program Areas	Positions	Salaries and Benefits	Travel	Contracts/ IAAs	Other Expenses	Totals by Office
Public Affairs	41	\$6,215	\$120	\$2,671	\$883	\$9,889
Total	41	\$6,215	\$120	\$2,671	\$883	\$9,889

Contracts & Interagency Agreements

FY 2023 Contracts/ Interagency Agreements <i>(Dollars in Thousands)</i>			
**Office	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
Public Affairs	TechMIS	\$66	Q1
Public Affairs	Credit Monitoring	\$1	Q1
Public Affairs	Maintenance and Repairs for Center Building Studio	\$500	Q1
Public Affairs	New Lighting Fixtures with controllable LED Lighting	\$300	Q1
Public Affairs	Center Building Studio Contract Support	\$1,650	Q1
Total Planned Contracts		\$2,517	
Public Affairs	IAA with USCG (photographer)	\$115	Q3
Public Affairs	Financial and Accounting Shared Services	\$22	Q4
Public Affairs	Transit Subsidy	\$17	Q4
Public Affairs	Flexible Spending Plan		Q4

Operations and Support**Management and Oversight – PPA**

Total Planned IAAs		\$154	
TOTAL		\$2,671	

*\$0 amounts in tables represent dollar amounts less than \$500, however due to rounding reflect as \$0.

**All program areas fall under the Program Office of Public Affairs.

Obligation Schedule <i>(Dollars in Thousands)</i>	Q1 FY 2023 Planned	Q2 FY 2023 Planned	Q3 FY 2023 Planned	Q4 FY 2023 Planned
Obligations	\$4,322	\$6,127	\$8,046	\$9,889
Obligations by Percent	44%	62%	81%	100%

Appropriated Funds Comparison <i>(Dollars in Thousands)</i>	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget
Personnel Compensation & Benefits	\$3,554	\$4,088	\$6,215
Travel	\$135	\$95	\$120
Contracts & IAAs	\$598	\$3,123	\$2,671
Other	\$28	\$610	\$883
Total	\$4,315	\$7,916	\$9,889

Office of Legislative Affairs

Office of Legislative Affairs (OLA) is responsible for executing the Secretary's legislative and congressional relations priorities. The mission of OLA is to serve as a primary liaison to Members of Congress and their staff, to respond to inquiries from Congress and notify Congress of Department initiatives, policies, and programs. OLA is responsible to inform the Department's senior leaders on the activities of Congress and enhance the ability of the Department to execute its missions by providing timely information to Members of Congress about efforts to prevent terrorism and enhance security; manage the borders; administer immigration laws; secure cyberspace; and ensure disaster resilience. OLA underpins an integrated approach that recognizes the interconnectedness of DHS's legislative mission with a sound, robust and fully engaged staff to formulate the legislation recommendations that drive the priorities of the Administration and Secretary.

Office of the Assistant Secretary

The Office of the Assistant Secretary includes the Assistant Secretary, two Deputy Assistant Secretaries, a Chief of Staff, and a Deputy Chief of Staff. These officials serve as the office's leadership and both act and ensure the continuous exchange of information between Congress and the Department. The office advises the Secretary, the Deputy Secretary, and Senior Department leadership on key legislative strategies, facilitates the DHS Senate-confirmation process, clearly articulates DHS' views in support of needed authorities and appropriations, and directs the Department's

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complex congressional relations programs ensuring a cross-Departmental approach for DHS to speak with one, informed voice to Members of Congress and their staffs.

Legislative Affairs Teams

The Legislative Affairs Teams serves as the primary liaison to Congress to educate Members and their staff on the priorities and the policy interest of the Administration and the Secretary, ensures that all DHS Components are actively engaged with Congress by responding to requests and inquiries from congressional committees, Members of Congress and their staffs, and fully participates in the DHS Senate confirmation process. There are four (4) teams within OLA that focus on specific legislative issue areas: Headquarters; Operational Component Coordination; Intelligence, Operations and Cyber; and Oversight and Investigations. Additionally, the Administration and Mission Support Team oversees the daily administrative operations of the office to support the legislative affairs priorities of OLA and the Department.

Office of Legislative Affairs Planned Obligations: <i>(Dollars in Thousands)</i>						
Program Areas	Positions	Salaries and Benefits	Travel	Contracts/ IAAs	Other Expenses	Totals by Office
Office of Legislative Affairs	1	\$104	\$2	\$176	\$27	\$308
Office of the Assistant Secretary	7	\$1,000	\$10	-	\$190	\$1,200
Legislative Affairs Teams	30	\$3,977	\$46	\$586	\$813	\$5,423
Total	38	\$5,081	\$58	\$762	\$1,030	\$6,931

*Some totals are affected by rounding. All totals may be off by \$1k.

Contracts & Interagency Agreements

FY 2023 Contracts/ Interagency Agreements <i>Dollars in Thousands</i>			
**Office	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
Office of Legislative Affairs	Bloomberg Government	\$114	Q1
Office of Legislative Affairs	Financial & Accounting Shared Services	\$22	Q1
Legislative Affairs Teams	Admin Support Contract	\$153	Q1
Office of Legislative Affairs	Purchase Card	\$25	Q1
Office of Legislative Affairs	OPM Credit Monitoring	\$1	Q1
Legislative Affairs Teams	CATT electronic tracking tool	\$431	Q1

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Legislative Affairs Teams	Lexis Nexis Subscription Services	\$1	Q1
Legislative Affairs Teams	Adobe Licenses	\$2	Q1
Total Planned Contracts		\$749	
Office of Legislative Affairs	Transit Subsidy	\$14	Q1
Office of Legislative Affairs	ETMS PALMS	\$0	Q1
Total Planned IAAs		\$14	
Total		\$762	

*\$0 amounts in tables represent dollar amounts less than \$500, however due to rounding reflect as \$0

**The Legislative Affairs Teams fall under the Program Office of Legislative Affairs.

Obligation Schedule <i>(Dollars in Thousands)</i>	Q1 FY 2023 Planned	Q2 FY 2023 Planned	Q3 FY 2023 Planned	Q4 FY 2023 Planned
Obligations	\$2,305	\$3,847	\$5,389	\$6,931
Obligations by Percent	33%	55%	78%	100%

Appropriated Funds Comparison <i>(Dollars in Thousands)</i>	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget
Personnel Compensation & Benefits	\$4,636	\$5,911	\$5,081
Travel	\$55	\$58	\$58
Contracts & IAAs	\$187	\$1,013	\$762
Other	\$20	\$130	\$1,030
Total	\$4,898	\$7,112	\$6,931

Office of Health Security & Resilience (OHSR)

The DHS Chief Medical Officer (CMO) and OHSR leads DHS efforts in protecting the health of our workforce and the health security of the homeland, particularly through the lens of an ever-expanding and complex health security mission. The OHSR programs operationalize strategic public health and medical direction, provide the necessary advisory and technical expertise to enable effective health security-related decision making by DHS senior leadership, and coordinate with public health and medical partners at all levels of government towards enhancing resilience to the health impacts of terrorism, manmade or natural disasters and other crises. The Immediate Office of the Chief Medical Officer (CMO) includes the CMO, the Deputy Assistant Secretary for Health Security and Resilience, a Chief of Staff, and a Deputy Chief of Staff. These officials serve as the office's senior leadership providing strategic leadership, vision, and guidance to successfully execute the Department's health security mission while keeping our employees and those in our care and custody healthier and safer.

Migrant Health and Oversight: The CMO and OHSR is the principal advisor to the Secretary of Homeland Security and other Department leadership on public health and medical issues. In addition, OHSR coordinates among DHS Components on health security-related matters including the standardization and quality management of DHS healthcare delivery. This includes the strategic oversight, coordination and direct support necessary to develop frameworks, plans and policies that unify and integrate the DHS public health and medical enterprise, support review of medical contracts and requirements across the department, address maritime and land mass migration medical issues, and promote collaboration and coordination with other FSLTT medical and public health systems.

Operational Medicine Support (includes DHS Emergency Medical Services): The CMO and OHSR provides strategic and operational support to the DHS Emergency Medical Services (EMS) System, which consists of over 3,500 specialized personnel across multiple Operational Components. This support includes management of the Department-wide electronic patient care record (ePCR) system, development and delivery of training programs through the DHS EMS Training and Education Advisory Committee (EMSTEAC), standardization of EMS credentialing, and technical support for highly specialized medical missions including surges of mass migration.

Regional Medical Operations (includes Regional Medical Operations Group [RMOG]): The CMO and OHSR coordinates with Federal, State, local, and Tribal governments, the medical community, and others within and outside the Department, including the Centers for Disease Control and Prevention and the Office of the Assistant Secretary for Preparedness and Response of the Department of Health and Human Services, with respect to medical and public health matters related to border security and health security. As part of this extensive stakeholder engagement and direct technical assistance, the Regional Medical Operations Group (RMOG) is a regionalized network of OHSR staff with qualifications primarily in medicine and public health, with further specialization in areas such as emergency and austere medicine, trauma management, medical toxicology, biodefense, and community health who ensure the integration – collaboration, communication and coordination – between medical and public health (Emergency Support Function #8) and public safety and security (Emergency Support Function #13) partners.

Health Threats (includes Medical Countermeasures): The CMO and OHSR provides the technical support and programmatic execution necessary to advance the analysis and impact assessment of current, emerging, and forecasted health security threats. This includes collaboration with Components across the DHS Enterprise and other governmental and non-governmental partners on assessing the health impacts of both naturally occurring and manmade chemical, biological, nuclear, and radiological threats. Further, this program area enables partners to be better consumers of health security threat information including that at fusion centers nationwide. The *Radiation Safety Program* provides subject matter expertise on the

identification of hazards, development of plans and policies, and technical interpretation of the effects of ionizing and non-ionizing radiation, in addition to representing DHS on the Interagency Steering Committee on Radiation Standards and the Federal Radiological Preparedness Coordinating Committee. The *Integrated Consortium of Laboratory Networks (ICLN)* coordinates seven federally sponsored laboratory networks (member networks) under a common framework to support integrated and coordinated responses to acts of terrorism and other major CBRN incidents. Since a medium or large-scale incident response may overwhelm a single laboratory network due to the large number of analytical samples, the ICLN Framework bridges this gap in analytical laboratory capability and capacity by facilitating mutual aid from other member Federal laboratory networks. This program area also encompasses the collaboration and modernization efforts needed to mature and efficiently sustain the Department's *Medical Countermeasures (MCM)* program.

Health Informatics (includes Medical Information Exchange): The CMO and OHSR provides the strategic direction and support necessary for efficient integration and analysis of public health and medical data, thereby enabling effective and proactive decision making. Under the direct leadership of the Department's Chief Medical Information Officer (CMIO), the DHS Medical Information Exchange (MIX) will serve as the single health information framework and longstanding information technology backbone of a unified Department-wide electronic healthcare records system. Currently, the Department relies on highly fragmented, inefficient, and often paper-based processes and systems that prevent the ability to fully leverage the power of data to drive timely, proactive, and clinically indicated interventions. The MIX is meant to address five key drivers: (1) provide seamless quality care to those under our care and custody across multiple healthcare sectors; (2) meet statutory responsibilities; (3) improve performance and efficiencies of DHS-wide medical operations; (4) harness the power of data; and (5) aid medical and public health response during crises, including supporting and coordinating with FSLTT partners. This includes healthcare system administration and reporting for the workforce and those in the care and custody of DHS, disease surveillance, reporting, senior leader decision making, outbreak response, and advancing an efficient and data-driven process to review deaths in custody.

Food, Agriculture, and Veterinary Defense: The CMO and OHSR coordinates the Department's efforts related to food, agriculture and veterinary defense (FAV-D) including statutory responsibilities within the *Securing our Agriculture and Food Act*. This charges DHS with (1) providing oversight and management of the Department's HSPD-9 responsibilities; (2) providing oversight and integration of the Department's activities related to veterinary public health, food defense, and agricultural security; (3) leading the Department's policy initiatives relating to food, animal, and agricultural incidents and the effect of such incidents on animal and public health; (4) leading the Department's policy initiatives related to overall domestic preparedness for and collective response to agricultural terrorism; (5) coordinating with other Department Components, including Customs and Border Protection, as appropriate, on activities related to food and agricultural security and screening procedures for domestic and imported products; and (6) coordinating with appropriate Federal departments and agencies. The FAV-D program also provides the requirements for and coordinates with the Office for Countering Weapons of Mass Destruction on projects related to FAV-D research and development.

Workforce Health and Safety (WHS): The CMO and OHSR coordinates the Department's workforce health and safety, medical readiness and work-life efforts and is dedicated to protecting those who protect the Nation by ensuring a mission ready, safe and resilient DHS workforce. DHS requires robust and comprehensive total workforce mental health programs to support employees and their families throughout the life cycle of their employment. WHS leads, through the Deputy Chief Medical Officer for Workforce Health, Safety and Resilience, and advances a DHS health and safety management system to enable successful mission execution and supports the DHS mission, DHS front line and mission essential employees, and DHS families by improving employee health, enhancing suicide prevention activities, mitigating life stressors, and preventing workforce injuries and deaths while striving to reduce disabilities and workers compensation costs across the Department.

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Office of Health Security & Resilience Planned Obligations: <i>(Dollars in Thousands)</i>						
Program Areas	Positions	Salaries and Benefits	Travel	Contracts/ IAAs	Other Expenses	Totals by Office
Immediate Office of the CMO	6	1,354	50	3,250	50	4,704
Migrant Heath and Oversight	5	1,145	50	1,450	0	2,645
Operational Medicine Support	15	2,633	75	1,930	0	4,638
Health Threats	7	1,562	45	1,375	0	2,982
Health Informatics	13	2,816	15	3,033	0	5,864
Food, Agriculture and Veterinary Defense	4	953	15	5,112	0	6,080
Workforce Health and Safety	26	5,285	50	2,063	0	7,398
Total	76	15,748	300	18,213	50	\$34,311

FY 2023 Contracts/Interagency Agreements <i>(Dollars in Thousands)</i>			
**Office	Contract Description	Planned Obligation Amounts	Expected Contract Award Date (Quarter)
Immediate Office of the CMO	A&AS Contract – Admin and Officewide Support	1,900	Q2
Immediate Office of the CMO	IAA with U.S. Public Health Service (CCO Detailee)	1,350	Q4
Migrant Heath and Oversight	A&AS Contract – Specialized Technical Services	1,450	Q4
Operational Medicine Support	ePCR Contract	450	Q2
Operational Medicine Support	DHS IDIQ/BPA - Specialized Medical Equipment	350	Q2
Operational Medicine Support	EMS Training Contract	1,130	Q3
Health Threats	Non-Governmental Public Health Organizations	575	Q3
Health Threats	ICLN – Program Office	750	Q2
Health Threats	Academic Public Health Organization (NAS)	50	Q3
Health Informatics	MIX Development Contract	3,033	Q3
Food, Agriculture and Veterinary Defense	FFRDC Tasker Order – Supply Chain Analysis	350	Q3

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Food, Agriculture and Veterinary Defense	Mission Needs Assessment Implementation	3,400	Q3
Food, Agriculture and Veterinary Defense	FAV-D Community Building (Academic Partner)	700	Q2
Food, Agriculture and Veterinary Defense	FAV-D Data Reporting and Anomaly Analysis	662	Q4
Workforce Health and Safety	Workforce MCM Program	1,593	Q3
Workforce Health and Safety	Workforce Health and Safety Programs	470	Q3
Total		18,213	

Obligation Schedule <i>(Dollars in Thousands)</i>	Q1 FY 2023 Planned	Q2 FY 2023 Planned	Q3 FY 2023 Planned	Q4 FY 2023 Planned
Obligations	\$4,025	\$12,200	\$26,825	\$34,311
Obligations by Percent	12%	36%	78%	100%

Appropriated Funds Comparison <i>(Dollars in Thousands)</i>	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget
Personnel Compensation & Benefits	-	-	\$15,748
Travel	-	-	\$300
Contracts & IAAs	-	-	\$18,213
Other	-	-	\$50
Total	-	-	\$34,311

Management and Oversight – PPA Personnel Compensation and Benefits

Pay Summary

(Dollars in Thousands)

	FY 2021 Enacted				FY 2022 President's Budget				FY 2023 President's Budget				FY 2022 to FY 2023 Total			
	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate	Pos.	FTE	Amount	Rate
Management and Oversight	289	263	\$43,423	\$159.39	330	293	\$55,835	\$185.27	457	403	\$78,633	\$190.22	127	110	\$22,798	\$4.95
Total	289	263	\$43,423	\$159.39	330	293	\$55,835	\$185.27	457	403	\$78,633	\$190.22	127	110	\$22,798	\$4.95
Subtotal Discretionary - Appropriation	289	263	\$43,423	\$159.39	330	293	\$55,835	\$185.27	457	403	\$78,633	\$190.22	127	110	\$22,798	\$4.95

The FTE Rate calculation does not include Object Class 11.8-Special Personal Services Payments or 13.0-Benefits for Former Personnel

Pay by Object Class

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
11.1 Full-time Permanent	\$26,471	\$35,482	\$51,088	\$15,606
11.3 Other than Full-time Permanent	\$3,559	\$3,668	\$4,774	\$1,106
11.5 Other Personnel Compensation	\$414	\$426	\$596	\$170
11.8 Special Personal Services Payments	\$1,504	\$1,550	\$1,974	\$424
12.1 Civilian Personnel Benefits	\$11,475	\$14,709	\$20,201	\$5,492
Total - Personnel Compensation and Benefits	\$43,423	\$55,835	\$78,633	\$22,798
Positions and FTE				
Positions - Civilian	289	330	457	127
FTE - Civilian	263	293	403	110

Pay Cost Drivers

(Dollars in Thousands)

		FY 2021 Enacted			FY 2022 President's Budget			FY 2023 President's Budget		FY 2022 to FY 2023 Total Changes		
	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate	FTE	Amount	Rate
Office of General Counsel (OGC)	102	\$17,144	\$168.08	119	\$24,413	\$205.15	126	\$25,414	\$201.70	7	\$1,001	(\$3.45)
Office of the Secretary	68	\$8,352	\$122.82	68	\$9,301	\$136.78	80	\$12,515	\$156.44	12	\$3,214	\$19.66
Office of Health Security & Resilience (OHSR)	-	-	-	-	-	-	68	\$15,748	\$231.59	68	\$15,748	\$231.59
Privacy Office (PRIV)	44	\$8,233	\$187.11	49	\$10,572	\$215.76	54	\$10,512	\$194.67	5	(\$60)	(\$21.09)
Office of Legislative Affairs (OLA)	25	\$4,636	\$185.44	30	\$5,911	\$197.03	35	\$5,081	\$145.17	5	(\$830)	(\$51.86)
Office of Public Affairs (OPA)	24	\$3,554	\$148.08	27	\$4,088	\$151.41	34	\$6,215	\$182.79	7	\$2,127	\$31.39
Other PC&B Costs	-	\$1,504	-	-	\$1,550	-	-	\$1,974	-	-	\$424	-
Total - Pay Cost Drivers	263	\$43,423	\$159.39	293	\$55,835	\$185.27	397	\$77,459	\$190.14	104	\$21,624	\$4.87

Explanation of Pay Cost Driver

Management and Oversight: This cost driver supports Management and Oversight within OSEM. It reflects an increase of 121 positions and 104 FTE associated with the program areas for the Office of the Secretary, Office of General Counsel (OGC), Privacy Office (PRIV), Office of Public Affairs (OPA), and the Office of Legislative Affairs (OLA), and Chief Medical Officer (CMO). An increase in salaries and benefits reflects agency costs for a FY 2023 civilian pay raise, funding to staff increases, and program changes within Management and Oversight. In addition, the Chief Medical Officer transferred to Management and Oversight due to a general provision included in Title V under Section 872 of the Homeland Security Act of 2002 (U.S.C. 452).

Management and Oversight – PPA Non Pay Budget Exhibits

Non Pay Summary

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
Management and Oversight	\$11,509	\$19,815	\$58,629	\$38,814
Total	\$11,509	\$19,815	\$58,629	\$38,814
Subtotal Discretionary - Appropriation	\$11,509	\$19,815	\$58,629	\$38,814

Non Pay by Object Class

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
21.0 Travel and Transportation of Persons	\$2,836	\$2,152	\$2,248	\$96
23.1 Rental Payments to GSA	-	-	\$116	\$116
23.3 Communications, Utilities, & Miscellaneous	-	-	\$55	\$55
24.0 Printing and Reproduction	\$2	\$2	\$2	-
25.1 Advisory & Assistance Services	\$5,030	\$1,450	\$10,288	\$8,838
25.2 Other Services from Non-Federal Sources	\$2,645	\$2,812	\$19,099	\$16,287
25.3 Other Purchases of goods and services	\$647	\$13,154	\$24,152	\$10,998
25.6 Medical Care	-	-	\$3	\$3
25.7 Operation & Maintenance of Equipment	-	-	\$2,203	\$2,203
26.0 Supplies & Materials	\$325	\$223	\$267	\$44
31.0 Equipment	\$24	\$22	\$196	\$174
Total - Non Pay Budget Object Class	\$11,509	\$19,815	\$58,629	\$38,814

Non Pay Cost Drivers*(Dollars in Thousands)*

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Total Changes
IAs and Contracts	\$8,322	\$16,450	\$53,238	\$36,788
Travel	\$2,836	\$2,152	\$2,201	\$49
Other Costs	\$351	\$1,213	\$3,129	\$1,916
Total - Non-Pay Cost Drivers	\$11,509	\$19,815	\$58,568	\$38,753

Explanation of Non-Pay Cost Drivers

Inter/Intra Agency Agreements and Contractual Services **Inter/Intra Agency Agreements and Contractual Services:** Management and Oversight leverages contractor support and interagency agreements to procure a variety of different services. Contract and IAA expenses are expected to increase significantly in FY 2023 due to new requested contract support for the Family Reunification Task Force and the addition of Health Security & Resilience. See the charts labeled “Contracts & Interagency Agreements” for more details.

Travel: Travel expenses consist of airfare, lodging, meals, and miscellaneous and incidentals expenses. Management and Oversight travel will be conducted throughout the year to oversee and coordinate the Department of Homeland Security’s priorities. Travel requirements include, but are not limited to, public outreach and media relations efforts, serve as advisor to the secretary, site visits to operating component regional offices, international travel to support pre-clearance agreement negotiations, travel to support the Committee on Foreign Investment in the United States (CFIUS) program, and travel to conduct training at the Federal Law Enforcement Training Center (FLETC). The travel expenses for the Secretary and Deputy Secretary will continue to be paid for by funding made available to the Secretary and Deputy Secretary based on the expenditure plans included in this text. Travel expenses are expected to increase due to travel requirements for the Office of the Secretary relative to FY 2023.

Other Costs: Management and Oversight incurs expenses for items associated with training, books, office supplies, and equipment. Other costs are expected to increase in FY 2023 due to higher projected operation and maintenance of equipment. In addition, the Health, Security, & Resilience and Chief Medical Officer increases these costs due to grants, subsidies, and other contributions.

Department of Homeland Security

Office of the Secretary and Executive Management

Federal Assistance



Fiscal Year 2023
Congressional Justification

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Federal Assistance

Budget Comparison and Adjustments

Comparison of Budget Authority and Request

(Dollars in Thousands)

	FY 2021 Enacted			FY 2022 President's Budget			FY 2023 President's Budget			FY 2022 to FY 2023 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Targeted Violence and Terrorism Prevention Grants	-	-	\$20,000	-	-	\$20,000	-	-	\$20,000	-	-	-
Alternatives to Detention Case Management	-	-	\$5,000	-	-	\$5,000	-	-	\$5,000	-	-	-
Total	-	-	\$25,000	-	-	\$25,000	-	-	\$25,000	-	-	-
Subtotal Discretionary - Appropriation	-	-	\$25,000	-	-	\$25,000	-	-	\$25,000	-	-	-

The Federal Assistance (FA) appropriation funds the Departmental Management Operations (DMO) Office of the Secretary and Executive Management's (OSEM) grant programs. This appropriation provides resources that enable OSEM to provide federal assistance through grants, contracts, cooperative agreements, and other activities for Targeted Violence and Terrorism Prevention and Alternatives to Detention Case Management programs to DHS Components.

This funding is transferred to Federal Emergency Management Agency (FEMA) for execution, but the programs are overseen by OSEM.

This appropriation is broken out into the following PPAs:

Targeted Violence and Terrorism Prevention Grants (TVTP): This PPA supports the Departments' Targeted Violence and Terrorism Prevention (TVTP) Grant Program. Funding provides resources for state, local, tribal, and territorial governments, nonprofits, and institutions of higher education to establish or enhance capabilities to prevent targeted violence and terrorism. Developing local prevention capabilities is a key element of Goal 3 of the Strategic Framework to Counter Terrorism and Targeted Violence. The TVTP Grant Program provides assistance to implement that goal and develops innovative solutions to prevent terrorism and targeted violence.

Alternatives to Detention Case Management (ATD): This PPA supports the Department's Alternatives to Detention Case Management Pilot Program. This is a temporary pilot program that has the potential to becoming a permanent stand-alone program depending on the outcome of the pilot. This program provides case management services for individuals enrolled at U.S. Immigration and Customs Enforcement's (ICE) Alternative to Detention Program. Medical, mental health, and human trafficking screenings are the essential services provided by this program. This pilot will inform how these cases will look going forward, assess existing capabilities, examine outcomes, and provide resources for non-profit organizations for those who are in need.

Federal Assistance
Budget Authority and Obligations
(Dollars in Thousands)

	FY 2021	FY 2022	FY 2023
Enacted/Request	\$25,000	\$25,000	\$25,000
Carryover - Start of Year	-	-	-
Recoveries	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogramming/Transfers	(\$25,000)	(\$25,000)	(\$25,000)
Supplementals	-	-	-
Total Budget Authority	-	-	-
Collections - Reimbursable Resources	-	-	-
Collections - Other Sources	-	-	-
Total Budget Resources	-	-	-
Obligations (Actual/Estimates/Projections)	-	-	-
Personnel: Positions and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

Federal Assistance
Summary of Budget Changes
(Dollars in Thousands)

	Positions	FTE	Amount
FY 2021 Enacted	-	-	\$25,000
FY 2022 President's Budget	-	-	\$25,000
FY 2023 Base Budget	-	-	\$25,000
Total Technical Changes	-	-	-
Total Transfers	-	-	-
Total Pricing Changes	-	-	-
Total Adjustments-to-Base	-	-	-
FY 2023 Current Services	-	-	\$25,000
Total Program Changes	-	-	-
FY 2023 Request	-	-	\$25,000
FY 2022 TO FY 2023 Change	-	-	-

Federal Assistance Non Pay Budget Exhibits

Non Pay Summary (Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
Targeted Violence and Terrorism Prevention Grants	\$20,000	\$20,000	\$20,000	-
Alternatives to Detention Case Management	\$5,000	\$5,000	\$5,000	-
Total	\$25,000	\$25,000	\$25,000	-
Subtotal Discretionary - Appropriation	\$25,000	\$25,000	\$25,000	-

Non Pay by Object Class (Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
41.0 Grants, Subsidies, and Contributions	\$25,000	\$25,000	\$25,000	-
Total - Non Pay Budget Object Class	\$25,000	\$25,000	\$25,000	-

Targeted Violence and Terrorism Prevention Grants– PPA Budget Comparison and Adjustments

Comparison of Budget Authority and Request

(Dollars in Thousands)

	FY 2021 Enacted			FY 2022 President's Budget			FY 2023 President's Budget			FY 2022 to FY 2023 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Targeted Violence and Terrorism Prevention Grants	-	-	\$20,000	-	-	\$20,000	-	-	\$20,000	-	-	-
Total	-	-	\$20,000	-	-	\$20,000	-	-	\$20,000	-	-	-
Subtotal Discretionary - Appropriation	-	-	\$20,000	-	-	\$20,000	-	-	\$20,000	-	-	-

PPA Level I Description

The Targeted Violence and Terrorism Prevention (TVTP) Grants Program supports activities that prevent the recruitment or radicalization of individuals to violence by interrupting those efforts, building community-level resilience, and identifying the early signs of radicalization to violence and providing appropriate interventions through civic and public health organizations, law enforcement, or other entities. Funding is transferred from the OSEM to FEMA for grant distribution. Recent TVTP Grant Program work prioritizes implementing local prevention frameworks and exploring innovative approaches, to include preventing domestic violent extremism, enhancing local threat assessment and management capabilities, implementing innovative solutions for preventing targeted violence and terrorism, and challenging online violence mobilization narratives. TVTP Grant Program funding is paired with funding in the Science & Technology Directorate (S&T) for evaluating the efficacy of the various approaches.

Targeted Violence and Terrorism Prevention Grants – PPA

Budget Authority and Obligations

(Dollars in Thousands)

	FY 2021	FY 2022	FY 2023
Enacted/Request	\$20,000	\$20,000	\$20,000
Carryover - Start of Year	-	-	-
Recoveries	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogramming/Transfers	(\$20,000)	(\$20,000)	(\$20,000)
Supplementals	-	-	-
Total Budget Authority	-	-	-
Collections - Reimbursable Resources	-	-	-
Collections - Other Sources	-	-	-
Total Budget Resources	-	-	-
Obligations (Actual/Estimates/Projections)	-	-	-
Personnel: Positions and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

Targeted Violence and Terrorism Prevention Grants – PPA

Summary of Budget Changes

(Dollars in Thousands)

	Positions	FTE	Amount
FY 2021 Enacted	-	-	\$20,000
FY 2022 President's Budget	-	-	\$20,000
FY 2023 Base Budget	-	-	\$20,000
Total Technical Changes	-	-	-
Total Transfers	-	-	-
Total Pricing Changes	-	-	-
Total Adjustments-to-Base	-	-	-
FY 2023 Current Services	-	-	\$20,000
Total Program Changes	-	-	-
FY 2023 Request	-	-	\$20,000
FY 2022 TO FY 2023 Change	-	-	-

Targeted Violence and Terrorism Prevention Grants – PPA

Non Pay Budget Exhibits

Non Pay Summary

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
Targeted Violence and Terrorism Prevention Grants	\$20,000	\$20,000	\$20,000	-
Total	\$20,000	\$20,000	\$20,000	-
Subtotal Discretionary - Appropriation	\$20,000	\$20,000	\$20,000	-

Non Pay by Object Class

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
41.0 Grants, Subsidies, and Contributions	\$20,000	\$20,000	\$20,000	-
Total - Non Pay Budget Object Class	\$20,000	\$20,000	\$20,000	-

Non Pay Cost Drivers*(Dollars in Thousands)*

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Total Changes
Targeted Violence and Terrorism Prevention Grants (TVTP) Grants	\$20,000	\$20,000	\$20,000	-
Total - Non-Pay Cost Drivers	\$20,000	\$20,000	\$20,000	-

Explanation of Non Pay Cost Drivers

Targeted Violence and Terrorism Prevention Grants: Non pay cost drivers include grant funding that is transferred to and executed out of FEMA but administered by a program office within the Office of Strategy, Policy, and Plans.

*Alternatives to Detention Case Management – PPA***Budget Comparison and Adjustments****Comparison of Budget Authority and Request***(Dollars in Thousands)*

	FY 2021 Enacted			FY 2022 President's Budget			FY 2023 President's Budget			FY 2022 to FY 2023 Total Changes		
	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount	Pos.	FTE	Amount
Alternatives to Detention Case Management	-	-	\$5,000	-	-	\$5,000	-	-	\$5,000	-	-	-
Total	-	-	\$5,000	-	-	\$5,000	-	-	\$5,000	-	-	-
Subtotal Discretionary - Appropriation	-	-	\$5,000	-	-	\$5,000	-	-	\$5,000	-	-	-

PPA Level I Description

The Alternatives to Detention (ATD) Case Management Pilot Program (CMPP) provides funding for case management services to individuals released from ICE custody including those enrolled in ICE's ATD program. Funds for this program are distributed to nonprofit organizations and local governments through a National Board chaired by the Officer for Civil Rights and Civil Liberties (CRCL). Funding is transferred from OSEM to FEMA for this grant program.

Alternatives to Detention Case Management – PPA

Budget Authority and Obligations

(Dollars in Thousands)

	FY 2021	FY 2022	FY 2023
Enacted/Request	\$5,000	\$5,000	\$5,000
Carryover - Start of Year	-	-	-
Recoveries	-	-	-
Rescissions to Current Year/Budget Year	-	-	-
Net Sequestered Resources	-	-	-
Reprogramming/Transfers	(\$5,000)	(\$5,000)	(\$5,000)
Supplementals	-	-	-
Total Budget Authority	-	-	-
Collections - Reimbursable Resources	-	-	-
Collections - Other Sources	-	-	-
Total Budget Resources	-	-	-
Obligations (Actual/Estimates/Projections)	-	-	-
Personnel: Positions and FTE			
Enacted/Request Positions	-	-	-
Enacted/Request FTE	-	-	-
Onboard and Actual FTE			
Onboard (Actual/Estimates/Projections)	-	-	-
FTE (Actual/Estimates/Projections)	-	-	-

Alternatives to Detention Case Management – PPA

Summary of Budget Changes

(Dollars in Thousands)

	Positions	FTE	Amount
FY 2021 Enacted	-	-	\$5,000
FY 2022 President's Budget	-	-	\$5,000
FY 2023 Base Budget	-	-	\$5,000
Total Technical Changes	-	-	-
Total Transfers	-	-	-
Total Pricing Changes	-	-	-
Total Adjustments-to-Base	-	-	-
FY 2023 Current Services	-	-	\$5,000
Total Program Changes	-	-	-
FY 2023 Request	-	-	\$5,000
FY 2022 TO FY 2023 Change	-	-	-

Alternatives to Detention Case Management – PPA

Non Pay Budget Exhibits

Non Pay Summary

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
Alternatives to Detention Case Management	\$5,000	\$5,000	\$5,000	-
Total	\$5,000	\$5,000	\$5,000	-
Subtotal Discretionary - Appropriation	\$5,000	\$5,000	\$5,000	-

Non Pay by Object Class

(Dollars in Thousands)

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Change
41.0 Grants, Subsidies, and Contributions	\$5,000	\$5,000	\$5,000	-
Total - Non Pay Budget Object Class	\$5,000	\$5,000	\$5,000	-

Non Pay Cost Drivers*(Dollars in Thousands)*

	FY 2021 Enacted	FY 2022 President's Budget	FY 2023 President's Budget	FY 2022 to FY 2023 Total Changes
Alternatives to Detention (ATD) Case Management Pilot Program (CMPP) Grants	\$5,000	\$5,000	\$5,000	-
Total - Non-Pay Cost Drivers	\$5,000	\$5,000	\$5,000	-

Explanation of Non Pay Cost Drivers

Alternatives to Detention (ATD) Case Management Pilot Program (CMPP) Grants: Non pay cost drivers include grant funding for Alternatives to Detention Case Management Pilot Program grants, which is transferred to and executed out of FEMA and overseen by CRCL.